

Introduction to General Relativity

Janos Polonyi

University of Strasbourg, Strasbourg, France

(Dated: October 22, 2020)

Video: <https://drive.google.com/drive/folders/1Z2TKycQr-it1RpqlgjLqCprDoB6fB7rq?usp=sharing>

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I. FIRST ENCOUNTER

A. Equivalence principle

- **Two kinds of mass:** inertial (m_{in}) and gravitational ($U_{gr} = m_{gr}U$)

Two kinds of acceleration: dynamical (\mathbf{a}), inertial (\mathbf{a}_{in})

$$m_{in}(\mathbf{a} - \mathbf{a}_{in}) = -m_{gr}\nabla U_{gr}(\mathbf{x}),$$

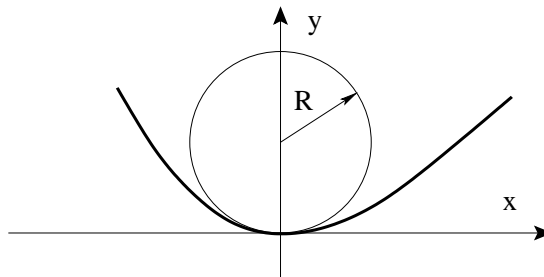
Lorand Eötvös (1906-09): $m_{in} = m_{gr}$

- **Weak Equivalence Principle:** The world line of a small, free falling body is independent of its composition or structure.
- **Strong Equivalence Principle:** Weak Equivalence Principle valid in the presence of other, non-gravitational force, \mathbf{F}_{ext} ,

$$m[\mathbf{a} - \mathbf{a}_{in} + \nabla U_{gr}(\mathbf{x})] = \mathbf{F}_{ext}.$$



- **Common origin** of inertial and gravitational forces?
 1. $U_{gr}(\mathbf{x}) = gz \iff z \rightarrow z - \frac{g}{2}t^2$: weightless state in falling elevator
 2. Homogeneous gravitational force can be eliminated by suitable coordinates
 3. Inhomogeneous gravitational force \implies elimination can be made only locally
- **Gravitational force \Leftrightarrow local aspects of the geometry of space and time:**
 1. In a small enough region of the space-time it is impossible to detect the presence of a gravitational field and the laws of physics reduce to those of Special Relativity.
 2. The space-time and the physical laws can be made locally Lorentz-invariant.
 3. Gravity as a gauge theory
- **Equivalence principle is violated** by $\mathcal{O}(\hbar)$ quantum effects. e.g. spin
- **Curvature:**
 - The only characteristic classical quantity of the point particle, m , drops out from the gravitational dynamics
 - What determines then the particle trajectory? Ever since Riemann: curvature



- Curvature: radius of the sphere touching the trajectory

$$R^2 = x^2 + (y - R)^2 = y^2 - 2yR + R^2 + x^2$$

$$0 = y^2 - 2yR + x^2$$

$$y = \frac{1}{2}(2R \pm \sqrt{4R^2 - 4x^2}) \rightarrow R - R\sqrt{1 - \frac{x^2}{R^2}} = \frac{x^2}{2R} + \mathcal{O}(x^4)$$

$$R = \frac{1}{\frac{d^2y}{dx^2}} \begin{cases} > 0 & \text{above} \\ < 0 & \text{below} \end{cases}$$

- Free-fall:

$$x(t) = vt, \quad y(t) = y_0 - \frac{g}{2}t^2 \quad \Longrightarrow \quad y(x) = y_0 - \frac{g}{2v^2}x^2$$

$$R = -\frac{v^2}{g} \quad ???$$

- Einstein: trajectory in space-time

- Curvature of n -dimensional manifold:

1. Embedd locally into an $n + 1$ dimensional Euclidean space
2. Linear approximation: tangent space
3. Quadratic approximation: quadratic form, eigenvalues \Longrightarrow curvature components

B. Comparison with electrodynamics

- Similarity:

- Coulomb force: e and $\tilde{m} = m\sqrt{G}$ are coupling constants

$$\mathbf{F}_C = \mathbf{r} \frac{e_1 e_2}{r^3},$$

- Newton's gravitational law:

$$\mathbf{F}_g = -\mathbf{r}G \frac{m_1 m_2}{r^3} = -\mathbf{r} \frac{\tilde{m}_1 \tilde{m}_2}{r^3}$$

- **Differences:**

- $m > 0$
- no screening
- gravity remains long range interaction
- modifies thermodynamics, e.g. black hole entropy
- Carriers: $A_\mu(x)$ ($S = 1$) vs. $g_{\mu\nu}(x)$ ($S = 2 \implies m > 0$)
- Time-dependen EM and gravitational forces are different

- **Unification:** gauge theory

II. CLASSICAL FIELD THEORIES

1. Why fields?

- *Non-relativistic particles:*

$$m_a \frac{d^2 \mathbf{x}_a(t)}{dt^2} = \mathbf{F}_a(\mathbf{x}_1(t), \dots, \mathbf{x}_N(t))$$

$$\mathbf{x}_a(t_i) = \mathbf{x}_{a,i}, \quad \frac{d\mathbf{x}_a(t)}{dt} = \mathbf{v}_{a,i}$$

- *Relativistic particles:* $\dot{x}(s) = \frac{dx}{ds}$

$$m_a \ddot{x}_a^\mu(s_a) = F_a^\mu(x_1(s_1), \dots, x_N(s_N))$$

$$x_a^\mu(s_{a,i}) = x_{a,i}^\mu, \quad \dot{x}_a^\mu(s_{a,i}) = u_{a,i}^\mu, \quad x_a^0(s_{a,i}) = t_i$$

- *Problem:*

(a) Formal origin:

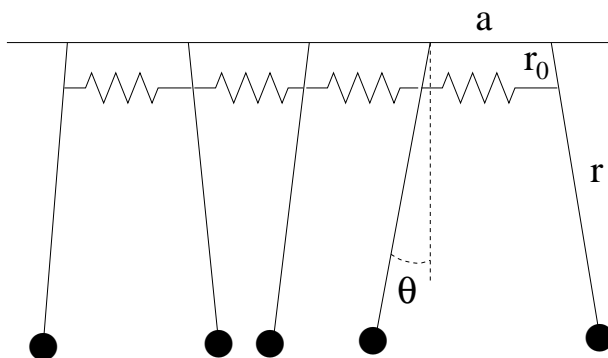
- $\dot{x}_a^2(s) = 1 \implies 0 = \dot{x}_a \ddot{x}_a = \dot{x}_a F_a \implies$ initial conditions and E.O.M. mixed
- No-go theorem: no covariant function satisfies $0 = \dot{x}_a F_a$

