

# Special relativity

Janos Polonyi

*University of Strasbourg*

(Dated: January 31, 2021)

Video:

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I. PHYSICAL LAWS AS THE FUNCTIONS OF THE SCALE OF OBSERVATION

Newton's equation  $F = ma$  is modified at  $v \sim c = 2.9979 \cdot 10^8 m/s$  (speed of light)

Problem: our intuition belongs to  $\frac{v}{c} \ll 1$

The observed quantities and the physical laws depend on the scale of observation

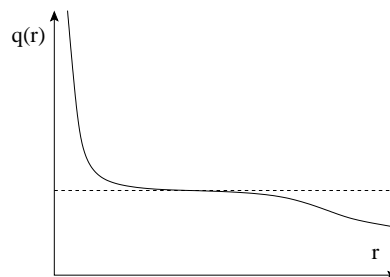
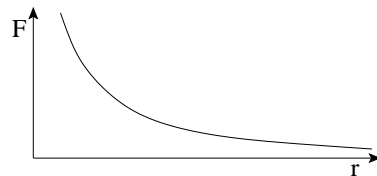
Scales: L, T, and M

Charge:

$$F_C(r) = \frac{qq'}{r^2} \neq F_{phys}(r) = \frac{q(r)q'}{r^2}$$

Vacuum polarization:  $q \rightarrow q(r)$

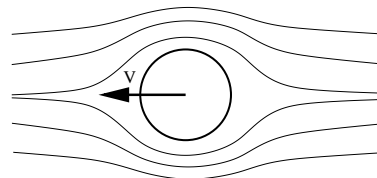
Physical constant  $\equiv$  plateau



Mass:

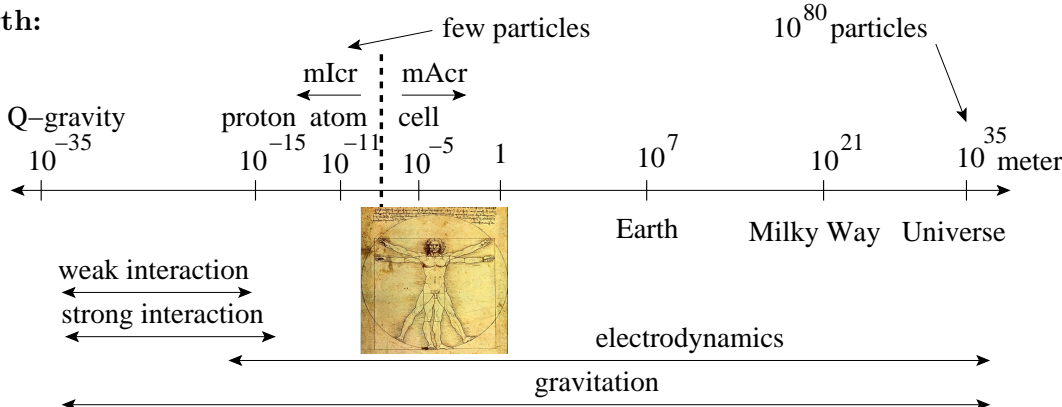
$$E(v) = E(v_0) + (v - v_0) \frac{dE(v_0)}{dv} + \frac{(v - v_0)^2}{2} \frac{d^2E(v_0)}{dv^2} + \dots$$

$$M(v_0) = \frac{d^2E(v_0)}{dv^2}$$



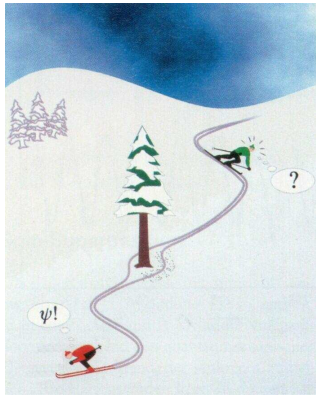
Interactions with the environment  $\implies$  effective parameters:  $q \rightarrow q(r)$ ,  $M \rightarrow M(v)$ , etc.

Length:



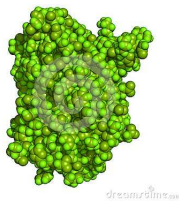
**Quantum mechanics:**

- The Reality can not fully be known
- Quantum mechanics:
  - Optimized and consistent treatment of partial information
- Quantum state: a list of virtual realities
  - like a phone book: name ↔ virtual reality
  - tel. number ↔ probability



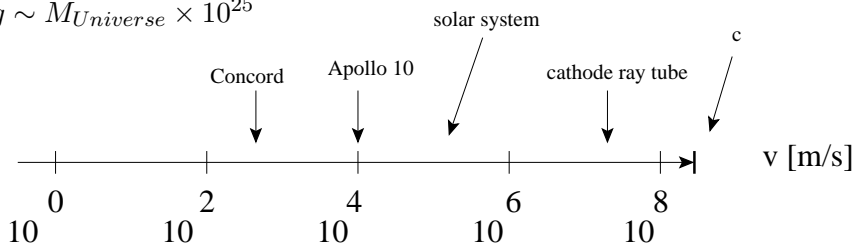
**Life:**

- elementary unit: protein
- living forms consist of 4500 proteins
- Origin: primordial soup?
- $4^{165}$  possible RNA chains
- $M = 2 \times 10^{77} kg \sim M_{Universe} \times 10^{25}$



450 randomly chosen proteins  
at the quantum-classical border  
**Quantum origin of life?**

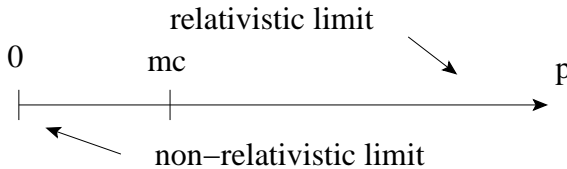
**Velocity:**



Orders of magnitudes in free fall:  $v = 9.8t[MKS]$ ,

after 1 year  $v = 9.8 \times 365 \times 24 \times 3600 \approx 3 \times 10^8 m/s$

**Momentum:**



**II. A CONFLICT AND ITS SOLUTION**

**A. Reference frame and Galilean symmetry**

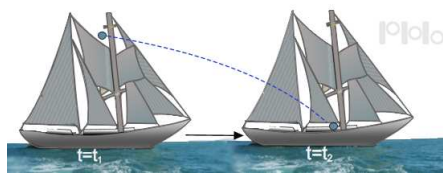
Reference frame: free motion = constant velocity,  $S : (t, \mathbf{x}), \mathbf{x} = t\mathbf{v} + \mathbf{x}_0$ .

**Galilean relativity:**

- a generalization of the dynamics of a free particle to the interactive case
- The mechanical laws are identical in each reference frame.

Example:

An object, falling freely from the the mast, ends up at the bottom of the mast on a ship which moves with constant velocity.



Symmetry transformations of Newton's equation:  $m \frac{d^2 \mathbf{x}}{dt^2} = 0$ : (Galilean transformations)

$$t \rightarrow t' = t \leftarrow \text{absolute time}$$

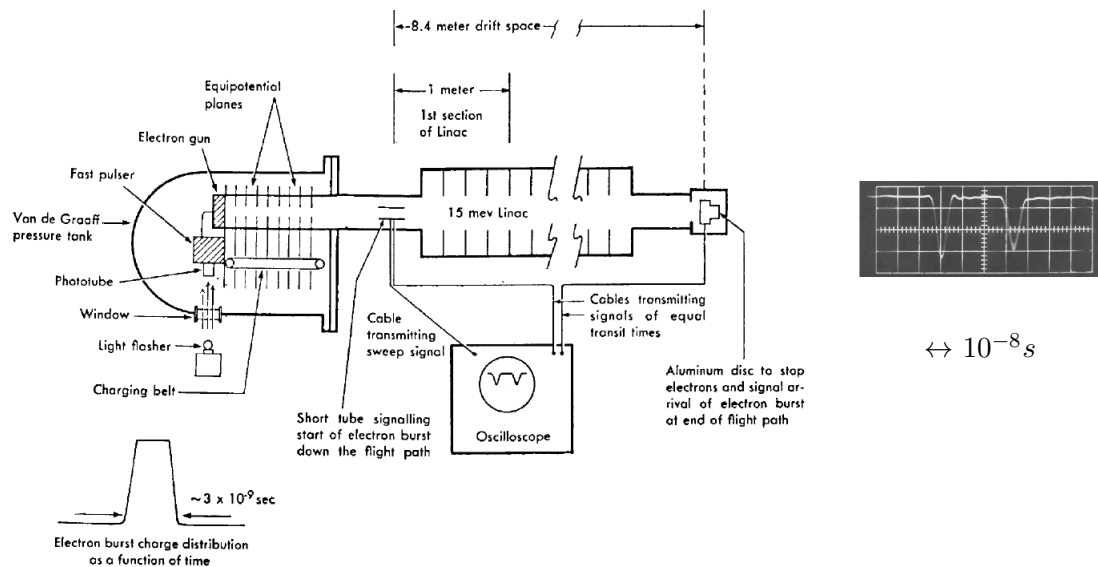
$$\mathbf{x} \rightarrow \mathbf{x}' = R\mathbf{x} - t\mathbf{v} + \mathbf{x}_0$$

Different reference frames are connected by Galilean transformations.

**Addition of the velocity:**  $\dot{\mathbf{x}} \rightarrow \dot{\mathbf{x}}' = \frac{d}{dt}(\mathbf{x} - t\mathbf{v} + \mathbf{x}_0) = \dot{\mathbf{x}} - \mathbf{v}$  (absolute time)

### B. Limiting velocity

W. Bertozzi, Am. J. Phys. **32** 551 (1964)

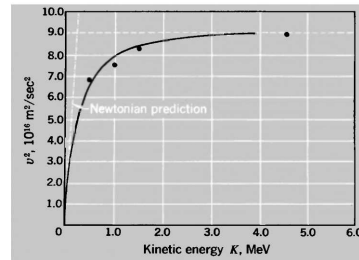


Energy $K[MeV]$	Time of flight $t[10^{-8}s]$	Velocity $v[10^8m/s]$	Velocity square $v^2[10^{16}m^2/s^2]$
0.5	3.23	2.60	6.8
1.0	3.08	2.73	7.5
1.5	2.92	2.88	8.3
4.5	2.84	2.96	8.8
15	2.80	3.00	9.0

as the energy increases like  $E \rightarrow 30E$

energy conservation requires  $K \rightarrow 30K$

Newton's equation:  $v^2 \rightarrow 30v^2$  ?



**Limiting velocity:**  $v < c$  (not enough energy to accelerate beyond  $c$ )

### C. Particle or wave?

XIX.-th century physics: nature of light

- Thomas Young (1801-04): measurement of interference
- Augustin-Jean Fresnel (1818): explication of interference, diffraction, polarization
- James Clerk Maxwell (1861): electrodynamics

Wave: diffraction, interference, polarization  $\leftrightarrow$  Particle: energy-momentum

Mechanical models until 1850 but the speed of light is too high for a particle

### Speed of light :

Ole Roemer (1675): eclipse of Jupiter's

moons varies in time

$$c \approx 2 \times 10^8 \text{ m/s}$$

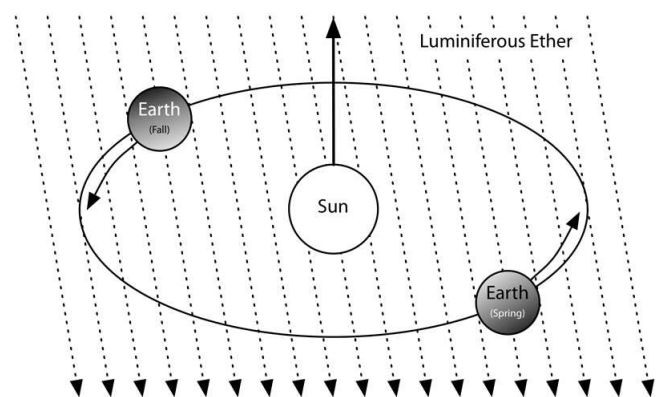


### Wave or particle?

Particle:  $v_{part} = v_{source} + v_{light}$ ,

Wave:  $v_{wave}$  is fixed within the medium

Ether hypothesis  $\rightarrow$



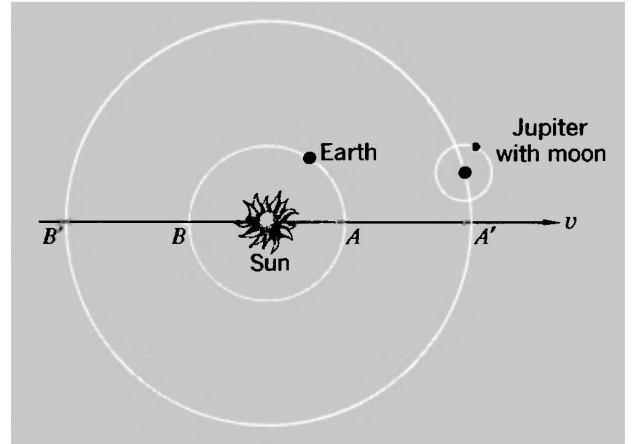
**How to find our velocity with respect to the ether?**

Maxwell: 1 Jupiter year=12 Earth years,  
two measurements, separated by 6 years

$$t_A^{écl.} = \frac{l}{c + v_{sol}}, \quad t_B^{écl.} = \frac{l}{c - v_{sol}}$$

$$t_B^{écl.} - t_A^{écl.} = \frac{2lv_{sol}}{c^2 - v_{sol}^2} = \frac{2lv_{sol}}{(c + v_{sol})(c - v_{sol})}$$