(b) Physical origin: the instantaneous interaction spreads with infinitely large velocity

- *Solution:*

- Introduce dynamical degrees of freedom at each space point, $\phi(\mathbf{x})$, a field
- Let the particle interact with the field variable at the same space point
- Self-interaction of the field \implies signal propagates causally ($v_{prop} \leq c$)

2. Mechanical toy model: chain of pendulums



A. Variational principle

1. Single point on the real axis

1. **Problem:** Identification $x_{cl} \in \mathbb{R}$ in a reparametrization invariant manner

2. **Solution:**

- Find a function with vanishing derivative at x_{cl} only
- Impose

$$\left. \frac{df(x)}{dx} \right|_{x=x_{cl}} = 0$$

- Reparametrization invariance: $x \rightarrow y$,

$$\left. \frac{df(x(y))}{dy} \right|_{y=y_{cl}} = \underbrace{\left. \frac{df(x)}{dx} \right|_{x=x_{cl}}}_{0} \left. \frac{dx(y)}{dy} \right|_{y=y_{cl}} = 0$$

3. **Variational principle:** infinitesimal variation $x \rightarrow x + \delta x$,

$$\begin{aligned} f(x_{cl} + \delta x) &= f(x_{cl}) + \delta f(x_{cl}) \\ &= f(x_{cl}) + \delta x \underbrace{f'(x_{cl})}_0 + \frac{\delta x^2}{2} f''(x_{cl}) + \mathcal{O}(\delta x^3) \end{aligned}$$

Variation principle: $(\mathcal{O}(\delta x^n) = \mathcal{O}(\delta y^n))$

$$\delta f(x_{cl}) = \mathcal{O}(\delta x^2),$$

2. Non-relativistic point particle

1. **Problem:** Identification of a trajectory in a coordinate choice independent manner

2. **Variational principle:**

- $x_{cl}(t)$ satisfying the auxiliary conditions $x_{cl}(t_i) = x_i$ $x_{cl}(t_f) = x_f$ is to be identified
- Action:

$$S[x] = \int_{t_i}^{t_f} dt L(x(t), \dot{x}(t))$$

↗

Lagrangian $L(x(t), \dot{x}(t))$

- Variation: $x(t) \rightarrow x(t) + \delta x(t)$, $\delta x(t_i) = \delta x(t_f) = 0$
- E.O.M.:

$$\begin{aligned} \delta S[x] &= \int_{t_i}^{t_f} dt L\left(x(t) + \delta x(t), \dot{x}(t) + \delta \frac{d}{dt}x(t)\right) - \int_{t_i}^{t_f} dt L(x(t), \dot{x}(t)) \\ &= \int_{t_i}^{t_f} dt \left[L(x(t), \dot{x}(t)) + \delta x(t) \frac{\partial L(x(t), \dot{x}(t))}{\partial x} + \frac{d}{dt} \delta x(t) \frac{\partial L(x(t), \dot{x}(t))}{\partial \dot{x}} + \mathcal{O}(\delta x(t)^2) \right. \\ &\quad \left. - \int_{t_i}^{t_f} dt L(x(t), \dot{x}(t)) \right] \\ &= \int_{t_i}^{t_f} dt \delta x(t) \left[\frac{\partial L(x(t), \dot{x}(t))}{\partial x} - \frac{d}{dt} \frac{\partial L(x(t), \dot{x}(t))}{\partial \dot{x}} \right] + \underbrace{\delta x(t)}_0 \frac{\partial L(x(t), \dot{x}(t))}{\partial \dot{x}} \Big|_{t_f}^{t_i} + \mathcal{O}(\delta x(t)^2) \end{aligned}$$

Euler-Lagrange equation:

$$\frac{\partial L}{\partial x} - \frac{d}{dt} \frac{\partial L}{\partial \dot{x}} = 0$$

- n -dimensional particle:

$$\boxed{\frac{\partial L}{\partial \mathbf{x}} - \frac{d}{dt} \frac{\partial L}{\partial \dot{\mathbf{x}}} = 0}$$

3. Choice of the Lagrangian:

$$L = T - U = \frac{m}{2} \dot{\mathbf{x}}^2 - U(\mathbf{x}) \quad \Longrightarrow \quad m \ddot{\mathbf{x}} = -\nabla U(\mathbf{x})$$

4. Generalized momentum:

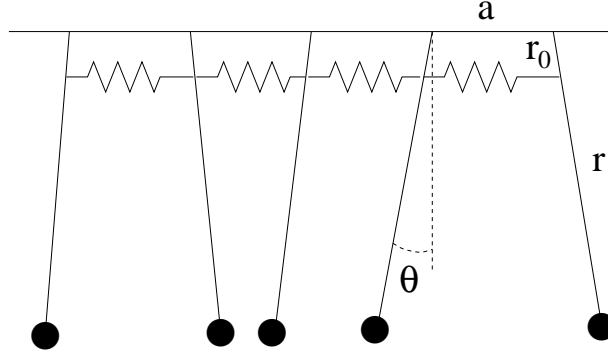
$$p = \frac{\partial L}{\partial \dot{x}} \quad \Longrightarrow \quad \dot{p} = \frac{\partial L}{\partial x}$$

5. Cyclic coordinate:

$$\frac{\partial L}{\partial x_{cycl}} = 0$$

6. Conservation law: The generalized momentum of a cyclic coordinate is conserved

$$\boxed{\dot{p}_{cycl} = 0}$$



7. Mechanical toy model for field theory: chain of pendulums

(a) *Lagrangian:*

$$L = \sum_n \left[\frac{mr^2}{2} \dot{\theta}_n^2 - \frac{kr_0^2}{2} (\theta_{n+1} - \theta_n)^2 - gr \cos \theta_n \right].$$

(b) *Variable transformation:* $\theta_n(t) \rightarrow \Phi \theta_n(t) = \phi(t, x_n)$,

$$\Phi = r_0 \sqrt{ak}, \quad c = a \frac{r_0}{r} \sqrt{\frac{k}{m}}, \quad \lambda = \frac{gr}{a}$$

$$L = a \sum_n \left[\frac{1}{2c^2} (\partial_t \phi_n)^2 - \frac{1}{2} \left(\frac{\phi_{n+1} - \phi_n}{a} \right)^2 - \lambda \cos \frac{\phi_n}{\Phi} \right]$$

(c) *Continuum limit:* $a \rightarrow 0$

$$L = \int dx \left[\frac{1}{2c^2} (\partial_t \phi(x))^2 - \frac{1}{2} (\partial_x \phi(x))^2 - \lambda \cos \frac{\phi(x)}{\Phi} \right]$$

(d) *Sine-Gordon model:*

$$S = \int dt dx \left[\frac{1}{2} \partial_\mu \phi(x) \partial^\mu \phi(x) - \lambda \cos \frac{\phi(x)}{\Phi} \right].$$

3. Scalar field

1. **Problem:** Identification of an n -component field, $\phi_a(x)$, $a = 1, \dots, n$

2. **Variation:**

$$\phi(x) \rightarrow \phi(x) + \delta\phi(x), \quad \delta\phi(t_i, \mathbf{x}) = \delta\phi(t_f, \mathbf{x}) = 0.$$

3. **Action:**

$$S[\phi] = \int_V dt d^3x L(\phi, \partial\phi)$$

- Historical convention: $dt d^3x$ rather than $d^4x = dx^0 d^3x$

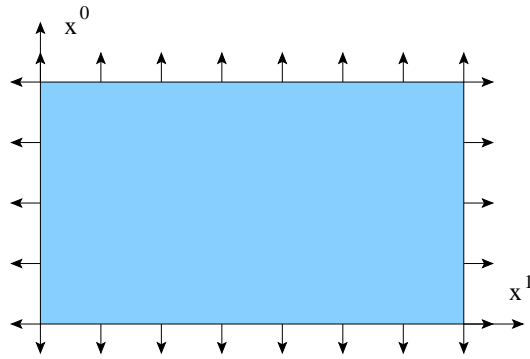
- d^4x will be used below

4. E.O.M.:

$$\begin{aligned}\delta S &= \int_V dt d^3x \left(\frac{\partial L(\phi, \partial\phi)}{\partial\phi} \delta\phi + \frac{\partial L(\phi, \partial\phi)}{\partial\partial_\mu\phi} \delta\partial_\mu\phi \right) + \mathcal{O}(\delta^2\phi) \\ &= \int_V dt d^3x \left(\frac{\partial L(\phi, \partial\phi)}{\partial\phi} \delta\phi + \frac{\partial L(\phi, \partial\phi)}{\partial\partial_\mu\phi} \partial_\mu\delta\phi \right) + \mathcal{O}(\delta^2\phi) \\ &= \int_{\partial V} ds^\mu \delta\phi \frac{\partial L(\phi, \partial\phi)}{\partial\partial_\mu\phi} + \int_V dt d^3x \delta\phi \left(\frac{\partial L(\phi, \partial\phi)}{\partial\phi} - \partial_\mu \frac{\partial L(\phi, \partial\phi)}{\partial\partial_\mu\phi} \right) + \mathcal{O}(\delta^2\phi)\end{aligned}$$



0 (local Lagrangian)



Euler-Lagrange equation:

$$\frac{\partial L}{\partial\phi} - \partial_\mu \frac{\partial L}{\partial\partial_\mu\phi} = 0$$

5. N -component field: $\phi_a(x)$, $a = 1, \dots, N$

$$\boxed{\frac{\partial L}{\partial\phi_a} - \partial_\mu \frac{\partial L}{\partial\partial_\mu\phi_a} = 0}$$

6. Current associated to the field ϕ :

$$j_\phi^\mu = \frac{\partial L}{\partial\partial_\mu\phi}$$

7. Conservation law: Current of a cyclic field variable is conserved

$$\boxed{\partial_\mu j_{\phi_{cyclic}}^\mu = 0}$$

8. Example: Scalar field theory ($\hbar = c = 1$)

$$L = \frac{1}{2}(\partial\phi)^2 - \frac{m^2}{2}\phi^2 - U(\phi) \quad \Longrightarrow \quad (\partial_\mu\partial^\mu + m^2)\phi = -U'(\phi)$$

B. Noether theorem

1. **Theorem:** There is a conserved current for each continuous symmetry

- *Symmetry:*

$$x^\mu \rightarrow x'^\mu, \quad \phi_a(x) \rightarrow \phi'_a(x) \quad \implies \quad L(\phi, \partial\phi) \rightarrow L(\phi', \partial'\phi') + \partial'_\mu \Lambda^\mu$$

- *External and internal spaces:*

$$\phi_a(x) : \underbrace{\mathbb{R}^4}_{\text{external space}} \rightarrow \underbrace{\mathbb{R}^n}_{\text{internal space}} .$$

- *Continuous symmetry:* \exists infinitesimal symmetry transformations

– External symmetry: $x^\mu \rightarrow x^\mu + \delta x^\mu$, e.g. Poincare group

– Internal symmetry: $\phi_a(x) \rightarrow \phi_a(x) + \delta\phi_a(x)$, e.g. $\phi(x) \rightarrow e^{i\alpha}\phi(x)$ for a complex field

- *Conserved current:* $\partial_\mu j^\mu = 0$, conserved charge: $Q(t)$:

$$\partial_0 Q(t) = \partial_0 \int_V d^3x j^0 = - \int_V d^3x \partial_\nu j^\nu = - \int_{\partial V} ds \cdot \mathbf{j}$$

2. **Continuous groups:**

- $\{\omega(\alpha)\}$:

(a) continuous topology (infinitesimal neighborhoods)

(b) multiplication law:

$$\omega(\alpha)\omega(\beta) = \omega(F(\alpha, \beta))$$

Convention: $\omega(0) = \mathbb{1}$

(c) Examples: translations, $\alpha = x^\mu$, $F(\alpha, \beta) = \alpha + \beta$

(d) Ado's theorem: any finite dimensional Lie-algebra is identical with a subspace of the generators of the matrix group $GL(N)$, with sufficiently large N

- *Infinitesimal group elements:*

$$\omega = \mathbb{1} + \sum_{a=1}^n \epsilon^a \tau^a + \mathcal{O}(\epsilon^2),$$

- *Generators:* $\tau^a = \frac{\partial\omega(0)}{\partial\epsilon^a}$

- *Exponential map:*

$$\lim_{n \rightarrow \infty} \left(1 + \frac{a}{n}\right)^n = \lim_{n \rightarrow \infty} e^{n \ln(1 + \frac{a}{n})} = \lim_{n \rightarrow \infty} e^{n(\frac{a}{n} + \mathcal{O}(n^{-2}))} = e^a$$

$$e^{\sum_a \alpha^a \tau^a} = \lim_{n \rightarrow \infty} \left(1 + \sum_a \frac{\alpha^a}{n} \tau^a\right)^n$$

Any group element can be obtained in such a form in a connected group

- *Lie-algebra:*

$$[\tau^a, \tau^b] = \sum_c f^{a,b,c} \tau^c.$$

Structure constants: $f^{a,b,c}$, uniquely determine the multiplication of infinitesimal group elements

TABLE I: Real classical matrix groups.