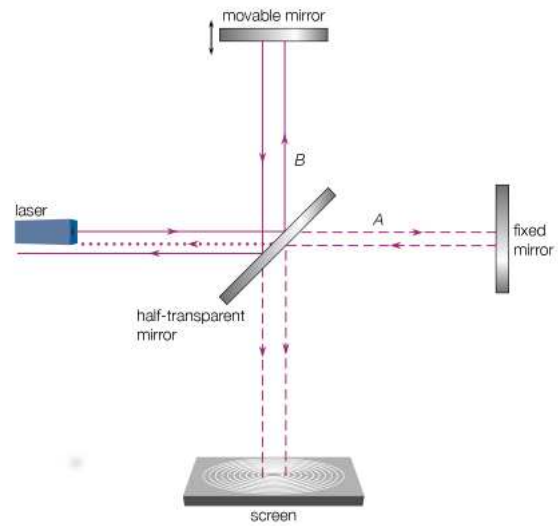
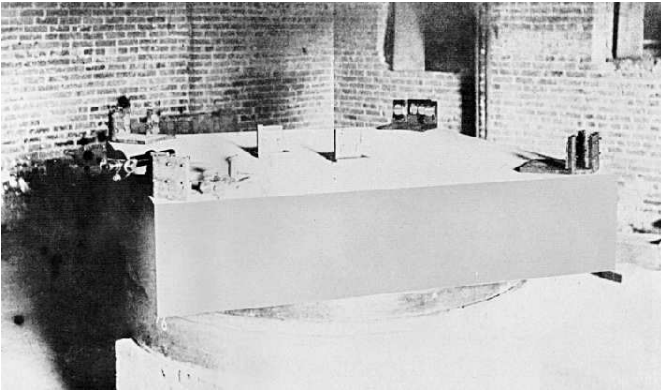
$$\approx \frac{2lv_{sol}}{c^2} = \underbrace{t_0}_{16min} \frac{2v_{sol}}{c}$$



The problem is the repetition of the same experience 6 years later

#### D. Propagation of the light

Michelson (1881):



$$t_{\parallel} = \frac{l_{\parallel}}{c - v} + \frac{l_{\parallel}}{c + v} = \frac{2cl_{\parallel}}{c^2 - v^2} = \frac{2l_{\parallel}}{c} \frac{1}{1 - \frac{v^2}{c^2}}$$

$$\left(\frac{ct_{\perp}}{2}\right)^2 = l_{\perp}^2 + \left(\frac{vt_{\perp}}{2}\right)^2, \quad t_{\perp}^2(c^2 - v^2) = 4l_{\perp}^2, \quad t_{\perp} = \frac{2l_{\perp}}{\sqrt{c^2 - v^2}}$$

$$\Delta t(l_{\parallel}, l_{\perp}) = t_{\parallel} - t_{\perp} = \frac{2}{c} \left( \frac{l_{\parallel}}{1 - \frac{v^2}{c^2}} - \frac{l_{\perp}}{\sqrt{1 - \frac{v^2}{c^2}}} \right) = \frac{2}{c} \frac{l_{\parallel} - l_{\perp} \sqrt{1 - \frac{v^2}{c^2}}}{1 - \frac{v^2}{c^2}}$$

Rotation by  $90^\circ$  within few minutes:

$$\Delta t'(l_{\parallel}, l_{\perp}) = -\Delta t(l_{\perp}, l_{\parallel}) = \frac{2}{c} \frac{l_{\parallel} \sqrt{1 - \frac{v^2}{c^2}} - l_{\perp}}{1 - \frac{v^2}{c^2}}$$

$$\Delta t(l_{\parallel}, l_{\perp}) - \Delta t'(l_{\parallel}, l_{\perp}) = \frac{2}{c} \frac{(l_{\parallel} + l_{\perp})(1 - \sqrt{1 - \frac{v^2}{c^2}})}{1 - \frac{v^2}{c^2}}$$

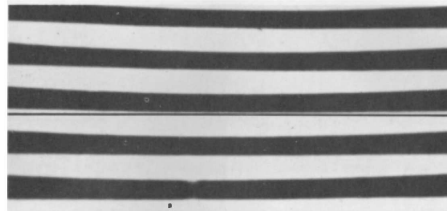
$$\sqrt{1+\epsilon} \sim 1 + \frac{\epsilon}{2} \rightarrow \approx \frac{2(\ell_{\parallel} + \ell_{\perp})}{c} \frac{\frac{v^2}{2c^2}}{1 - \frac{v^2}{c^2}} \approx (\ell_{\parallel} + \ell_{\perp}) \frac{v^2}{c^3}$$

The mirrors are not exactly perpendicular  $\implies$  interference rings

Number of shifted lines:  $\Delta N$

$$\Delta \ell = \lambda \Delta N = (\Delta t - \Delta t')c$$

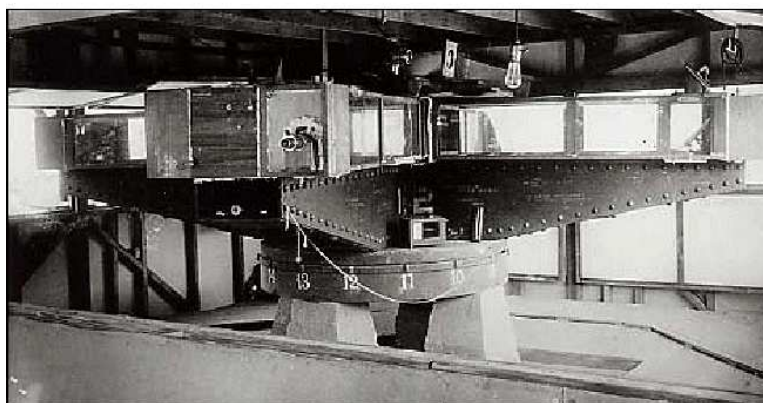
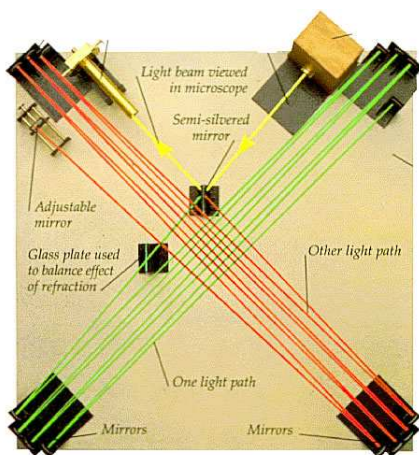
$$\Delta N = (\Delta t - \Delta t') \frac{c}{\lambda} = \frac{v^2 \ell_{\perp} + \ell_{\parallel}}{c^2 \lambda}$$



Assuming  $v_{Earth} = 30 \text{ km/s}$ ,  $\frac{v}{c} \approx 10^{-4}$  and

using  $\lambda = 6 \times 10^{-7} \text{ m}$ ,  $\ell = 1.2 \text{ m} \implies \Delta N \approx 0.04$  was not found

**Michelson-Morley (1887):**  $\ell \rightarrow 10\ell$ ,  $\Delta N \rightarrow 0.4$ ,  $\Delta N_{obs} = 0 \pm 0.005$



**Fitzgerald Lorentz (1892):** a mysterious contraction of solids in motion:

$$\ell \rightarrow \ell \sqrt{1 - \frac{v^2}{c^2}}, \Delta t = 0 \text{ for } \ell_{\perp} = \ell_{\parallel}$$

**Einstein (1905):** contraction follows from the way one measures the length

**Kennedy Thorndike (1932):** null result with  $\ell_{\perp} \neq \ell_{\parallel}$

### E. The problem and its solution

The light is of the nature particle or wave?

Particle:  $v_{part} = v_{source} + v_{emission}$ , but  $c \neq v_{source} + c$ ?

Wave:  $v_{onde}$  est fixed, but Michelson-Morley ?

The addition of the velocity do not apply to light?

One of the following seemingly natural assumptions is wrong:

1. The physical laws assume the same form in each reference frame.
2. The time is absolute.



Einstein (1905): No justification of point 2.

**Special relativity:** The physical laws assume the same form in each reference frame.

Results:

1. The speed of light is fixed by Maxwell's equations.
2. The coordinate and the velocity can be given relative to some reference object.
3. The acceleration and the derivatives  $\frac{d^n \mathbf{x}(t)}{dt^n}$ ,  $n \geq 2$  are absolute.

**General relativity:** The coordinates, together with all derivatives,  $\frac{d^n \mathbf{x}(t)}{dt^n}$ , are relative.

A more careful analysis of the measurement of the coordinates and the time is needed.

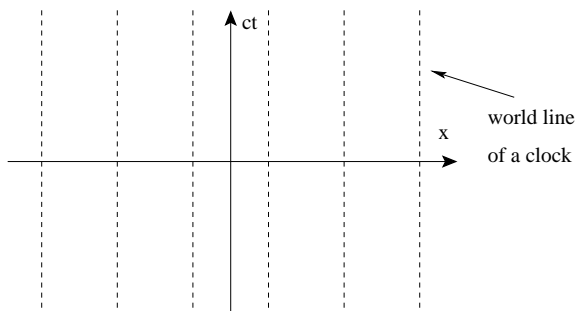
Distance: with a reference meter rod

(macroscopic!)



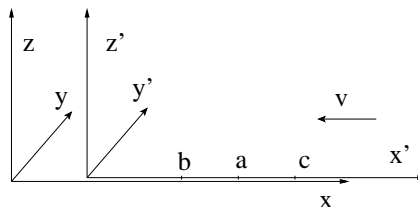
Time: synchronized standard clock

at each space point



**Simultaneity is relative:**

a light signal  $b \leftarrow a \rightarrow c$



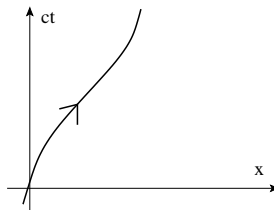
### III. SPACE-TIME

#### A. World line

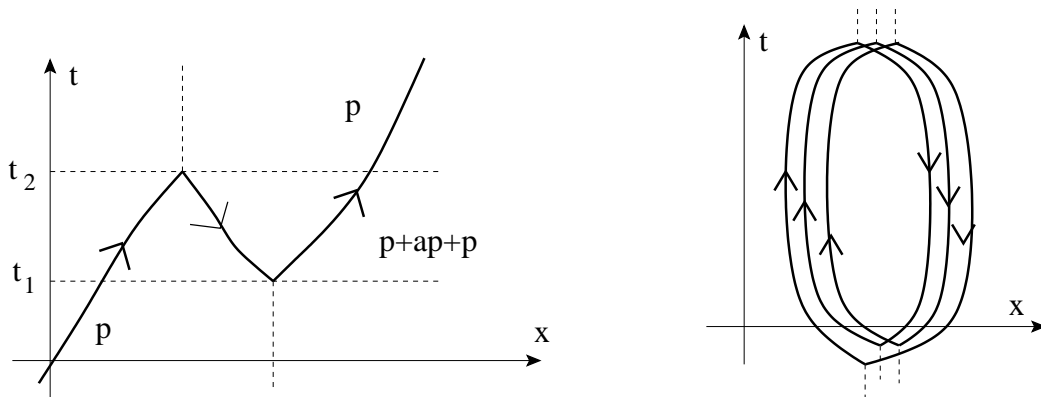
Non-relativistic motion: trajectory:  $\mathbf{x}(t)$

Relativistic motion: world line:  $x^\mu(s) = (ct(s), \mathbf{x}(s))$ ,  $\mu = 0, 1, 2, 3$ .

Non-relativistic motion:



**New possibility:** world line  $\implies$  trajectory



length  $s$ : ordering the events

Anti-particle:  $t \rightarrow -t \leftrightarrow E \rightarrow -E$

Classical mechanics:  $\frac{dp}{dt} = -\frac{\partial H(p,q)}{\partial q}, \frac{dq}{dt} = \frac{\partial H(p,q)}{\partial p}$  Universe of a single fermion

Quantum mechanics:  $i\hbar\partial_t\psi = H\psi$

Quantum field theory:  $E \geq 0$

**Giving up classical E.O.M.:**

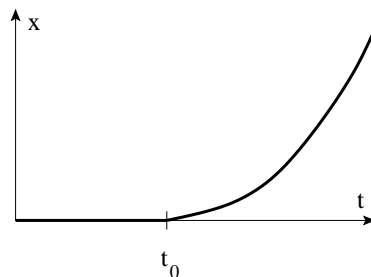
- Sufficient condition for locally unique solution:

$$\dot{x}(t) = f(x, t), \quad x(t_i) = x_i$$

is the continuity of  $\partial_x f(x, t)$

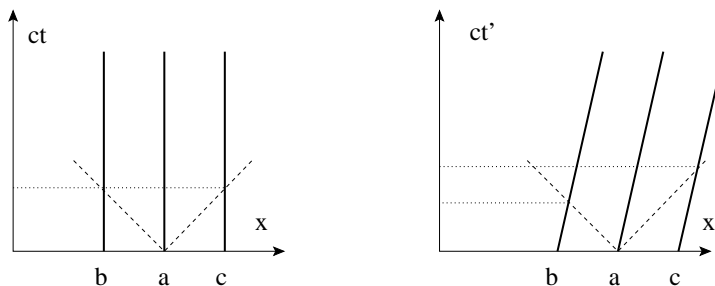
- Example:

$$\begin{aligned} \dot{x} &= g|x|^p, \quad 0 < p < 1 \\ x(t) &= \alpha t^\beta, \quad \alpha\beta t^{\beta-1} = g(\alpha t^\beta)^p \\ \beta - 1 &= \beta p, \quad \beta = \frac{p}{1-p} \\ \alpha\beta &= g\alpha^p, \quad \frac{p\alpha}{1-p} = g\alpha^p, \quad \frac{p}{g(1-p)} = \alpha^{p-1} \\ x(t) &= \begin{cases} 0 & t < t_0, \\ \left[ g \left( \frac{1}{p} - 1 \right) (t - t_0) \right]^{\frac{1}{1-p}} & t \geq t_0, \end{cases} \end{aligned}$$



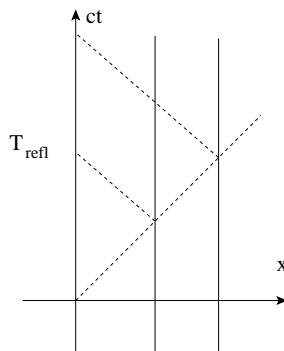
$t_0$  is not determined by the initial condition, pair creation is quantum phenomenon

**Relative simultaneity:**



**Clock synchronization:**

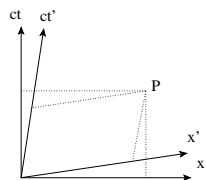
1. reference clock at  $x = 0$
2. light signal from  $(ct, x) = 0$
3. time at reflection:  $t(x)$
4. time of return to  $x = 0$ :  $T(x)$
5.  $\Delta t = \frac{T(x)}{2} - t(x)$