Symbol	Name	Definition	Dimension	Generators
$GL(N)$	general linear group	$\det A \neq 0^a$	N^2	$\{\tau : \text{real } N \times N \text{ matrices}\}$
$SL(N)$	special linear group	$\det A = 1$	$N^2 - 1$	$\text{tr} \tau = 0^b$
$O(N)$	orthogonal group	$A^{tr} A = \mathbb{1}^c$	$\frac{1}{2}N(N-1)$	$\tau^{tr} = -\tau$
$SO(N)$	special orthogonal group	$A^{tr} A = \mathbb{1}, \det A = 1$	$\frac{1}{2}N(N-1)$	$\tau^{tr} = -\tau, \text{tr} \tau = 0$

^aThe matrix A is supposed to be an element of the group in question.

^b $\det(\mathbb{1} + \epsilon \tau) = 1 + \epsilon \text{tr} \tau + \mathcal{O}(\epsilon^2)$

^c $\det A^{tr} A = (\det A)^2 = 1$ and $\det A = \pm 1$.

3. Linear internal symmetries:

- *Linear internal transformation:*

$$\delta x^\mu = 0, \quad \delta \phi_a(x) = \epsilon \tau_{ab} \phi_b(x).$$

- *Symmetry:*

$$L(\phi, \partial \phi) = L(\phi + \epsilon \tau \phi, \partial \phi + \epsilon \tau \partial \phi) + \mathcal{O}(\epsilon^2).$$

TABLE II: Complex classical matrix groups.

Symbol	Name	Definition	Dimension	Generators
$GL(N, C)$	complex general linear group	$\det A \neq 0$	$2N^2$	$\{\tau : \text{complex } N \times N \text{ matrices}\}$
$SL(N, C)$	complex special linear group	$\det A = 1$	$2N^2 - 2$	$\text{tr} \tau = 0$
$U(N)$	unitary group	$A^\dagger A = \mathbb{1}^a$	N^2	$\tau^\dagger = -\tau$
$SU(N)$	special unitary group	$A^\dagger A = \mathbb{1}, \det A = 1$	$N^2 - 1$	$\tau^\dagger = -\tau, \text{tr} \tau = 0$

^a $\det A^\dagger A = (\det A)^* \det A = |\det A|^2 = 1$

- *New field variable:* $\epsilon(x)$, $\phi(x) = \phi_{cl}(x) + \epsilon(x)\tau\phi_{cl}(x)$, $\frac{\delta S[\phi_{cl}]}{\delta\phi} = 0$
- *Linearized Lagrangian for $\epsilon(x)$ ($\epsilon = 0$ is a solution!):*

$$\begin{aligned} L(\epsilon, \partial\epsilon) &= L(\phi_{cl} + \epsilon\tau\phi, \partial\phi_{cl} + \partial\epsilon\tau\phi + \epsilon\tau\partial\phi) \\ &= \frac{\partial L(\phi_{cl}, \partial\phi_{cl})}{\partial\phi} \epsilon\tau + \frac{\partial L(\phi_{cl}, \partial\phi_{cl})}{\partial\partial_\mu\phi} [\partial_\mu\epsilon\tau\phi + \epsilon\tau\partial_\mu\phi] + \mathcal{O}(\epsilon^2) \end{aligned}$$

- *Symmetry:* $\frac{\partial L}{\partial\phi}\epsilon\tau\phi + \frac{\partial L}{\partial\partial_\mu\phi}\epsilon\tau\partial_\mu\phi = 0 \implies \epsilon$ is a cyclic field \implies

$$\begin{aligned} 0 &= \frac{\partial L(\epsilon, \partial\epsilon)}{\partial\epsilon} - \partial_\mu \frac{\partial L(\epsilon, \partial\epsilon)}{\partial\partial_\mu\epsilon} \\ J_\epsilon^\mu &= \frac{\partial L(\epsilon, \partial\epsilon)}{\partial\partial_\mu\epsilon} = \frac{\partial L(\phi_{cl}, \partial\phi_{cl})}{\partial\partial_\mu\phi} \tau\phi \\ \partial_\mu J_\epsilon^\mu &= 0 \end{aligned}$$

(a) Independent conserved current for each independent direction in the symmetry group

(b) Defined up to a multiplicative constant

- *Examples:*

(a) n -component real scalar field: ϕ_a , $a = 1, \dots, n$, $G = O(n)$,

$$\begin{aligned} L &= \frac{1}{2}(\partial\phi)^2 - V(\phi^2) \\ \delta\phi &= \epsilon^a \tau^a \phi \\ J_\mu^a &= -\partial_\mu \phi \tau^a \phi \end{aligned}$$

(b) Single complex scalar field: $\phi = \frac{1}{\sqrt{2}}(\phi_1 + i\phi_2)$, $G = U(1)$, $\phi(x) \rightarrow e^{i\alpha}\phi(x)$

$$\begin{aligned} L &= \frac{1}{2}\partial_\mu\phi_1\partial^\mu\phi_1 + \frac{1}{2}\partial_\mu\phi_2\partial^\mu\phi_2 - \frac{m^2}{2}(\phi_1^2 + \phi_2^2) - V\left(\frac{1}{2}(\phi_1^2 + \phi_2^2)\right) \\ &= \partial_\mu\phi^*\partial^\mu\phi + \partial_\mu\phi^*\partial^\mu\phi - m^2\phi^\dagger\phi - V(\phi^\dagger\phi) \end{aligned}$$