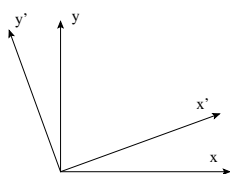
**B. Lorentz transformation**

Transformation between two reference frame:  $(ct, \mathbf{x}) \rightarrow (ct', \mathbf{x}')$

Non-orthogonal axes

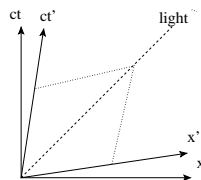


Euclidean rotation



No fixed line

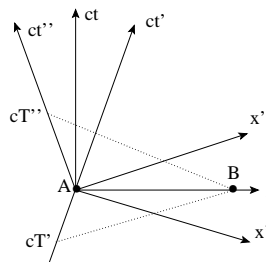
Lorentz Transformation



Light: a fixed line

**Simultaneity is relative:**

$$T'(B) - T'(A) < T(B) - T(A) = 0 < T''(B) - T''(A)$$



General form:

$$x' = ax - bct$$

boost:

$$= a(x - vt)$$

inverse ( $v \rightarrow -v$ ):

$$x = a(x' + vt')$$

Applied for the propagation of the light  $x = ct, x' = ct'$

$$ct' = a(c - v)t, ct = a(c + v)t' \implies ct = a(c + v)\frac{a}{c}(c - v)t, a^2 = \frac{c^2}{c^2 - v^2}, a = \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$\begin{aligned} x' &= \frac{x - vt}{\sqrt{1 - \frac{v^2}{c^2}}}, & x &= \frac{x' + vt'}{\sqrt{1 - \frac{v^2}{c^2}}} \\ t' &= \frac{t - \frac{vx}{c^2}}{\sqrt{1 - \frac{v^2}{c^2}}} (\leftarrow x = ct), & t &= \frac{t' + \frac{vx'}{c^2}}{\sqrt{1 - \frac{v^2}{c^2}}} \end{aligned}$$

$\frac{v}{c} \rightarrow 0$ : Galilean transformations

No change in the orthogonal directions:  $\mathbf{v} = (v, 0, 0)$ ,  $y = y'$ ,  $z = z'$

Lorentz (1904) : compensation

Einstein (1905): way of observation

**Lorentz symmetry is obeyed by all interactions**

### C. Addition of the velocity

Two different reference frames:  $S$  and  $S'$ :  $x_{\parallel} = \frac{x'_{\parallel} + ut'}{\sqrt{1 - \frac{u^2}{c^2}}}$ ,  $\mathbf{x}_{\perp} = \mathbf{x}'_{\perp}$ ,  $t = \frac{t' + \frac{ux'_{\parallel}}{c^2}}{\sqrt{1 - \frac{u^2}{c^2}}}$

$$\begin{aligned} \mathbf{v}' &= \frac{d\mathbf{x}'}{dt'} \rightarrow \mathbf{v} = \frac{d\mathbf{x}}{dt} \\ \Delta t \rightarrow \Delta x_{\parallel} &= \frac{(v'_{\parallel} + u)\Delta t'}{\sqrt{1 - \frac{u^2}{c^2}}}, & \Delta \mathbf{x}_{\perp} &= \mathbf{v}'_{\perp} \Delta t', & \Delta t &= \frac{(1 + \frac{uv'_{\parallel}}{c^2})\Delta t'}{\sqrt{1 - \frac{u^2}{c^2}}} \\ v_{\parallel} &= \frac{\Delta x_{\parallel}}{\Delta t} = \frac{v'_{\parallel} + u}{1 + \frac{uv'_{\parallel}}{c^2}} = \begin{cases} v'_{\parallel} + u & u, v'_{\parallel} \ll c \\ c & v'_{\parallel} \ll c, u \approx c, \text{ ou } u \ll c, v'_{\parallel} \approx c \end{cases} \\ v_{\perp} &= \mathbf{v}'_{\perp} \frac{\sqrt{1 - \frac{u^2}{c^2}}}{1 + \frac{uv'_{\parallel}}{c^2}} = \begin{cases} \mathbf{v}'_{\perp} & u \ll c \\ 0 & u \approx c \end{cases} \end{aligned}$$

### D. Invariant distance

Euclidean geometry: the invariance of  $s^2 = (\mathbf{x}_2 - \mathbf{x}_1)^2$

identifies the symmetries (translations + rotations)

Minkowski geometry: the invariance of  $s^2 = c^2(t_2 - t_1)^2 - (\mathbf{x}_2 - \mathbf{x}_1)^2$

identifies the symmetries (translations + Lorentz transformations)

**Proof A:** (by Lorentz transformation)

$$x' = \frac{x - vt}{\sqrt{1 - \frac{v^2}{c^2}}}, \quad t' = \frac{t - \frac{vx}{c^2}}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$s^2 = c^2 t^2 - x^2 \rightarrow \frac{(ct - \frac{vx}{c})^2 - (x - vt)^2}{1 - \frac{v^2}{c^2}} = \frac{(c^2 t^2 - x^2)(1 - \frac{v^2}{c^2})}{1 - \frac{v^2}{c^2}} = c^2 t^2 - x^2$$

**Proof B:** (by consistency)

-  $s^2 = 0$  the possibility of exchanging light signal is Lorentz invariant

-  $s^2 \neq 0$  ? Three reference frames,  $S_0$  and  $S_j$ ,  $\mathbf{v}_{S_0 \rightarrow S_j} = \mathbf{v}_j$ ,  $j = 1, 2$ ,  $|\mathbf{v}_1|, |\mathbf{v}_2| \ll c$

Step 1.  $s_j^2 = F(|\mathbf{v}_j|, s_0^2)$

Step 2.  $F(|\mathbf{v}|, 0) = 0$

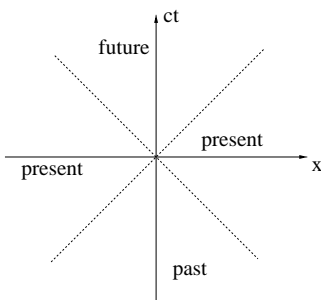
Step 3. continuity of  $F(v, s^2)$  in  $s^2$  at  $s^2 = 0$ :  $ds_j^2 = F(|\mathbf{v}_j|, ds_0^2) \approx \underbrace{\frac{\partial F(|\mathbf{v}_j|, s^2)}{\partial s^2}}_{a(|\mathbf{v}_j|)} \Big|_{s^2=0} ds_0^2$

Step 4.  $ds_j^2 = a(|\mathbf{v}_j|) ds_0^2$ ,  $ds_2^2 = a(|\mathbf{v}_1 - \mathbf{v}_2|) ds_1^2$ ,  $a(|\mathbf{v}_1 - \mathbf{v}_2|) = \frac{a(|\mathbf{v}_2|)}{a(|\mathbf{v}_1|)} \rightarrow a = 1$

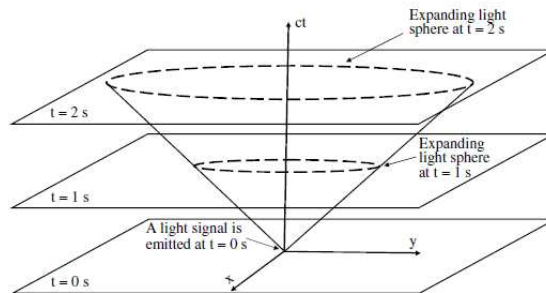
## E. Minkowski geometry

Three different kinds of space-time intervals:

- time-like:  $c^2(t_1 - t_2)^2 - (\mathbf{x}_1 - \mathbf{x}_2)^2 > 0$
- space-like:  $c^2(t_1 - t_2)^2 - (\mathbf{x}_1 - \mathbf{x}_2)^2 < 0$
- light-like:  $c^2(t_1 - t_2)^2 - (\mathbf{x}_1 - \mathbf{x}_2)^2 = 0$



past, present, future



Light cone

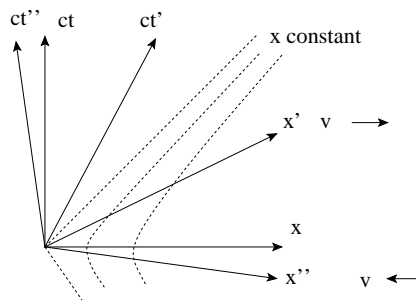
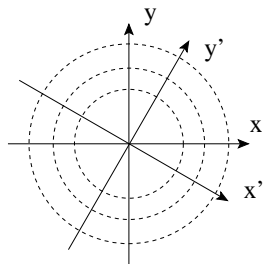
**Change of scale:**

Euclidean:

$$x^2 + y^2 = R^2$$

Minkowski:

$$(ct)^2 - x^2 = s^2$$



**IV. PHYSICAL PHENOMENAS**

**A. Lorentz contraction of the length**

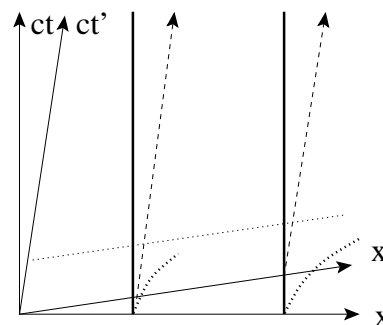
$$\ell = x_2 - x_1$$

$$\ell' = x'_2 - x'_1 \text{ simultaneous coordinates}$$

$$x_a = \frac{x'_a + vt'}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$\ell = \frac{x'_2 - x'_1}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{\ell'}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$$\ell' = \ell \sqrt{1 - \frac{v^2}{c^2}}$$



*A simple model:* apparent rotation of a rectangular board

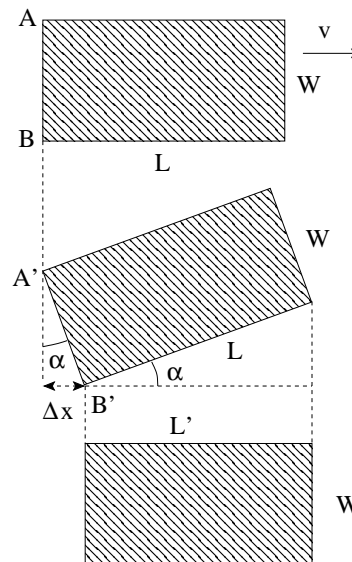
The light, propagating from  $A_0$  and  $B_0$  arrive at the **same time**:

$$\Delta t = \frac{W}{c}$$

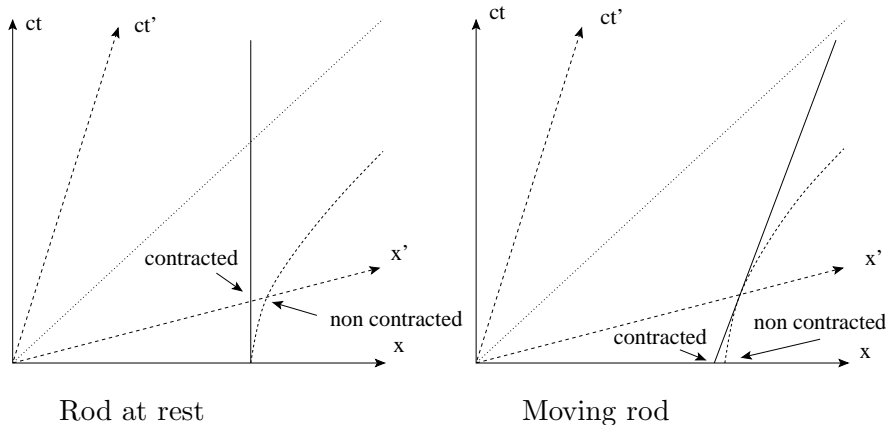
$$\Delta x = v\Delta t = W\frac{v}{c}$$

$$\sin \Theta = \frac{v}{c}, \quad \cos \Theta = \sqrt{1 - \frac{v^2}{c^2}}$$

$$L' = L\sqrt{1 - \frac{v^2}{c^2}} \leftarrow \text{Lorentz contraction}$$



The contraction is relative:



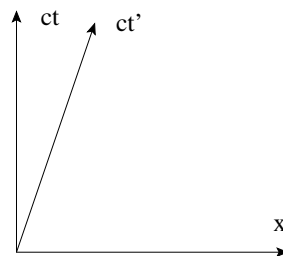
**B. Time dilatation**

**Moving clock:** Proper time:  $t_0 = \frac{\sqrt{s^2}}{c}$

$$s^2 = c^2 t_0^2 = c^2 t^2 - x^2 = c^2 t^2 \left(1 - \frac{v^2}{c^2}\right)$$

$$t = \frac{t_0}{\sqrt{1 - \frac{v^2}{c^2}}}$$

$t > t_0$ : slowing down

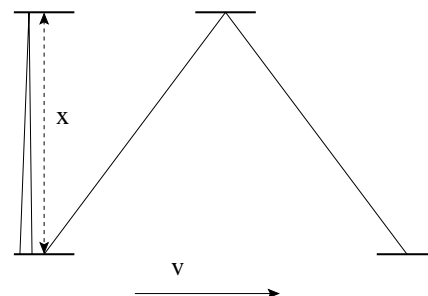


**Optical clock:**

$$c^2 t_0^2 = x^2$$

$$c^2 t'^2 = t'^2 v^2 + t_0'^2 c^2$$

$$t' = \frac{t_0}{\sqrt{1 - \frac{v^2}{c^2}}}$$



Time dilatation  $\implies$  Lorentz contraction

Moving rod with mirrors:

$$c\Delta t_1 = \ell + v\Delta t_1$$

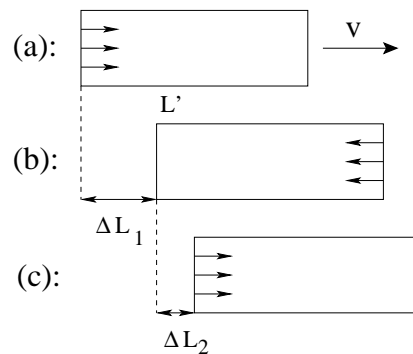
$$c\Delta t_2 = \ell - v\Delta t_2$$

$$2t' = \Delta t_1 + \Delta t_2$$

$$= \frac{\ell'}{c-v} + \frac{\ell'}{c+v} = \frac{2\ell'c}{c^2 - v^2}$$

$$t' = \frac{\ell'}{c} \frac{1}{1 - \frac{v^2}{c^2}}$$

$$\ell' = \ell_0 \sqrt{1 - \frac{v^2}{c^2}} \iff t' = \frac{t_0}{\sqrt{1 - \frac{v^2}{c^2}}} \text{ for } \ell_0 = t_0 c$$



### C. Doppler effect

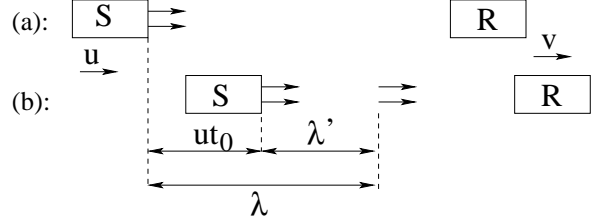
**Non-relativistic:** sound velocity:  $w$

Following one cycle:

$$w\Delta t = \lambda' + u\Delta t$$

$$\lambda' = (w - u)\Delta t = \frac{w - u}{\nu} = \frac{w - v}{\nu'}$$

$$\nu' = \nu \frac{w - v}{w - u}$$

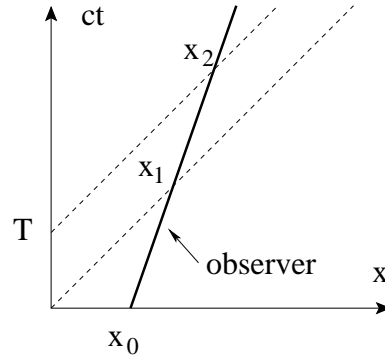


Stationary source:  $u = 0$ ,  $\nu' = \nu \left(1 - \frac{v}{w}\right)$ ,  $\nu' = 0$  for  $v = w$

Stationary receiver:  $v = 0$ ,  $\nu' = \frac{\nu}{1 - \frac{u}{w}}$ ,  $\nu' = \infty$  for  $u = w$

**Relativistic (light):** Two pulses with time difference  $T$ , speed of the detector is  $v$

$$\begin{aligned} x_1 &= ct_1 = x_0 + vt_1 && \rightarrow t_1 = \frac{x_0}{c - v} \\ x_2 &= c(t_2 - T) = x_0 + vt_2 && \rightarrow t_2 = \frac{x_0 + cT}{c - v} \\ t_2 - t_1 &= \frac{T}{1 - \frac{v}{c}}, && x_2 - x_1 = \frac{vT}{1 - \frac{v}{c}} \end{aligned}$$



$$\begin{aligned} T' = t'_2 - t'_1 &= \frac{t_2 - t_1 - \frac{v}{c^2}(x_2 - x_1)}{\sqrt{1 - \frac{v^2}{c^2}}} \\ &= \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \left( \frac{T}{1 - \frac{v}{c}} - \frac{v}{c^2} \frac{vT}{1 - \frac{v}{c}} \right) \\ &= \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}} \frac{T}{1 - \frac{v}{c}} \left( 1 - \frac{v^2}{c^2} \right) = T \frac{\sqrt{1 - \frac{v^2}{c^2}}}{1 - \frac{v}{c}} = T \sqrt{\frac{1 + \frac{v}{c}}{1 - \frac{v}{c}}} \\ \nu' &= \nu \sqrt{\frac{1 - \frac{v}{c}}{1 + \frac{v}{c}}} \neq \underbrace{\nu \left( 1 - \frac{v}{c} \right)}_{\text{non-relativistic}} \end{aligned}$$



**Static gravitational field:**

$$E_{\downarrow}(e^{-}e^{+}) = \underbrace{E_{\uparrow}(e^{-}e^{+})}_{2mc^2} + 2m\Delta U$$

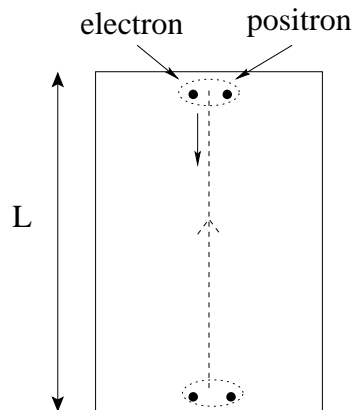
$$= E_{\uparrow}(e^{-}e^{+}) \left( 1 + \frac{\Delta U}{c^2} \right)$$

$$E_{\uparrow}(e^{-}e^{+}) = E_{\uparrow}(\gamma), \quad E_{\downarrow}(e^{-}e^{+}) = E_{\downarrow}(\gamma)$$

$$E = \hbar\omega$$

$$\frac{\omega_{\downarrow}}{\omega_{\uparrow}} = \frac{E_{\downarrow}(e^{-}e^{+})}{E_{\uparrow}(e^{-}e^{+})} = 1 + \underbrace{\frac{\Delta U}{c^2}}_z$$

$$z = \frac{\Delta U}{c^2}$$



**Time-dependent gravitational field:**

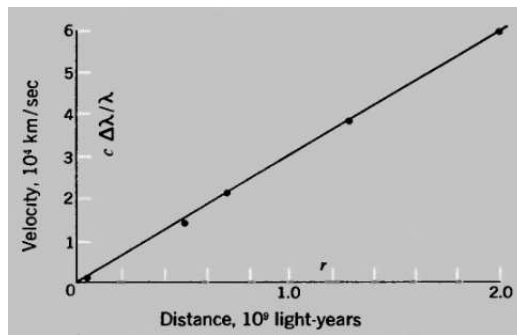
Robertson-Walker model of the Universe

Universe in expansion:  $\lambda_{em} < \lambda_{obs}$

Red shift:  $\frac{\omega_{em}}{\omega_{obs}} = \frac{\lambda_{obs}}{\lambda_{em}} = 1 + z > 1$

Hubble constant:  $z = \frac{H}{c} \ell$

age of the Universe:  $T_U = \frac{1}{H}, z = \frac{\ell}{T_U c}$

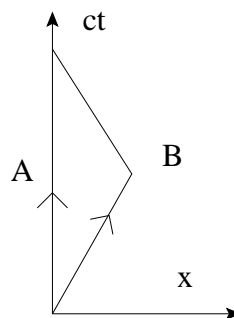


**D. Paradoxes**

**Twins:**

A: rests, B: leaves and returns

Which one is older when they meet again?



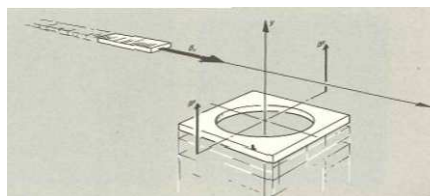
**A rod and a circle:**

$$\ell = 2r, \quad \mathbf{v}_b = (u, 0, 0), \quad \mathbf{v}_c = (0, v, 0)$$

$t = 0$ : the center of the rod and

the origin of the circle coincide

Can they cross each other?



### A spear and a stable:

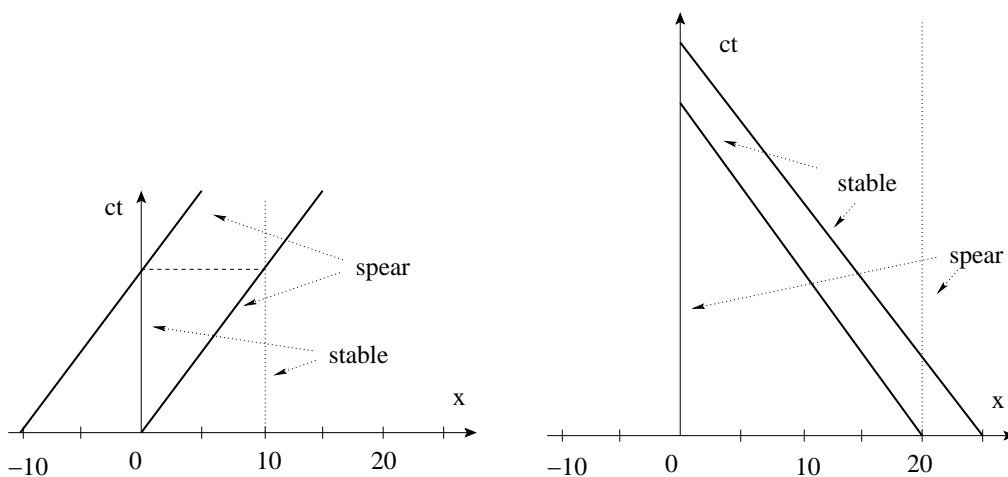
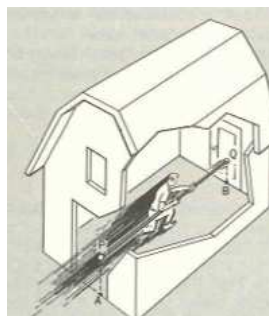
$$l_{spear} = 20m, l_{stable} = 10m$$

$$\sqrt{1 - \frac{v^2}{c^2}} = \frac{1}{2}$$

$$\text{Reference frame of the stable: } l'_{spear} = 10m$$

$$\text{Reference frame of the spear: } l'_{stable} = 5m$$

Can the spear enter into the stable?



## V. RELATIVISTIC MECHANICS

### A. Vectors and tensors

The components of the four-vector,  $x^\mu = (ct, \mathbf{x}) = (x^0, \mathbf{x})$ ,  $\mu = 0, 1, 2, 3$ ,

are mixed by Lorentz transformations

**Invariant length:** to characterize the Lorentz transformations

$$s^2 = x^0{}^2 - \mathbf{x}^2 = x^\mu g_{\mu\nu} x^\nu = xx$$

**Metric tensor:** to define the scalar product

$$g_{\mu\nu} = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

**Scalar product:**

$$xy = \sum_{\mu\nu} x^\mu g_{\mu\nu} y^\nu$$

To suppress  $g$  one uses two representation for each vector  $u$ :

- a covariant,  $u_\mu$ , and
- a contravariant,  $u^\mu$ :

$$u_\mu = g_{\mu\nu}u^\nu, \quad u^\mu = g^{\mu\nu}u_\nu \quad \rightarrow \quad xy = x^\mu y_\mu = x_\mu y^\mu$$

$$u_\mu = g_{\mu\nu}g^{\nu\rho}u_\rho \quad \rightarrow \quad g_{\mu\nu}g^{\nu\rho} = g_\mu^\rho = \delta_\mu^\rho$$

**Einstein convention:**

$$\sum_\mu (\dots)^\mu (\dots)_\mu \rightarrow (\dots)^\mu (\dots)_\mu$$

**Lorentz group:** Family of linear transformations

$$x^\mu \rightarrow x'^\mu = \Lambda^\mu_{\nu} x^\nu,$$

preserving the invariant length,

$$xy = x'^{\mu'} \Lambda^\mu_{\mu'} g_{\mu\nu} \Lambda^\nu_{\nu'} y^{\nu'}, \quad g_{\mu\nu} = \Lambda^\mu_{\mu'} g_{\mu'\nu'} \Lambda^{\nu'}_{\nu}$$

It has 6 dimensions, 3 for spatial rotation,

$$\Lambda = \begin{pmatrix} 1 & \mathbf{0} \\ \mathbf{0} & R \end{pmatrix}, \quad R^{\text{tr}} R = \mathbb{1}$$

and another 3 for boosts:  $\mathbf{x} = \mathbf{x}_\parallel + \mathbf{x}_\perp$ ,  $\mathbf{x}_\parallel \mathbf{x}_\perp = \mathbf{v} \mathbf{x}_\perp = 0$ .

$$\mathbf{x}' = \alpha(\mathbf{x}_\parallel - \mathbf{v}t) + \gamma \mathbf{x}_\perp, \quad t' = \beta \left( t - \frac{\mathbf{x}_\parallel \mathbf{v}}{c^2} \right)$$

Invariance:

$$c^2 t^2 - \mathbf{x}^2 = c^2 \beta^2 \left( t - \frac{\mathbf{x}_\parallel \mathbf{v}}{c^2} \right)^2 - \alpha^2 (\mathbf{x}_\parallel - \mathbf{v}t)^2 - \gamma \mathbf{x}_\perp^2$$

$$= c^2 t^2 \left( \beta^2 - \alpha^2 \frac{\mathbf{v}^2}{c^2} \right) - \mathbf{x}_\parallel^2 \left( \alpha^2 - \frac{c^2}{\tilde{c}^2} \frac{\mathbf{v}^2}{c^2} \beta^2 \right) + 2\mathbf{v} \mathbf{x}_\parallel t \left( \alpha^2 - \frac{c^2}{\tilde{c}^2} \beta^2 \right)$$

$$\mathcal{O}(\mathbf{x}_\perp^2) : \gamma = \pm 1 \rightarrow 1 \quad \leftarrow \quad v = 0$$

$$\mathcal{O}(\mathbf{x}_\perp \mathbf{v}) : 0 = \alpha^2 - \frac{c^2}{\tilde{c}^2} \beta^2$$

$$\mathcal{O}(\mathbf{x}_\parallel^2) : -1 = \frac{c^2}{\tilde{c}^2} \frac{\mathbf{v}^2}{c^2} \beta^2 - \alpha^2 \rightarrow \beta^2 = \frac{\tilde{c}^2}{c^2} \frac{1}{1 - \frac{\mathbf{v}^2}{c^2}}$$

$$\mathcal{O}(c^2 t^2) : 1 = \beta^2 - \alpha^2 \frac{\mathbf{v}^2}{c^2} = \frac{\tilde{c}^2}{c^2} \frac{1}{1 - \frac{c^2}{\tilde{c}^2} \frac{\mathbf{v}^2}{c^2}} \left( 1 - \frac{c^2}{\tilde{c}^2} \frac{\mathbf{v}^2}{c^2} \right) \rightarrow \tilde{c}^2 = c^2$$

$$\alpha = \beta = \frac{1}{\sqrt{1 - \frac{\mathbf{v}^2}{c^2}}} \quad \leftarrow \quad v = 0$$

$$\mathbf{x}'_\parallel = \frac{\mathbf{x}_\parallel - \mathbf{v}t}{\sqrt{1 - \frac{\mathbf{v}^2}{c^2}}}, \quad ct' = \frac{ct - \frac{\mathbf{v} \mathbf{x}_\parallel}{c}}{\sqrt{1 - \frac{\mathbf{v}^2}{c^2}}}$$