Field variable:

i. $\begin{pmatrix} \phi \\ \phi^* \end{pmatrix}$:

$$\begin{aligned} \begin{pmatrix} \phi \\ \phi^* \end{pmatrix} &: \begin{pmatrix} \phi \\ \phi^* \end{pmatrix} \rightarrow \begin{pmatrix} e^{i\alpha}\phi \\ e^{-i\alpha}\phi^* \end{pmatrix}, \quad \delta \begin{pmatrix} \phi \\ \phi^* \end{pmatrix} = i\alpha \begin{pmatrix} \phi \\ -\phi^* \end{pmatrix} = \alpha\tau \begin{pmatrix} \phi \\ \phi^* \end{pmatrix}, \quad \tau = i \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \\ J &= -\frac{\partial L}{\partial\partial_\mu\phi}\tau\phi = -i \left(\frac{\partial L}{\partial\partial_\mu\phi}\phi - \frac{\partial L}{\partial\partial_\mu\phi^*}\phi^* \right) = -i(\partial_\mu\phi^*\phi - \phi^*\partial_\mu\phi) = i\phi^* \overleftrightarrow{\partial}_\mu\phi \end{aligned}$$

ii. $\begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$:

$$\begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} : \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} \rightarrow e^{i\alpha} \phi = \frac{1}{\sqrt{2}} [\cos \alpha \phi_1 - \sin \alpha \phi_2 + i(\cos \alpha \phi_2 + \sin \alpha \phi_1)]$$

$$\delta \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix} = \alpha \begin{pmatrix} -\phi_2 \\ \phi_1 \end{pmatrix} = \alpha \tau \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}, \quad \tau = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}$$

$$\begin{aligned} J &= -\frac{\partial L(\phi, \partial \phi_1)}{\partial \partial_\mu \phi} \tau \phi = -\left(-\frac{\partial L}{\partial \partial_\mu \phi_1} \phi_2 + \frac{\partial L}{\partial \partial_\mu \phi_2} \phi_1 \right) = \partial_\mu \phi_1 \phi_2 - \partial_\mu \phi_2 \phi_1 \\ &= \frac{i}{2} [\partial_\mu (\phi_1 + i\phi_2)^* (\phi_1 + i\phi_2) - (\phi_1 + i\phi_2)^* \partial_\mu (\phi_1 + i\phi_2)] = -i(\partial_\mu \phi^* \phi - \phi^* \partial_\mu \phi) \end{aligned}$$

(c) n -component complex scalar field: $\phi_a, a = 1, \dots, n, G = U(n)$

$$L = \partial \phi^\dagger \partial \phi - V(\phi^\dagger \phi)$$

$$\delta \phi = \epsilon^a \tau^a \phi, \quad \delta \phi^\dagger = \epsilon^a (\phi \tau^a)^\dagger = -\epsilon^a \phi^\dagger \tau^a$$

$$J_\mu^a = -\partial_\mu \phi^\dagger \tau^a \phi + \partial_\mu \phi (\tau^a)^\text{tr} \phi^\dagger = -\partial_\mu \phi^\dagger \tau^a \phi + \phi^\dagger \tau^a \partial_\mu \phi = \phi^\dagger \tau^a \overleftrightarrow{\partial}_\mu \phi$$

4. External Symmetries:

- *Space-time symmetries:*

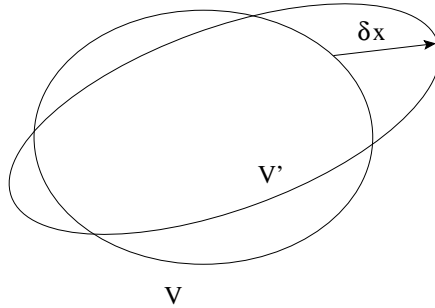
(a) Nonrelativistic dynamics: translations of the space-time, rotations of the space and boosts ($4 + 3 + 3 = 10$ dimensional Galilean group)

(b) Relativistic dynamics: translations and Lorentz transformations of the space-time ($4 + 3 + 3 = 10$ dimensional Poincaré group)

- *Translations:*

– Action is rewritten in terms of $x^\mu \rightarrow x'^\mu = x^\mu + \epsilon^\mu(x)$

– $x \rightarrow x' + \epsilon(x), \phi(x) \rightarrow \phi'(x) = \phi(x) + \delta\phi(x), \delta\phi(x) = -\epsilon^\mu \partial_\mu \phi(x), S \rightarrow S' = S$



$$\begin{aligned}
0 &= \int_V \delta L(\phi(x), \partial\phi(x)) + \int_{V'-V} dx L(\phi(x), \partial\phi(x)) \\
&= \int_V \delta L(\phi(x), \partial\phi(x)) + \int_{\partial V} dS_\nu \epsilon^\nu L(\phi(x), \partial\phi(x)) \\
&= - \int_V dx \epsilon^\nu \partial_\nu \phi \left(\frac{\partial L(\phi, \partial\phi)}{\partial \phi} - \partial_\mu \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi} \right) \leftarrow 0 \text{ E.O.M.} \\
&\quad \int_{\partial V} dS_\mu \left[-\epsilon^\nu \partial_\nu \phi \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi} + \epsilon^\mu L(\phi, \partial\phi) \right]
\end{aligned}$$

– Rigid shift of the space-time, $\epsilon(x) = \epsilon$:

$$0 = \int_{\partial V} dS_\mu \left[-\partial_\nu \phi \frac{\partial L(\phi, \partial\phi)}{\partial \partial_\mu \phi} + g^\mu_\nu L(\phi, \partial\phi) \right]$$

– V is arbitrary: The energy momentum tensor

$$T^{\mu\nu} = \frac{\partial L}{\partial \partial_\nu \phi} \partial^\mu \phi - g^{\mu\nu} L$$

is conserved

$$\partial_\mu T^{\mu\nu} = 0$$

– "Charge" of the translation ϵ^ν : energy momentum

$$P^\mu = \int d^3x T^{0\mu}$$

– Parameterization:

$$T^{\mu\nu} = \begin{pmatrix} \epsilon & \mathbf{c}\mathbf{p} \\ \frac{1}{c}\mathbf{s} & \sigma \end{pmatrix}$$

ϵ = energy density

\mathbf{p} = momentum density

\mathbf{S} = energy flux density

σ^{jk} = momentum flux p^k in the direction j

(c is restored).

- *Lorentz symmetry* \implies six conserved currents, angular momentum and generators of Lorentz boosts
- *Conservation of angular momentum* $\implies T^{\mu\nu} = T^{\nu\mu}$ for bosonic field theories

III. GAUGE THEORIES

Four fundamental interactions:

Gravitational, strong, weak, electromagnetic are described by gauge theories

A. Conflict with causality

1. **Global symmetry:** same transformation at each point of the space-time

- *External symmetry:* Poincaré group, $x \rightarrow x^\omega = \Lambda x + a$
- *Internal symmetry:* $\omega \in SO(N)$ ($\phi^a \in \mathbb{R}^N$) or $\omega \in SU(N)$ ($\phi^a \in \mathbb{C}^N$)

$$\phi(x) \rightarrow \phi^\omega(x) = \omega\phi(x)$$



2. **Yang and Mills (1954):** global symmetry \nleftrightarrow causality

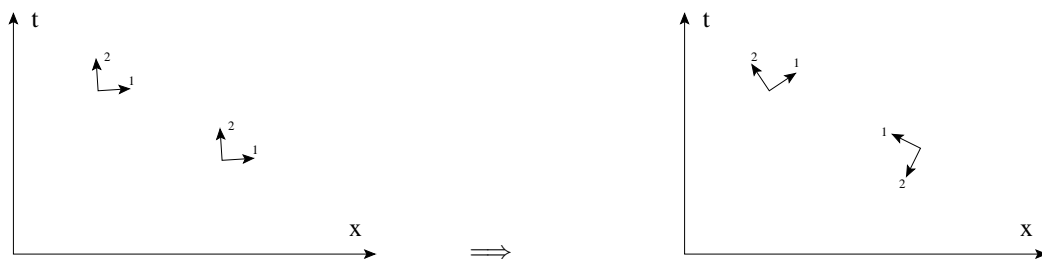
- Symmetry transformation: change of basis
- Global symmetry \implies same change of basis everywhere and always
- Two spatially separated identical experiments compared by using the same basis
- Use of different bases yield inequivalent results
- How can an experiment detect that different basis was used at the other?

3. **Local symmetry:**

$$\psi(x) \rightarrow \psi^\omega(x) = \omega(x)\psi(x)$$



homogeneous transformation rule



4. **Gauge (local) symmetry is a redundancy** of the dynamics:

(classical) observables are gauge invariant

5. **Gauging:** Lift a global symmetry to a local one

- Globally symmetrical theory: $\phi^\omega(x) = \omega\phi(x)$

$$L(\phi^\omega, \partial\phi^\omega) = L(\phi, \partial\phi), \quad \omega \in G$$

- Example: N component complex scalar field, ϕ_a , $a = 1, \dots, N$, $G = U(N)$

$$L = (\partial_\mu\phi)^\dagger \partial^\mu\phi - V(\phi^\dagger\phi)$$

$$L^\omega = (\partial_\mu\omega\phi)^\dagger \partial^\mu\omega\phi - V((\omega\phi)^\dagger\omega\phi)$$

$$= (\omega\partial_\mu\phi)^\dagger \omega\partial^\mu\phi - V((\omega\phi)^\dagger\omega\phi) = L$$

- Key equation of global symmetry:

$$\partial_\mu\phi(x) \rightarrow \partial_\mu\phi^\omega(x) = \partial_\mu\omega\phi(x) = \omega\partial_\mu\phi(x)$$



homogeneous transformation rule

- Local symmetry:

$$\partial_\mu\phi(x) \rightarrow \partial_\mu\phi^\omega(x) = \partial_\mu\omega(x)\phi(x) + \underbrace{(\partial_\mu\omega(x))\phi(x)}_{x\text{-dependent basis change}} \neq \omega(x)\partial_\mu\phi(x)$$



inhomogeneous transformation rule

- Locally invariant Lagrangian: $L(\phi, \partial\phi) \rightarrow L(\phi, D\phi)$



covariant derivative: homogeneous transformation rule



$$D_\mu\phi(x) \rightarrow D_\mu^\omega\phi^\omega(x) = \omega D_\mu\phi(x)$$

B. Covariant derivative

1. **Key equation** of global symmetry:

$$\partial_\mu\phi(x) \rightarrow \partial_\mu\phi^\omega(x) = \partial_\mu\omega\phi(x) = \omega\partial_\mu\phi(x)$$

2. Local symmetry:

$$\partial_\mu \phi(x) \rightarrow \partial_\mu \phi^\omega(x) = \partial_\mu \omega(x) \phi(x) = \omega(x) \partial_\mu \phi(x) + \underbrace{(\partial_\mu \omega(x)) \phi(x)}_{x\text{-dependent basis change}}$$

3. Partial derivative:

$$\partial_\mu \phi(x) = \lim_{\epsilon \rightarrow 0} \frac{\phi(x + \epsilon n_\mu) - \phi(x)}{\epsilon}$$

compares ϕ in different bases

4. Change of basis:

$$\omega(y \leftarrow x) = \mathbb{1} - \Delta x^\mu A_\mu(x) + \mathcal{O}(\Delta^2 x)$$



generators of the gauge group $A_\mu(x) = A_\mu^a(x) \tau^a$

5. Covariant derivative:

$$\begin{aligned} D_\mu \phi(x) &= \lim_{\epsilon \rightarrow 0} \frac{e^{\epsilon n \cdot A(x + \epsilon n)} \phi(x + \epsilon n_\mu) - \phi(x)}{\epsilon} \\ &= \lim_{\epsilon \rightarrow 0} \frac{[1 + \epsilon n \cdot A(x + \epsilon n)] \phi(x + \epsilon n_\mu) - \phi(x)}{\epsilon} \\ &= (\partial_\mu + A_\mu) \phi(x) \end{aligned}$$

$$\boxed{D_\mu = \partial_\mu + A_\mu}$$

N.B. Action of A_μ depends on the representation of G : $A_\mu = \sum_a A_\mu^a \tau_a$

e.g. $G = SO(3)$, scalar: $A_\mu = 0$, vector: $A_\mu = \sum_j A_\mu^j S_j$

6. Transformation of $A_\mu(x)$ during the gauge transformations:

$$\begin{aligned} \psi(x) \rightarrow \psi^\omega(x) = \omega(x) \psi(x) &\implies D_\mu \psi \rightarrow D_\mu^\omega \psi^\omega \\ D_\mu^\omega \psi^\omega &= (\partial_\mu + A_\mu^\omega) \psi^\omega, \quad \omega D_\mu \psi = \omega (\partial_\mu + A_\mu) \psi \\ \omega (\partial_\mu + A_\mu) \psi &= (\partial_\mu + A_\mu^\omega) \psi^\omega = (\partial_\mu \omega) \psi + \omega \partial_\mu \psi + A_\mu^\omega \omega \psi \\ A_\mu^\omega &= -\partial_\mu \omega \omega^{-1} + \omega A_\mu \omega^{-1}. \end{aligned}$$

Useful identity:

$$\mathbb{1} = \omega(x) \omega^{-1}(x)$$

$$0 = (\partial_\mu \omega) \omega^{-1} + \omega \partial_\mu \omega^{-1}$$

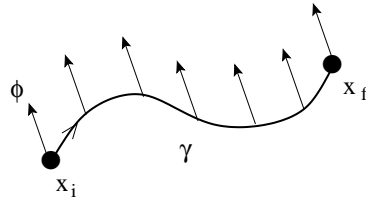
$$\boxed{A_\mu \rightarrow A_\mu^\omega = \omega (\partial_\mu + A_\mu) \omega^{-1}}$$