Inverse:  $\mathbf{v} \rightarrow -\mathbf{v}$

$$\mathbf{x}_{\parallel} = \frac{\mathbf{x}'_{\parallel} + \mathbf{v}t'}{\sqrt{1 - \frac{v^2}{c^2}}}, \quad ct = \frac{ct' + \frac{\mathbf{v}\mathbf{x}'_{\parallel}}{c}}{\sqrt{1 - \frac{v^2}{c^2}}}$$

**Exercise:** internal consistency,  $\Lambda = \Lambda^{\mu}_{\nu}$ ,  $g = g_{\mu\nu}$ ,  $g^{\text{tr}} = g$ ,

$$\begin{aligned} g &= \Lambda^{\text{tr}} g \Lambda, \quad \leftrightarrow \quad g_{\mu\nu} = \Lambda^{\mu'}_{\mu} g_{\mu'\nu'} \Lambda^{\nu'}_{\nu} \\ \Lambda^{-1} &= g^{-1} \Lambda^{\text{tr}} g = (g \Lambda g^{-1})^{\text{tr}}, \quad \leftrightarrow \quad \Lambda^{-1\mu}_{\nu} = g^{\mu\mu'} \Lambda^{\nu'}_{\mu'} g_{\nu'\nu} = \Lambda^{\mu}_{\nu'} \quad (\text{orth.}) \\ x'^{\mu} &= (\Lambda x)^{\mu} = \Lambda^{\mu}_{\nu} x^{\nu} \\ x^{\mu} &= (g \Lambda g^{-1})^{\mu}_{\nu} x'^{\nu} = x'^{\nu} \Lambda^{\mu}_{\nu} = (x' \Lambda)^{\mu} \\ x'_{\mu} &= (g \Lambda x)_{\mu} = (g \Lambda g^{-1} g x)_{\mu} = \Lambda^{\nu}_{\mu} x_{\nu} = (\Lambda x)_{\mu} \\ x_{\mu} &= x'^{\nu} g_{\nu\lambda} g^{\lambda\rho} \Lambda_{\rho\mu} = x'_{\lambda} \Lambda^{\lambda}_{\mu} = (x' \Lambda)_{\mu} \end{aligned}$$

N.B. rotations :  $\mathbf{x}' = R\mathbf{x}$ ,  $\mathbf{x} = R^{\text{tr}}\mathbf{x}' = \mathbf{x}'R$

contravariant tensor :  $T^{\mu_1 \dots \mu_n} = \Lambda^{\mu_1}_{\nu_1} \dots \Lambda^{\mu_n}_{\nu_n} T^{\nu_1 \dots \nu_n}$

covariant tensor :  $T_{\mu_1 \dots \mu_n} = \Lambda^{\nu_1}_{\mu_1} \dots \Lambda^{\nu_n}_{\mu_n} T_{\nu_1 \dots \nu_n}$

mixed tensor :  $T^{\rho_1 \dots \rho_m}_{\mu_1 \dots \mu_n} = \Lambda^{\rho_1}_{\kappa_1} \dots \Lambda^{\rho_m}_{\kappa_m} \Lambda^{\nu_1}_{\mu_1} \dots \Lambda^{\nu_n}_{\mu_n} T^{\kappa_1 \dots \kappa_m}_{\nu_1 \dots \nu_n}$

**Invariant tensors:**

1.  $g_{\mu\nu} = \Lambda^{\mu'}_{\mu} g'_{\mu'\nu'} \Lambda^{\nu'}_{\nu} \implies g_{\mu\nu}, g^{\mu\nu}, g^{\nu}_{\mu}$
2.  $\epsilon^{0123} = 1$ ,  $\epsilon'^{\mu\nu\rho\sigma} = \Lambda^{\mu}_{\mu'} \Lambda^{\nu}_{\nu'} \Lambda^{\rho}_{\rho'} \Lambda^{\sigma}_{\sigma'} \epsilon^{\mu'\nu'\rho'\sigma'} = \epsilon^{\mu\nu\rho\sigma} \det \Lambda \implies \epsilon^{\mu\nu\rho\sigma}$  (pseudo tensor)

## B. Relativistic generalization of the Newtonian mechanics

**Four-velocity:**  $ds = dx^0 \sqrt{1 - \frac{v^2}{c^2}}$

$$ds^2 = dx^{02} - d\mathbf{x}^2 = dx^{02} \left[ 1 - \left( \frac{d\mathbf{x}}{dx^0} \right)^2 \right] = dx^{02} \left( 1 - \frac{\dot{\mathbf{x}}^2}{c^2} \right)$$

$$ds = dx^0 \sqrt{1 - \frac{v^2}{c^2}}$$

$$u^{\mu} = \frac{dx^{\mu}(s)}{ds} = \dot{x}(s) = \left( \frac{dx^0}{ds}, \frac{dx^0}{ds} \frac{\mathbf{v}}{c} \right) = \left( \frac{1}{\sqrt{1 - \frac{v^2}{c^2}}}, \frac{\frac{\mathbf{v}}{c}}{\sqrt{1 - \frac{v^2}{c^2}}} \right)$$

**Four-acceleration:**

$$\dot{u}^{\mu} = \frac{du^{\mu}}{ds}, \quad u^2 = 1 \quad \longrightarrow \quad uu = 0$$

**Four-momentum:**

$$p^\mu = mcu^\mu = \left( \frac{mc}{\sqrt{1 - \frac{v^2}{c^2}}}, \frac{m\mathbf{v}}{\sqrt{1 - \frac{v^2}{c^2}}} \right) = \left( \frac{E}{c}, \mathbf{p} \right), \quad p^2 = m^2c^2$$

N.B. (i)  $c \rightarrow \infty$ ,  $\mathbf{p} \rightarrow m\mathbf{v}$ , (ii)  $v \rightarrow c$ ,  $\mathbf{p} \rightarrow \infty$

**Four-force:**

$$\frac{dp^\mu}{ds} = \frac{d}{ds} \left( mc \frac{dx^\mu}{ds} \right) = K^\mu$$

Spatial components:

$$\begin{aligned} \frac{dt}{ds} \frac{d}{dt} \left( mc \frac{dt}{ds} \frac{d\mathbf{x}}{dt} \right) &= \mathbf{K} \\ m(v) &= m \frac{dx^0}{ds} = \frac{m}{\sqrt{1 - \frac{v^2}{c^2}}} \\ \frac{d}{dt} \left( m(v) \frac{d\mathbf{x}}{dt} \right) &= \frac{d}{dt} \mathbf{p} = \frac{ds}{dt} \mathbf{K} = \mathbf{F} \end{aligned}$$

Temporal component: (energy equation)

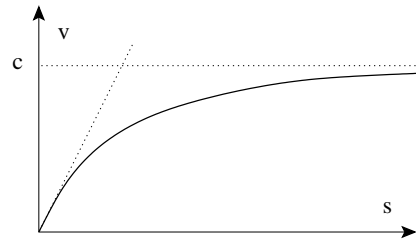
$$\begin{aligned} \frac{d}{ds} \left( mc \frac{dx^0}{ds} \right) &= \frac{d}{ds} \frac{mc}{\sqrt{1 - \frac{v^2}{c^2}}} = K^0 \\ Ku &= 0 \quad \rightarrow \quad \underbrace{K^0}_{\frac{dt}{ds} \frac{d}{dt} cm(v)} \frac{dx^0}{ds} = \underbrace{\mathbf{K}}_{\frac{dt}{ds} \mathbf{F}} \underbrace{\mathbf{u}}_{\frac{dt}{ds} \mathbf{v}} \\ \frac{dm(v)c^2}{dt} &= \mathbf{F}\mathbf{v} \quad \rightarrow \quad m(v)c^2 = \int \mathbf{F}\mathbf{v} dt = \int \mathbf{F} d\mathbf{r} \\ \text{let } \mathbf{F} &= -\nabla\phi \quad \rightarrow \quad E = \underbrace{m(v)c^2}_{cp^0} + \phi \quad \text{is constant} \end{aligned}$$

**Energy:**

$$\begin{aligned} p^\mu &= \left( \frac{E}{c}, m(v)\mathbf{v} \right), \quad \frac{E^2}{c^2} = \mathbf{p}^2 + m^2c^2, \quad E(\mathbf{p}) = \pm c\sqrt{\mathbf{p}^2 + m^2c^2} \\ \frac{\partial |E(\mathbf{p})|}{\partial \mathbf{p}} &= \frac{\mathbf{p}c}{\sqrt{\mathbf{p}^2 + m^2c^2}} = \frac{\frac{\mathbf{p}}{m}}{\sqrt{1 + \frac{\mathbf{p}^2}{m^2c^2}}} = \frac{\frac{\mathbf{v}}{\sqrt{1 - \frac{v^2}{c^2}}}}{\sqrt{1 + \frac{v^2}{c^2(1 - \frac{v^2}{c^2})}}} = \mathbf{v}, \quad |\mathbf{v}| \leq c \end{aligned}$$

**Ex. 1:** Constant three-force,  $\frac{d}{dt} \frac{mv}{\sqrt{1 - \frac{v^2}{c^2}}} = F$ ,  $x_i = v_i = 0$  (non Lorentz inv.!).

$$\begin{aligned} Ft &= \frac{mv}{\sqrt{1 - \frac{v^2}{c^2}}}, \quad 1 - \frac{v^2}{c^2} = \left( \frac{mv}{Ft} \right)^2 \\ c^2 - v^2 &= v^2 \left( \frac{mc}{Ft} \right)^2 \rightarrow c^2 = v^2 \left[ 1 + \left( \frac{mc}{Ft} \right)^2 \right] \\ v &= \frac{c}{\sqrt{1 + \left( \frac{mc}{Ft} \right)^2}} \approx \begin{cases} t \frac{F}{m} & Ft \ll mc \\ c & Ft \gg mc \end{cases} \end{aligned}$$

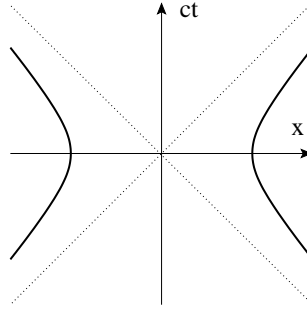


**Ex. 2:** Constant force,  $\frac{dp}{ds} = K$

Co-moving reference frame,  $x_i = v_i = 0$ :  $u = \dot{x} = (1, \mathbf{0})$ ,  $\dot{u} = (0, \frac{a}{c^2})$ ,  $\dot{u}^2 = -\frac{a^2}{c^4}$

$$u^\mu = \left( \cosh \frac{a}{c^2} s, \sinh \frac{a}{c^2} s \right), \quad \dot{u}^\mu = \frac{a}{c^2} \left( \sinh \frac{a}{c^2} s, \cosh \frac{a}{c^2} s \right)$$

$$x^\mu = \frac{c^2}{a} \left( \sinh \frac{a}{c^2} s, \cosh \frac{a}{c^2} s - 1 \right)$$



### C. Interactions

$$E.M. \quad \ddot{x}_a^\mu = F_a^\mu(x_1, \dots, x_n), \quad a = 1, \dots, n$$

$$C.I. \quad x_a(s_i) = x_{ia}, \quad \dot{x}_a(s_i) = u_a$$

#### Problems:

1. The initial conditions are imposed

on the spatial hyper-surface,  $t = t_i$

and the Lorentz boost mixes into the dynamics:

$$\dot{x} \ddot{x} = 0 \quad \rightarrow \quad u_a F_a = 0$$

2. The four-force,  $F_a^\mu(x_1, \dots, x_n)$ , represents a superluminal effect

No-Go theorem: there is no relativistic interaction, mediated by a force of the type

$$F_a^\mu(x_1, \dots, x_n) \neq 0$$

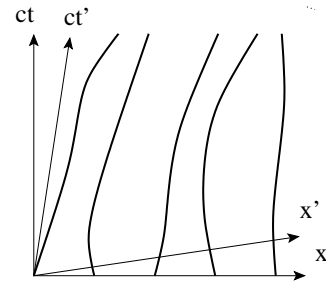
e.g.

$$F_a^\mu(x_1, \dots, x_n) = \sum_{b \neq a} (x_a^\mu - x_b^\mu) f((x_a - x_b)^2) \quad \rightarrow \quad (x_a - x_b) \dot{x}_a \neq 0$$

**Solution:** - distribute degrees of freedom at each space point

- transfer the excitations with a limited speed

$\implies$  the field,  $\phi(t, \mathbf{x})$ , appears in physics



## VI. VARIATIONAL FORMALISM OF A POINT PARTICLE

Need of an equation of motion which is independent of the choice of the coordinate system.

### A. A point on a line

Goal: an equation to identify  $x_{cl} \in \mathbb{R}$ : use a function with extreme at  $c_{cl}$  only

$$S(x) \rightarrow \frac{dS(x)}{dx} \Big|_{x=x_{cl}} = 0$$

Reparametrization of the line (change of coordinate system):  $x \rightarrow y$

$$\frac{dS(x(y))}{dy} \Big|_{y=y_{cl}} = \underbrace{\frac{dS(x)}{dx} \Big|_{x=x_{cl}}}_{0} \frac{dx(y)}{dy} \Big|_{y=y_{cl}} = 0$$

Variational principle, an alternative definition:  $x \rightarrow x + \delta x$

$$\begin{aligned} S(x_{cl} + \delta x) &= S(x_{cl}) + \delta S(x_{cl}) \\ &= S(x_{cl}) + \delta x \underbrace{S'(x_{cl})}_0 + \frac{\delta x^2}{2} S''(x_{cl}) + \mathcal{O}(\delta x^3) \\ \implies \delta S(x_{cl}) &= \mathcal{O}(\delta x^2) \quad (\text{manifestly coordinate independent}) \end{aligned}$$

### B. Non-relativistic particle

$$x_{cl}(t_i) = x_i, x_{cl}(t_f) = x_f \implies x_{cl}(t)$$

Variational principle:  $x(t) \rightarrow x(t) + \delta x(t)$ ,  $\delta x(t_i) = \delta x(t_f) = 0$

$$\begin{aligned} S[x(\cdot)] &= \int_{t_i}^{t_f} dt L(x(t), \dot{x}(t)) \\ \delta S[x(\cdot)] &= \int_{t_i}^{t_f} dt L\left(x(t) + \delta x(t), \dot{x}(t) + \frac{d}{dt}\delta x(t)\right) - \int_{t_i}^{t_f} dt L(x(t), \dot{x}(t)) \\ &= \int_{t_i}^{t_f} dt \left[ L(x(t), \dot{x}(t)) + \delta x(t) \frac{\delta L(x(t), \dot{x}(t))}{\delta x} \right. \\ &\quad \left. + \frac{d}{dt}\delta x(t) \frac{\delta L(x(t), \dot{x}(t))}{\delta \dot{x}} + \mathcal{O}(\delta x(t)^2) - \int_{t_i}^{t_f} dt L(x(t), \dot{x}(t)) \right] \\ &= \int_{t_i}^{t_f} dt \delta x(t) \left[ \frac{\delta L(x(t), \dot{x}(t))}{\delta x} - \frac{d}{dt} \frac{\delta L(x(t), \dot{x}(t))}{\delta \dot{x}} \right] \\ &\quad + \underbrace{\delta x(t)}_0 \frac{\delta L(x(t), \dot{x}(t))}{\delta \dot{x}} \Big|_{t_f}^{t_i} + \mathcal{O}(\delta x(t)^2) \end{aligned}$$

$$\text{Euler-Lagrange equation: } 0 = \frac{\delta L(x, \dot{x})}{\delta x} - \frac{d}{dt} \frac{\delta L(x, \dot{x})}{\delta \dot{x}}$$

$$\text{Lagrangian: } L = T - U = \frac{m}{2} \dot{x}^2 - U(x) \implies m\ddot{x} = -\nabla U(x)$$

$$\text{Generalized momentum: } p = \frac{\delta L}{\delta \dot{x}}, \text{ E-L equation: } \dot{p} = \frac{\delta L}{\delta x}$$

$$\text{Cyclic coordinate: } \frac{\delta L}{\delta x_{cycl}} = 0, p_{cycl} \text{ conserved}$$

$$\text{Energy: Legendre transf., } H(p, x) = p\dot{x} - L(x, \dot{x}) = T + U, \dot{H} = \dot{p}\dot{x} + p\ddot{x} - \dot{x}\frac{\delta L}{\delta x} - \ddot{x}\frac{\delta L}{\delta \dot{x}} = 0$$

**Examples:**

1. Particle moving on a curve,  $y = f(x)$ , on the  $(x, y)$  plane:  $\dot{y} = f'(x)\dot{x}$

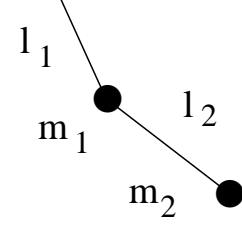
$$L = \frac{m}{2}(\dot{x}^2 + \dot{y}^2) - mgf(x) = \frac{m(x)}{2}\dot{x}^2 - mgf(x), \quad m(x) = m[1 + f'^2(x)]$$

2. Pendulum:

$$L = \frac{m}{2}\ell^2\dot{\theta}^2 + mg\ell \cos \theta$$

3. Double pendulum:

$$\begin{aligned} \mathbf{x}_1 &= \ell_1 \begin{pmatrix} \sin \theta_1 \\ -\cos \theta_1 \end{pmatrix}, & \mathbf{x}_2 &= \mathbf{x}_1 + \ell_2 \begin{pmatrix} \sin \theta_2 \\ -\cos \theta_2 \end{pmatrix} \\ \dot{\mathbf{x}}_1 &= \ell_1 \dot{\theta}_1 \begin{pmatrix} \cos \theta_1 \\ \sin \theta_1 \end{pmatrix}, & \dot{\mathbf{x}}_2 &= \dot{\mathbf{x}}_1 + \ell_2 \dot{\theta}_2 \begin{pmatrix} \cos \theta_2 \\ \sin \theta_2 \end{pmatrix} \end{aligned}$$



$$\begin{aligned} L &= \frac{m_1 + m_2}{2}\ell_1^2\dot{\theta}_1^2 + \frac{m_2}{2}\ell_2^2\dot{\theta}_2^2 + m_2\ell_1\ell_2\dot{\theta}_1\dot{\theta}_2(\cos \theta_1 \cos \theta_2 + \sin \theta_1 \sin \theta_2) \\ &\quad + g(m_1 + m_2)\ell_1 \cos \theta_1 + gm_2\ell_2 \cos \theta_2 \\ 0 &= m_2\ell_1\ell_2\dot{\theta}_1\dot{\theta}_2(-\sin \theta_1 \cos \theta_2 + \cos \theta_1 \sin \theta_2) - g(m_1 + m_2)\ell_1 \sin \theta_1 \\ &\quad + (m_1 + m_2)\ell_1^2\ddot{\theta}_1 - m_2\ell_1\ell_2\frac{d}{dt}[\dot{\theta}_2(\cos \theta_1 \cos \theta_2 + \sin \theta_1 \sin \theta_2)] \\ 0 &= m_2\ell_1\ell_2\dot{\theta}_1\dot{\theta}_2(-\cos \theta_1 \sin \theta_2 + \sin \theta_1 \cos \theta_2) - gm_2\ell_2 \sin \theta_2 \\ &\quad - m_2\ell_2^2\ddot{\theta}_2 - m_2\ell_1\ell_2\frac{d}{dt}[\dot{\theta}_1(\cos \theta_1 \cos \theta_2 + \sin \theta_1 \sin \theta_2)] \end{aligned}$$

### C. Noether's theorem

Each continuous symmetry generates a conserved quantity

*Symmetry:*  $\mathbf{x}(t) \rightarrow \mathbf{x}'(t')$ ,  $L(\mathbf{x}, \dot{\mathbf{x}}) = L(\mathbf{x}', \dot{\mathbf{x}}') + \dot{\Lambda}(t', \mathbf{x}')$

*Continuous symmetry:*  $\exists$  infinitesimal transformations,  $\mathbf{x} \rightarrow \mathbf{x} + \epsilon \mathbf{f}(t, \mathbf{x})$ ,  $t \rightarrow t + \epsilon f(t, \mathbf{x})$

*Conserved quantity:*  $\dot{F}(\mathbf{x}, \dot{\mathbf{x}}) = 0$

(a)  $\mathbf{f} \neq 0$ ,  $f = 0$ :

$$L(\mathbf{x}, \dot{\mathbf{x}}) = L(\mathbf{x} + \epsilon \mathbf{f}, \dot{\mathbf{x}} + \epsilon \partial_t \mathbf{f} + \epsilon (\dot{\mathbf{x}} \partial) \mathbf{f}) + \mathcal{O}(\epsilon^2)$$

A particular variation:  $\epsilon \rightarrow \epsilon(t)$ ,  $\mathbf{x} = \mathbf{x}_{cl}$ ,  $\delta S[\epsilon] = \mathcal{O}(\epsilon^2)$

$$\begin{aligned} \tilde{L}(\epsilon, \dot{\epsilon}) &= L(\mathbf{x} + \epsilon \mathbf{f}, \dot{\mathbf{x}} + \epsilon \partial_t \mathbf{f} + \epsilon (\dot{\mathbf{x}} \partial) \mathbf{f} + \dot{\epsilon} \mathbf{f}) + \mathcal{O}(\epsilon^2) \\ &= \epsilon \left[ \frac{\partial L}{\partial \mathbf{x}} \mathbf{f} + \frac{\partial L}{\partial \dot{\mathbf{x}}} \partial_t \mathbf{f} + \frac{\partial L}{\partial \dot{\mathbf{x}}} (\dot{\mathbf{x}} \partial) \mathbf{f} \right] + \frac{\partial L}{\partial \dot{\mathbf{x}}} \dot{\epsilon} \mathbf{f} + \mathcal{O}(\epsilon^2) \end{aligned}$$

Euler-Lagrange equation:

$$\underbrace{\frac{\partial \tilde{L}(\epsilon, \dot{\epsilon})}{\partial \epsilon}}_0 = \frac{d}{dt} \underbrace{\frac{\partial \tilde{L}(\epsilon, \dot{\epsilon})}{\partial \dot{\epsilon}}}_{p_\epsilon}, \quad p_\epsilon = \frac{\partial L}{\partial \dot{\mathbf{x}}} \mathbf{f}$$



Translations:  $\mathbf{f}(\mathbf{x}) = \mathbf{n}$ ,  $\mathbf{n}^2 = 1$ .  $L = \frac{m}{2}\dot{\mathbf{x}}^2 - U(\mathbf{T}\mathbf{x})$ ,  $T = \mathbb{1} - \mathbf{n} \otimes \mathbf{n}$ ,  $p_\epsilon = m\dot{\mathbf{x}}\mathbf{n}$

Rotations:  $\mathbf{f}(\mathbf{x}) = \mathbf{n} \times \mathbf{x}$ ,  $\mathbf{n}^2 = 1$ .  $L = \frac{m}{2}\dot{\mathbf{x}}^2 - U(|\mathbf{x}|)$ ,  $p_\epsilon = m\dot{\mathbf{x}}(\mathbf{n} \times \mathbf{x}) = \mathbf{n}(\mathbf{x} \times m\dot{\mathbf{x}}) = \mathbf{nL}$

(b)  $\mathbf{f} = 0$ ,  $f \neq 0$ :  $t \rightarrow t' = t + \epsilon$ ,  $\mathbf{x}(t) \rightarrow \mathbf{x}(t - \epsilon) = \mathbf{x}(t) - \epsilon\dot{\mathbf{x}}(t)$ ,  $\delta\mathbf{x} = -\epsilon\dot{\mathbf{x}}$

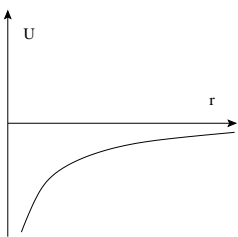
$$\begin{aligned} S[\mathbf{x}] &= \int_{t_i + \epsilon(t_i)}^{t_f + \epsilon(t_f)} \frac{dt}{1 + \dot{\epsilon}} L(\mathbf{x}(t - \epsilon), \dot{\mathbf{x}}(t - \epsilon)) \\ 0 &= - \int_{t_i}^{t_f} dt \left( \epsilon\dot{\mathbf{x}} \frac{\partial L}{\partial \mathbf{x}} + \frac{d}{dt} \epsilon\dot{\mathbf{x}} \frac{\partial L}{\partial \dot{\mathbf{x}}} + \dot{\epsilon} L \right) + \epsilon L(\mathbf{x}(t), \dot{\mathbf{x}}(t)) \Big|_{t_i}^{t_f} \\ &= - \int_{t_i}^{t_f} dt \left[ \epsilon\dot{\mathbf{x}} \left( \frac{\partial L}{\partial \mathbf{x}} - \frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{x}}} \right) + \dot{\epsilon} L \right] + \epsilon \left( L - \dot{\mathbf{x}} \frac{\partial L}{\partial \dot{\mathbf{x}}} \right) \Big|_{t_i}^{t_f} \\ \epsilon(t) = \epsilon H &= \frac{\partial L}{\partial \dot{\mathbf{x}}} \dot{\mathbf{x}} - L \quad \text{is conserved} \end{aligned}$$

## D. Examples

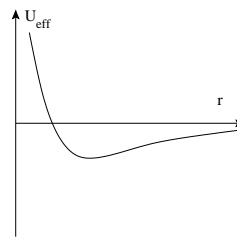
### 1. Spherically symmetric potential:

$$\begin{aligned} L &= \frac{m}{2}\dot{\mathbf{x}}^2 - U(|\mathbf{x}|) \\ \mathbf{x} &= r \begin{pmatrix} \sin \theta \cos \phi \\ \sin \theta \sin \phi \\ \cos \theta \end{pmatrix}, \quad \dot{\mathbf{x}} = \dot{r} \begin{pmatrix} \sin \theta \cos \phi \\ \sin \theta \sin \phi \\ \cos \theta \end{pmatrix} + r \begin{pmatrix} \dot{\theta} \cos \theta \cos \phi - \dot{\phi} \sin \theta \sin \phi \\ \dot{\theta} \cos \theta \sin \phi + \dot{\phi} \sin \theta \cos \phi \\ -\dot{\theta} \sin \theta \end{pmatrix} \\ L &= \frac{m}{2}[\dot{r}^2 + r^2\dot{\theta}^2 + r^2\dot{\phi}^2 \sin^2 \theta] - U(r) \\ 0 &= \frac{\delta L(x, \dot{x})}{\delta x} - \frac{d}{dt} \frac{\delta L(x, \dot{x})}{\delta \dot{x}} \\ 0 &= r^2 \dot{\phi}^2 \sin \theta \cos \theta - \frac{d}{dt} r^2 \dot{\theta} \quad \rightarrow \quad \text{planar motion : } \theta = \frac{\pi}{2} \\ 0 &= \frac{d}{dt} \frac{\delta L}{\delta \dot{\phi}} = \frac{d}{dt} p_\phi = \frac{d}{dt} m r^2 \dot{\phi} \sin^2 \theta \quad \rightarrow \quad \text{conservation of } p_\phi = m r^2 \dot{\phi} = L_z = \ell \\ 0 &= -U'(r) - m\ddot{r} + m r (\dot{\theta}^2 + \dot{\phi}^2 \sin^2 \theta) = -U'(r) - m\ddot{r} + m r \dot{\phi}^2 \\ m\ddot{r} &= -U'(r) + \frac{\ell^2}{m r^3} = -U'_{eff}(r), \quad U_{eff}(r) = U(r) + \frac{\ell^2}{2m r^2} \end{aligned}$$

One-dimensional motion in an effective potential with an centrifugal barrier:

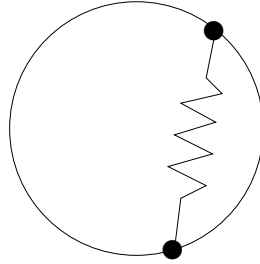


$$\begin{aligned} L &= \frac{m}{2}\dot{r}^2 - U_{eff}(r) \\ U(r) &= -\frac{e^2}{r} \end{aligned}$$



- What can one say about the possibility of falling into the center for  $U(r) = -\frac{g}{r^n}$  with  $n = 2$  or  $3$ ?
- Is there circular orbit for  $U(r) = -\frac{g_1}{r} - \frac{g_3}{r^3}$ ?
- What are the stable orbits for  $U(r) = -g\frac{e^{-kr}}{r}$ ?