7. Gauging:

$$L(\phi, \partial \phi) \rightarrow L(\phi, D \phi) = L(\phi, (\partial + A) \phi)$$

C. Parallel transport

1. **Constant vector** $\phi(x)$ along a path $\gamma^\mu : [0, 1] \rightarrow \mathbb{R}^4$, $\gamma^\mu(0) = x_i^\mu$, $\gamma^\mu(1) = x_f^\mu$



2. **Along the path:**

$$\frac{d\gamma^\mu}{ds} D_\mu \phi(\gamma(s)) = 0$$

3. **End points:**

$$\phi(y) = W_\gamma(y, x)\phi(x)$$



path γ dependence?

4. **Evolution equation:**

$$\frac{d\gamma^\mu}{d\tau} D_{y^\mu} W_\gamma(y, x) = 0$$

5. **Infinitesimal path:** $A_\mu(x)$ is constant in the line segment $[x, x + \Delta]$,

$$\begin{aligned} \lim_{n \rightarrow \infty} \left(1 + \frac{a}{n}\right)^n &= \lim_{n \rightarrow \infty} e^{n \ln(1 + \frac{a}{n})} = \lim_{n \rightarrow \infty} e^{n(\frac{a}{n} + \mathcal{O}(n^{-2}))} = e^a \\ W(x + \Delta x, x) &= \mathbb{1} - \Delta x^\mu A_\mu(x) + \mathcal{O}(\Delta x^2) \rightarrow e^{-\Delta x^\mu A_\mu(x)} \end{aligned}$$

6. **Homogeneous transformation rule:**

$$\begin{aligned} \psi^\dagger(y) W_\gamma(y, x) \phi(x) &\rightarrow \psi^\dagger(y) W_\gamma(y, x) \phi(x) \\ \left[\phi^\dagger(y) W_\gamma(y, x) \phi(x) \right]^\omega &= \psi^\dagger(y) W_\gamma(y, x) \phi(x) \\ &= \psi^\dagger(y) \omega^\dagger(y) W_\gamma^\omega(y, x) \omega(x) \phi(x) = W_\gamma(y, x) \\ W_\gamma(y, x) &= \omega^\dagger(y) W_\gamma^\omega(y, x) \omega(x) \\ W_\gamma^\omega(y, x) &= \omega(y) W_\gamma(y, x) \omega^\dagger(x) \end{aligned}$$



homogeneous transformation rule

7. **Path independent parallel transport** $\Leftrightarrow W_\gamma(x, x) = \mathbb{1}$

(in simply connected space-time) $\Leftrightarrow A_\mu(x)$ is pure gauge ($A_\mu^\omega = \omega(\partial_\mu + \underbrace{A_\mu}_0)\omega^{-1} = \omega\partial_\mu\omega^{-1}$)

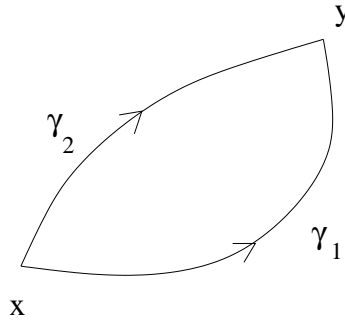
(a) *Multiplication of paths:*

$$\gamma_1(0) = x, \gamma_1(1) = y, \gamma_2(0) = y, \gamma_2(1) = z \implies \gamma_2\gamma_1(s) = \begin{cases} \gamma_1(2s) & 0 < s < \frac{1}{2} \\ \gamma_2(2s - 1) & \frac{1}{2} < s < 1 \end{cases}$$

$$W_{\gamma_2\gamma_1}(z, x) = W_{\gamma_2}(z, y)W_{\gamma_1}(y, x)$$

$$\gamma^{-1}(s) = \gamma(1 - s)$$

$$W_{\gamma^{-1}}(x, y) = W_\gamma^{-1}(y, z)$$



$$W_\gamma(x, x) = W_{\gamma_2}(x, y)W_{\gamma_1}(y, x) = W_{\gamma_2^{-1}}(x, y)W_{\gamma_1}(x, y) = W_{\gamma_2^{-1}}(x, y)W_{\gamma_1}(x, y)$$

(b) *Path independence* $\implies W(x, x) = \mathbb{1}$

(c) $W(x, x) = \mathbb{1} \implies$ *path independence*

(d) *Path independence* $\implies A_\mu(x)$ is pure gauge

Reference point x_0 : $\omega(x) = W^{-1}(x, x_0)$

$$W'(x_2, x_1) = W^{-1}(x_2, x_0)W(x_2, x_1)W(x_1, x_0) = W(x_0, x_2)W(x_2, x_0) = \mathbb{1} \implies A_\mu(x) = 0$$

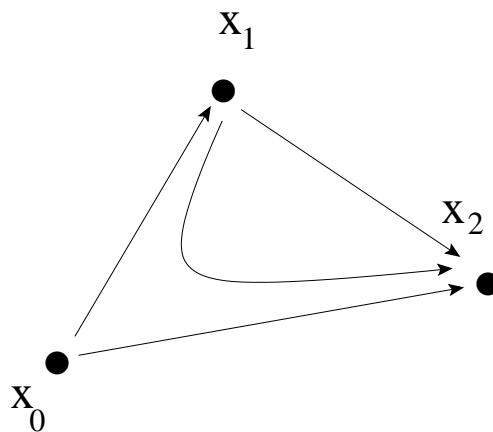
(e) $A_\mu(x)$ is pure gauge \implies *Path independence*

$$A_\mu^\omega = \omega\partial_\mu\omega^{-1}, \quad \mathbb{1} = \omega^{-1}(y)W(y, x)\omega(x) \implies W(y, x) = \omega(y)\omega^{-1}(x)$$

D. Field strength tensor

1. **Gauging:** field \implies particle \implies dynamics

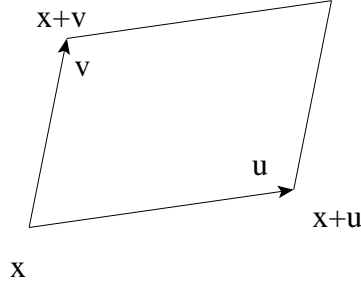
$$L(\phi, \partial_\mu\phi) \rightarrow L(\phi, (\partial_\mu + A_\mu)\phi) \rightarrow L(\phi, D_\mu\phi) + L_A$$