**2. Two particles on a ring:** Two point particles of mass  $m$  move on a ring of radius  $r$  without friction. They are connected by a massless spring of length  $\ell < 2r$  and spring constant  $k$ .



(a): Find a continuous symmetry and the corresponding conserved quantity.

(b): Solve the equation of motion for small oscillations.

- Lagrangian:

$$L = \frac{m}{2}r^2(\dot{\alpha}^2 + \dot{\beta}^2) - \frac{k}{2} \left( 2r \sin \frac{\alpha - \beta}{2} - \ell \right)^2$$

- Symmetry:  $\alpha \rightarrow \alpha + \phi$ ,  $\beta \rightarrow \beta + \phi$  (rotation)

$$L_z = \frac{1}{\phi} \frac{\partial L}{\partial \dot{\mathbf{x}}} \delta \mathbf{x} = mr^2(\dot{\alpha} + \dot{\beta})$$

- New coordinates:  $\Theta = \frac{\alpha + \beta}{2}$ ,  $\chi = \alpha - \beta$ ,  $\Theta \rightarrow \Theta + \phi$ ,  $\chi \rightarrow \chi$

$$\begin{aligned} L &= \frac{m}{2}r^2(\dot{\alpha}^2 + \dot{\beta}^2) - \frac{k}{2} \left( 2r \sin \frac{\alpha - \beta}{2} - \ell \right)^2 \\ &= \frac{m}{2}r^2 \left[ \left( \dot{\Theta} + \frac{\dot{\chi}}{2} \right)^2 + \left( \dot{\Theta} - \frac{\dot{\chi}}{2} \right)^2 \right] - \frac{k}{2} \left( 2r \sin \frac{\chi}{2} - \ell \right)^2 \\ &= mr^2 \left( \dot{\Theta}^2 + \frac{\dot{\chi}^2}{4} \right) - \frac{k}{2} \left( 2r \sin \frac{\chi}{2} - \ell \right)^2 \end{aligned}$$

- Conservation law:

$$p_{\Theta} = \frac{\partial L}{\partial \dot{\Theta}} = 2mr^2\dot{\Theta} = L_z$$

- E.O.M.

$$\begin{aligned} 0 &= \frac{\delta L(x, \dot{x})}{\delta x} - \frac{d}{dt} \frac{\delta L(x, \dot{x})}{\delta \dot{x}} \\ \delta \Theta : 2mr^2\ddot{\Theta} &= 0, \quad \Theta = \Theta_0 + \Omega t \\ \delta \chi : \frac{mr^2}{2}\ddot{\chi} &= -kr \left( 2r \sin \frac{\chi}{2} - \ell \right) \cos \frac{\chi}{2} \end{aligned}$$

- Small oscillations:  $2r \sin \frac{\chi}{2} \approx \ell$ ,  $2r \sin \frac{\chi_0}{2} = \ell$ ,  $\chi = \chi_0 + \epsilon$

$$\frac{mr^2}{2}\ddot{\epsilon} = -kr \left( 2r \sin \frac{\chi_0 + \epsilon}{2} - \ell \right) \cos \frac{\chi_0 + \epsilon}{2} \approx -kr^2 \epsilon \cos^2 \frac{\chi_0}{2}$$

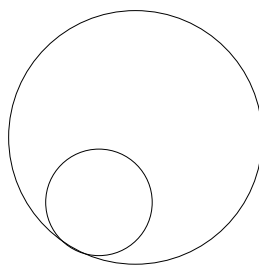
$$\omega^2 = 2\frac{k}{m} \cos^2 \frac{\chi_0}{2} = 2\frac{k}{m} \left[ 1 - \left( \frac{\ell}{2r} \right)^2 \right]$$

Special cases:

$$\ell \approx 2r : \quad \omega \approx 0 \quad \text{Why?}$$

$$\ell \approx 0 : \quad \omega \approx \sqrt{\frac{2k}{m}}$$

**Sphere in a cylinder:** A sphere of radius  $r$  and mass  $m$  rolls without friction and slipping within a cylinder of radius  $R > r$  (in three dimensions!).



(a): Find a continuous symmetry and the corresponding conserved quantity.

(b): Solve the equation of motion for small oscillations.

- Coordinate:  $(\Theta, z)$

- Lagrangian:  $\mu = \frac{m}{4\pi r^2} = \frac{dm}{d\sigma}$ , cylindrical coordinates  $(r, \phi, z)$ ,

$$K = \frac{\mu}{2} r \underbrace{\int_{-r}^r dz \sqrt{1 + (\partial_z \sqrt{r^2 - z^2})^2}}_{\int d\sigma} \int_0^{2\pi} d\alpha \{ r^2 \dot{\Theta}^2 [(1 + \sin \alpha)^2 + \cos^2 \alpha] + \dot{z}^2 \}$$

$$= \frac{m}{2} \left[ 2r^2 \dot{\Theta}^2 \int_0^{2\pi} \frac{d\alpha}{2\pi} (1 + \sin \alpha) + \dot{z}^2 \right]$$

$$= \frac{m}{2} (2r^2 \dot{\Theta}^2 + \dot{z}^2)$$

$$U = mgr(1 - \cos \phi), \quad R\phi = r\Theta$$

$$L = \frac{m}{2} \dot{z}^2 + mr^2 \dot{\Theta}^2 - mgr \left( 1 - \cos \Theta \frac{r}{R} \right)$$

- Symmetry:  $z \rightarrow z + a$ ,  $p_z = \frac{\delta L}{\delta \dot{z}} = m\dot{z}$

- E.O.M.

$$0 = \frac{\delta L(x, \dot{x})}{\delta x} - \frac{d}{dt} \frac{\delta L(x, \dot{x})}{\delta \dot{x}}$$

$$\delta\Theta : 2mr^2 \ddot{\Theta} = -mgr \sin \Theta \frac{r}{R}$$

$$\delta z : m\ddot{z} = 0, \quad z = z_0 + v_z t$$

- Small oscillations:  $\Theta \approx 0$

$$\ddot{\Theta} = -\frac{mgr}{2mr^2} \frac{r}{R} \Theta = -\frac{g}{2mR} \Theta, \quad \omega = \sqrt{\frac{g}{2mR}}$$

### E. Relativistic particle

- Lorentz invariant action:

$$\begin{aligned} S &= -mc \int_{s_i}^{s_f} ds \\ &= \int_{s_i}^{s_f} d\tau \underbrace{(-mc) \sqrt{\frac{dx^\mu}{d\tau} g_{\mu\nu} \frac{dx^\mu}{d\tau}}}_{L_\tau} \end{aligned}$$

- E.O.M.:  $x^\mu(\tau) \rightarrow x^\mu(\tau) + \delta x^\mu(\tau)$  ( $\tau \neq s$ ),

$$\begin{aligned} 0 &= -mc \frac{d}{d\tau} \frac{\frac{dx^\mu}{d\tau}}{\sqrt{\frac{dx^\rho}{d\tau} g_{\rho\nu} \frac{dx^\mu}{d\tau}}} = -mc \frac{\frac{d^2 x^\mu}{d\tau^2}}{\sqrt{\frac{dx^\rho}{d\tau} g_{\rho\nu} \frac{dx^\mu}{d\tau}}} + mc \frac{\frac{dx^\mu}{d\tau} \frac{d^2 x^\rho}{d\tau^2} g_{\rho\nu} \frac{dx^\mu}{d\tau}}{\sqrt{\left(\frac{dx^\rho}{d\tau} g_{\rho\nu} \frac{dx^\mu}{d\tau}\right)^3}} \\ &= -mc \ddot{x}^\mu \quad (\tau = s) \end{aligned}$$

- The four-momentum:

$$p^\mu = -\frac{\partial L}{\partial \dot{x}_\mu} = mc \dot{x}^\mu$$

- Nonrelativistic notation:  $x^\mu = (ct, \mathbf{x})$ ,

$$\begin{aligned} S &= \int_{s_i}^{s_f} d\tau (-mc) \sqrt{\frac{dx^\mu}{d\tau} g_{\mu\nu} \frac{dx^\mu}{d\tau}} \\ &= \int_{t_i}^{t_f} dt \underbrace{(-mc^2) \sqrt{1 - \frac{\mathbf{v}^2}{c^2}}}_{L_t} = -mc^2(t_f - t_i) + \int_{x_i}^{x_f} dt \left[ \frac{m}{2} \mathbf{v}^2 + \mathcal{O}\left(\frac{v^4}{c^2}\right) \right] \\ \mathbf{p} &= \frac{\partial L}{\partial \mathbf{v}} = \frac{m\mathbf{v}}{\sqrt{1 - \frac{v^2}{c^2}}} \\ E &= \frac{\partial L}{\partial \dot{\mathbf{x}}} \dot{\mathbf{x}} - L = \mathbf{p}\mathbf{v} - L \\ &= \frac{m \left[ v^2 + c^2 \left( 1 - \frac{v^2}{c^2} \right) \right]}{\sqrt{1 - \frac{v^2}{c^2}}} = \frac{mc^2}{\sqrt{1 - \frac{v^2}{c^2}}} = mc^2 + \frac{v^2}{2m} + \mathcal{O}\left(\frac{v^4}{c^2}\right) \end{aligned}$$

#### Motion in a spherically symmetric potential:

- Coordinates:  $x^\mu = (ct, r, \theta, \phi)$

- Action:

$$\begin{aligned} S &= -mc \int ds \sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu} - \int dt U(r) = - \int ds [mc \sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu} + \dot{t} U(r)] \\ L &= -mc \sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu} - \dot{t} U(r) \\ &= -mc \sqrt{c^2 \dot{t}^2 - \dot{r}^2 - r^2 (\dot{\theta}^2 + \sin^2 \theta \dot{\phi}^2)} - \dot{t} U(r) \end{aligned}$$

- $\delta\theta$  :

$$r^2 \sin\theta \cos\theta \dot{\phi}^2 = \frac{d}{ds} r^2 \dot{\theta} \quad \rightarrow \quad \theta(s) = \frac{\pi}{2}$$

- Cyclic coordinates:  $t, \phi$ :

$$\begin{aligned} \frac{\partial L}{\partial \dot{t}} &= -mc^3 \dot{t} - U(r) = -mc^2 - E \quad \rightarrow \quad \dot{t} = \frac{(mc^2 + E - U)^2}{m^2 c^6}, \\ \frac{\partial L}{\partial \dot{\phi}} &= mcr^2 \dot{\phi} = \ell, \end{aligned}$$

- Energy equation:  $1 = \dot{x}^2$

$$1 = c^2 \dot{t}^2 - \dot{r}^2 - r^2 \dot{\phi}^2 = \frac{(mc^2 + E - U)^2}{m^2 c^4} - \dot{r}^2 - \frac{\ell^2}{m^2 c^2 r^2}$$

- Radial equation of motion:

$$\begin{aligned} \dot{r}^2 &= \dot{t}^2 \left( \frac{dr}{dt} \right)^2 = \frac{(mc^2 + E - U)^2}{m^2 c^4} - \frac{\ell^2}{m^2 c^2 r^2} - 1 \\ \left( \frac{dr}{dt} \right)^2 &= \frac{m^2 c^6}{(mc^2 + E - U)^2} \left[ \frac{(mc^2 + E - U)^2}{m^2 c^4} - \frac{\ell^2}{m^2 c^2 r^2} - 1 \right] \\ &= c^2 \left[ 1 - \frac{\frac{\ell^2 c^2}{r^2} + m^2 c^4}{(mc^2 + E - U)^2} \right] \\ &= c^2 \left[ 1 - \frac{\frac{\ell^2}{m^2 c^2 r^2} + 1}{\left(1 + \frac{E - U}{mc^2}\right)^2} \right] \end{aligned}$$

- Nonrelativistic limit,  $\frac{v}{c} \rightarrow 0$ , in the leading  $\mathcal{O}\left(\left(\frac{v}{c}\right)^0\right)$  order: ( $U, E = \mathcal{O}\left(\left(\frac{v}{c}\right)^0\right)$ ):

$$\begin{aligned} \left( \frac{dr}{dt} \right)^2 &\approx c^2 \left[ 1 - \left( \frac{\ell^2}{m^2 c^2 r^2} + 1 \right) \left( 1 - 2 \frac{E - U}{mc^2} \right) \right] \approx c^2 \left( -\frac{\ell^2}{m^2 c^2 r^2} + 2 \frac{E - U}{mc^2} \right) \\ &= -\frac{\ell^2}{m^2 r^2} + \frac{2}{m} (E - U) \\ E &\approx \frac{m}{2} \left( \frac{dr}{dt} \right)^2 + \frac{\ell^2}{2mr^2} + U \end{aligned}$$

- Further problems:

1.  $\mathcal{O}\left(\left(\frac{v}{c}\right)^2\right)$  ?

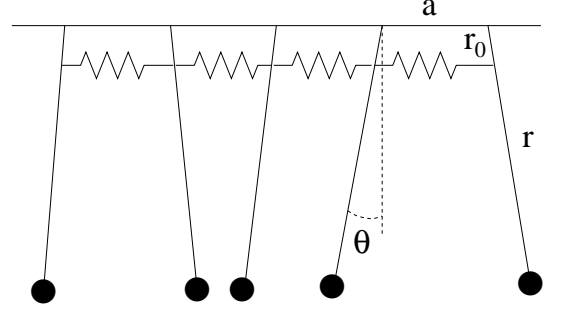
2. Find the equation of motion of the action

$$S = -mc \int ds \sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu} - \frac{1}{c} \int ds U(r)$$

## VII. FIELD THEORIES

Mechanical analogy:  $\theta_n(t) \rightarrow \Phi \theta_n(t) = \phi(t, x_n)$ ,  $\Phi = r_0 \sqrt{ak}$ ,  $c = a \frac{r_0}{r} \sqrt{\frac{k}{m}}$ ,  $\lambda = \frac{gr}{a}$

$$\begin{aligned}
L &= \sum_n \left[ \frac{mr^2}{2} \dot{\theta}_n^2 - \frac{kr_0^2}{2} (\theta_{n+1} - \theta_n)^2 + gr \cos \theta_n \right] \\
&= a \sum_n \left[ \frac{1}{2c^2} (\partial_t \phi_n)^2 - \frac{1}{2} \left( \frac{\phi_{n+1} - \phi_n}{a} \right)^2 + \lambda \cos \frac{\phi_n}{\Phi} \right] \\
&\rightarrow \int dx \left[ \frac{1}{2c^2} (\partial_t \phi(x))^2 - \frac{1}{2} (\partial_x \phi(x))^2 + \lambda \cos \frac{\phi(x)}{\Phi} \right] \\
S &= \int dt dx \left[ \frac{1}{2} \partial_\mu \phi(x) \partial^\mu \phi(x) + \lambda \cos \frac{\phi(x)}{\Phi} \right]
\end{aligned}$$



### A. Equation of motion

Auxiliary conditions:  $\phi(t_i, \mathbf{x}) = \phi_i(\mathbf{x})$ ,  $\phi(t_f, \mathbf{x}) = \phi_f(\mathbf{x})$

Action:

$$S[\phi(\cdot)] = \int_V \underbrace{dt d^3x}_{\frac{1}{c} d^4x} L(\phi, \partial\phi)$$

Variation:

$$\phi(x) \rightarrow \phi(x) + \delta\phi(x), \quad \delta\phi(t_i, \mathbf{x}) = \delta\phi(t_f, \mathbf{x}) = 0$$

$$\begin{aligned}
\delta S &= \int_V dx \left( \frac{\partial L(\phi, \partial\phi)}{\partial \phi_a} \delta\phi_a + \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi_a} \delta \partial_\mu \phi_a \right) + \mathcal{O}(\delta^2 \phi) \\
&= \int_V dx \left( \frac{\partial L(\phi, \partial\phi)}{\partial \phi_a} \delta\phi_a + \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi_a} \partial_\mu \delta\phi_a \right) + \mathcal{O}(\delta^2 \phi) \\
&= \int_{\partial V} ds^\mu \delta\phi_a \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi_a} + \int_V dx \delta\phi_a \left( \frac{\partial L(\phi, \partial\phi)}{\partial \phi_a} - \partial_\mu \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi_a} \right) + \mathcal{O}(\delta^2 \phi)
\end{aligned}$$

Surface contributions:

$$\begin{aligned}
\mu = 0 &: \int_{\partial V} ds^0 \delta\phi_a \frac{\partial L(\phi, \partial\phi)}{\partial \partial_0 \phi_a} = \int_{t=t_f} d^3x \underbrace{\delta\phi_a}_0 \frac{\partial L(\phi, \partial\phi)}{\partial \partial_0 \phi_a} - \int_{t=t_i} d^3x \underbrace{\delta\phi_a}_0 \frac{\partial L(\phi, \partial\phi)}{\partial \partial_0 \phi_a} = 0 \\
\mu = j &: \int_{\partial V} ds^j \delta\phi_a \frac{\partial L(\phi, \partial\phi)}{\partial \partial_j \phi_a} = \int_{x_j=\infty} ds^j \delta\phi_a \frac{\partial L(\phi, \partial\phi)}{\partial \partial_j \phi_a} - \int_{x_j=-\infty} ds^j \delta\phi_a \frac{\partial L(\phi, \partial\phi)}{\partial \partial_j \phi_a} \quad \text{ignored}
\end{aligned}$$

Euler-Lagrange equation:

$$\frac{\partial L(\phi, \partial\phi)}{\partial \phi_a} - \partial_\mu \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi_a} = 0.$$

### B. Wave equations for a scalar particle

Schrödinger equation:

$$i\hbar \partial_t \psi(t, \mathbf{x}) = \left[ -\frac{\hbar^2}{2m} \Delta + U(\mathbf{x}) \right] \psi(t, \mathbf{x}), \quad L = \psi^* \left[ i\hbar \partial_t + \frac{\hbar^2}{2m} \Delta - U(\mathbf{x}) \right] \psi$$

Relativistic generalisation:  $\hat{p}_\mu = (\frac{E}{c}, -\mathbf{p}) = -\frac{\hbar}{i}\partial_\mu$ ,  $\hat{p}^\mu = (\frac{E}{c}, \mathbf{p}) = i\hbar\partial^\mu$ ,

Klein-Gordon equation:  $p^\mu = mc\dot{x}^\mu$ ,  $p^2 = m^2c^2$ :

$$0 = (\hat{p}^2 - m^2c^2)\phi = -\hbar^2 \left( \partial_\mu\partial^\mu + \frac{m^2c^2}{\hbar^2} \right) \phi,$$

$$0 = \left( \square + \frac{1}{\lambda_C^2} \right) \phi, \quad \lambda_C = \frac{\hbar}{mc} \quad (\text{Compton wavelength})$$

with interaction:

$$\text{real } L = \frac{1}{2}(\partial\phi)^2 - \frac{1}{2\lambda_C^2}\phi^2 - U(\phi)$$

$$\text{complex } L = \partial\phi^*\partial\phi - \frac{1}{\lambda_C^2}\phi^*\phi - V(\phi^*\phi)$$

Free particle:  $U(\phi) = \frac{m^2c^2}{2\hbar^2}\phi^2$ ,  $V(\phi^*\phi) = \frac{m^2c^2}{\hbar^2}\phi^*\phi$ ,  $\phi(x) = e^{-\frac{i}{\hbar}p_\mu x^\mu}$ ,

$$0 = \left( \partial_\mu\partial^\mu + \frac{m^2c^2}{\hbar^2} \right) e^{-\frac{i}{\hbar}p_\mu x^\mu}$$

$$0 = p^2 - \frac{m^2c^2}{\hbar^2}$$

$$\phi(x) = e^{\mp i p_\mu x^\mu}, \quad p_0 = \omega_p = \sqrt{\frac{m^2c^2}{\hbar^2} + \mathbf{p}^2}$$

### C. Electrodynamics

Charge:  $x_a^\mu(s)$ ,  $a = 1, \dots, n$ , EM field:  $A^\mu(x)$

**Charge:**

$$S_{ch} = - \int_{x_i}^{x_f} \left( mc ds + \frac{e}{c} A_\mu dx^\mu \right) = \int_{\tau_i}^{\tau_f} L_\tau d\tau$$

$$L_\tau = -mc\sqrt{x'^2} - \frac{e}{c} A_\mu(x) x'^\mu$$

Gauge transformation:  $A_\mu(x) \rightarrow A_\mu(x) + \partial_\mu\Phi(x)$

Gauge invariance:  $\Delta S = \frac{e}{c}[\Phi(x_i) - \Phi(x_f)]$  drops out from the equations of motion

Euler-Lagrange equation:

$$0 = \frac{\partial L_\tau}{\partial x^\mu} - \frac{d}{d\tau} \frac{\partial L_\tau}{\partial x'^\mu} = -\frac{e}{c} \partial_\mu A_\nu(x) x'^\nu + mc \frac{d}{d\tau} \frac{x'_\mu}{\sqrt{x'^2}} + \frac{e}{c} \frac{d}{d\tau} A_\mu(x)$$

$$\tau \rightarrow s : \quad mc\ddot{x}_\nu = \frac{e}{c} F_{\mu\nu} \dot{x}^\mu$$

Field strength tensor:

$$F_{\mu\nu} = \partial_\mu A_\nu(x) - \partial_\nu A_\mu(x).$$

Electric current:  $\frac{dx^0(s)}{ds} > 0$ ,

$$j^\mu(x) = c \sum_a \int ds \delta^{(4)}(x - x_a(s)) \dot{x}^\mu$$

$$\begin{aligned}
&= c \sum_a \int ds \delta^{(3)}(\mathbf{x} - \mathbf{x}_a(s)) \delta(x^0 - x_a^0(s)) \dot{x}^\mu, \quad ds = \frac{dx^0(s)}{|\dot{x}^0|} \\
&= c \sum_a \delta^{(3)}(\mathbf{x} - \mathbf{x}_a(s)) \frac{\dot{x}^\mu}{|\dot{x}^0|}, \quad \frac{\dot{x}^\mu}{|\dot{x}^0|} = \frac{\frac{dx^\mu}{ds}}{\frac{dx^0}{ds}} = \frac{1}{c} \frac{dx^\mu}{dt} \\
&= \underbrace{\sum_a \delta^{(3)}(\mathbf{x} - \mathbf{x}_a(s)) \frac{dx^\mu}{dt}}_{\rho(\mathbf{x})} = (c\rho, \rho\mathbf{v})
\end{aligned}$$

Current conservation:

$$\partial_\mu j^\mu = c\partial_0\rho + \nabla \cdot \mathbf{j} = \sum_a e_a [-\mathbf{v}_a(t) \nabla \delta(\mathbf{x} - \mathbf{x}_a(t)) + \nabla \delta(\mathbf{x} - \mathbf{x}_a(t)) \mathbf{v}_a(t)] = 0$$

**EM field:**

Lagrangian:

1.  $L_A = \mathcal{O}((\partial_0 A_\mu)^2)$
2. invariance de Lorentz
3. invariance de jauge

$$L_A = -\frac{1}{16\pi} F^{\mu\nu} F_{\mu\nu}$$

Full action:

$$\begin{aligned}
S_{EM} &= -\sum_a \left[ mc \int ds \sqrt{\dot{x}_a^\mu g_{\mu\nu} \dot{x}_a^\nu} + \frac{e}{c} \int A_\mu(x) dx^\mu \right] - \frac{1}{16\pi c} \int F^{\mu\nu} F_{\mu\nu} dx \\
&= -mc \sum_a \int ds \sqrt{\dot{x}_a^\mu g_{\mu\nu} \dot{x}_a^\nu} - \frac{1}{c} \int \left[ \frac{1}{16\pi} F^{\mu\nu} F_{\mu\nu} + \frac{e}{c} j^\mu A_\mu \right] dx
\end{aligned}$$

$$\begin{aligned}
0 &= \frac{\delta L}{\delta A_\mu} - \partial_\nu \frac{\delta L}{\delta \partial_\nu A_\mu}, \quad F^{\mu\nu} F_{\mu\nu} = 2\partial_\mu A_\nu \partial^\mu A^\nu - 2\partial_\mu A_\nu \partial^\nu A^\mu \\
&= -\frac{e}{c} j^\mu + \frac{1}{4\pi} \partial_\nu (\partial^\nu A^\mu - \partial^\mu A^\nu) \\
\frac{1}{4\pi} \partial_\nu F^{\nu\mu} &= \frac{e}{c} j^\mu
\end{aligned}$$

Bianchi identity :  $\partial_\mu \partial_\nu A_\rho = \partial_\nu \partial_\mu A_\rho$

$$\partial_\rho F_{\mu\nu} + \partial_\nu F_{\rho\mu} + \partial_\mu F_{\nu\rho} = 0$$

Maxwell:  $(\mathbf{E}, \mathbf{H}) \rightarrow A_\mu$ , the first unification in physics,  $A^\mu = (\phi, \mathbf{A})$ ,  $A_\mu = (\phi, -\mathbf{A})$

$$\begin{aligned}
\mathbf{E} &= -\partial_0 \mathbf{A} - \nabla \phi = -\frac{1}{c} \partial_t \mathbf{A} - \nabla \phi, \\
\mathbf{H} &= \nabla \times \mathbf{A}.
\end{aligned}$$



Inversion:

$$\epsilon_{jkl}H_l = \epsilon_{jkl}\epsilon_{lmn}\nabla_m A_n = (\delta_{jm}\delta_{kn} - \delta_{jn}\delta_{km})\nabla_m A_n = \nabla_j A_k - \nabla_k A_j = -F_{jk}$$

Field strength:

$$F_{\mu\nu} = \begin{pmatrix} 0 & E_x & E_y & E_z \\ -E_x & 0 & -H_z & H_y \\ -E_y & H_z & 0 & -H_x \\ -E_z & -H_y & H_x & 0 \end{pmatrix}, \quad F^{\mu\nu} = \begin{pmatrix} 0 & -E_x & -E_y & -E_z \\ E_x & 0 & -H_z & H_y \\ E_y & H_z & 0 & -H_x \\ E_z & -H_y & H_x & 0 \end{pmatrix}$$

Dual field strength:

$$\begin{aligned} \tilde{F}_{\mu\nu} &= \frac{1}{2}\epsilon_{\mu\nu\rho\sigma}F^{\rho\sigma} \\ \tilde{F}_{0j} &= -\frac{1}{2}\epsilon_{jkl}F^{kl} = \frac{1}{2}\epsilon_{jkl}\epsilon_{klm}H_m = H_j \quad (\epsilon_{123} = -\epsilon^{123} = -1) \\ \tilde{F}_{jk} &= -\epsilon_{jkl}F^{\ell 0} = \epsilon_{jkl}E_\ell \\ \tilde{F}_{\mu\nu} &= \begin{pmatrix} 0 & H_x & H_y & H_z \\ -H_x & 0 & E_z & -E_y \\ -H_y & -E_z & 0 & E_x \\ -H_z & E_y & -E_x & 0 \end{pmatrix}, \quad \tilde{F}^{\mu\nu} = \begin{pmatrix} 0 & -H_x & -H_y & -H_z \\ H_x & 0 & E_z & -E_y \\ H_y & -E_z & 0 & E_x \\ H_z & E_y & -E_x & 0 \end{pmatrix} \end{aligned}$$

Inhomogeneous Maxwell equations:

$$\begin{aligned} \frac{4\pi}{c}j^0 &= \nabla_j F^{j0}, \quad \rightarrow \quad 4\pi\rho = \nabla \cdot \mathbf{E} \\ \frac{4\pi}{c}j^k &= \partial_0 F^{0k} + \nabla_j F^{jk} \quad \rightarrow \quad \frac{4\pi}{c}\mathbf{j} = -\frac{1}{c}\partial_t \mathbf{E} + \nabla \times \mathbf{H} \end{aligned}$$

Homogeneous Maxwell equations:

$$\begin{aligned} 0 &= \partial_\mu \tilde{F}^{\mu\nu} \\ 0 &= \nabla_j \tilde{F}^{j0} = \nabla \cdot \mathbf{H}, \\ 0 &= \partial_0 \tilde{F}^{0k} + \nabla_j \tilde{F}^{jk} = -\left(\frac{1}{c}\partial_t \mathbf{H} + \nabla \times \mathbf{E}\right) \end{aligned}$$