2. L_A :

- (a) quadratic in the velocities, $L_A = \mathcal{O}((\partial_0 A_\mu)^2)$
- (b) Lorentz invariant
- (c) gauge invariant

3. Local measure of the path dependence of parallel transport (curvature):



$$\begin{aligned}
\delta\phi^a &= -F_{b\mu\nu}^a u^\mu v^\nu \phi^b \\
U_\square &= e^{vA(x)} e^{uA(x+v)} e^{-vA(x+u)} e^{-uA(x)} \\
&\approx \left(\mathbb{1} + vA(x) + \frac{1}{2}[vA(x)]^2 \right) \left(\mathbb{1} + uA(x+v) + \frac{1}{2}[uA(x+v)]^2 \right) \\
&\quad \times \left(\mathbb{1} - vA(x+u) + \frac{1}{2}[vA(x+u)]^2 \right) \left(\mathbb{1} - uA(x) + \frac{1}{2}[uA(x)]^2 \right) \\
&\approx \mathbb{1} + vA(x) + uA(x+v) - vA(x+u) - uA(x) \\
&\quad + \frac{1}{2}[vA(x)]^2 + \frac{1}{2}[uA(x+v)]^2 + \frac{1}{2}[vA(x+u)]^2 + \frac{1}{2}[uA(x)]^2 \\
&\quad + vA(x)uA(x+v) - vA(x)vA(x+u) - vA(x)uA(x) - uA(x+v)vA(x+u) - uA(x+v)uA(x) \\
&\quad + vA(x+u)uA(x) \\
&\approx \mathbb{1} + (v\partial)uA - (u\partial)vA + \frac{1}{2}(vA)^2 + \frac{1}{2}(uA)^2 + \frac{1}{2}(vA)^2 + \frac{1}{2}(uA)^2 \\
&\quad + vAuA - vAvA - vAuA - uAvA - uAuA + vAuA \\
&\approx \mathbb{1} + (v\partial)uA - (u\partial)vA - (uA)(vA) + (vA)(uA) \\
&= \mathbb{1} - u^\mu v^\nu (\partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu])
\end{aligned}$$

Alternative form:

$$\begin{aligned}
[D_\mu, D_\nu]\phi &= [\partial_\mu + A_\mu, \partial_\nu + A_\nu]\phi \\
&= \underbrace{[\partial_\mu, \partial_\nu]\phi}_0 + \underbrace{[\partial_\mu, A_\nu]\phi}_{\partial_\mu A_\nu \phi - A_\nu \partial_\mu \phi = (\partial_\mu A_\nu)\phi} + \underbrace{[A_\mu, \partial_\nu]\phi}_{-[\partial_\nu, A_\mu]\phi} + [A_\mu, A_\nu]\phi \\
&= (\partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu])\phi
\end{aligned}$$

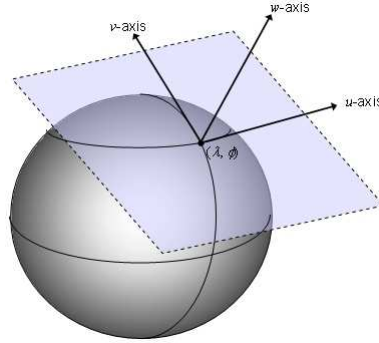
$$\boxed{F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu + [A_\mu, A_\nu] = [D_\mu, D_\nu]}$$

Generator valued field:

$$\begin{aligned}
F_{\mu\nu} &= F_{\mu\nu}^a \frac{\tau^a}{2i} \\
F_{\mu\nu}^a &= \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + f^{abc} A_\mu^b A_\nu^c
\end{aligned}$$

4. Relation to curvature: $S_2 = \{\mathbf{n} | \mathbf{n}^2 = 1\}$

- *Tangent space:* $T_{\mathbf{n}} = \{\dot{\mathbf{x}}(s)|_{s=0} | \mathbf{x}(0) = \mathbf{n}\}$ (velocity space)

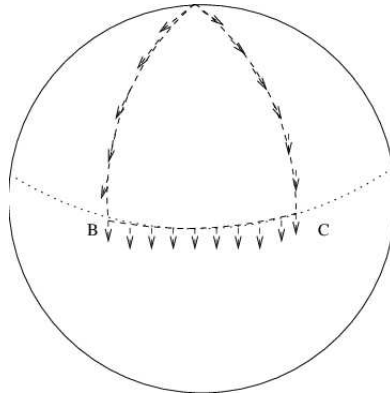


$$L = \mathbf{n} \otimes \mathbf{n}, \quad T = \mathbb{1} - L \quad \leftarrow \quad \text{projection onto the tangent space } T_{\mathbf{n}} = R^2$$

- *Parallel transport:*

$$\dot{\gamma}^\mu D_\mu u^\phi = 0 \quad \iff \quad \frac{d}{ds} T u = 0$$

- *Parallel transport along a spherical triangle:*



5. Transformation rule under gauge transformations:

$$W_\square = \mathbb{1} - u^\mu v^\nu F_{\mu\nu}(x) \rightarrow \omega(x) [\mathbb{1} - u^\mu v^\nu F_{\mu\nu}(x)] \omega^{-1}(x)$$

$$F_{\mu\nu}(x) \rightarrow \omega(x) F_{\mu\nu}(x) \omega^{-1}(x)$$

6. Pure gauge ($A_\mu = \omega \partial_\mu \omega^{-1}$) $\iff F = 0$ (connected space-time)

7. Yang-Mills action: (unique in space-time with no boundary)

$$L_{YM} = \frac{1}{2g^2} \text{tr}(F_{\mu\nu})^2 = -\frac{1}{4g^2} (F_{\mu\nu}^a)^2$$

E. Classical electrodynamics

1. Action:

$$\begin{aligned} S &= -c \sum_n m_n \int ds_n - \frac{e}{c} \int dt d^3x j^\mu(x) A_\mu(x) - \frac{1}{16\pi} \int dt d^3x F_{\mu\nu}(x) F^{\mu\nu}(x) \\ &= -c \sum_n m_n \int ds_n - \frac{e}{c^2} \int d^4x j^\mu(x) A_\mu(x) - \frac{1}{16\pi c} \int d^4x F_{\mu\nu}(x) F^{\mu\nu}(x), \end{aligned}$$

- *Electric current:*

$$\begin{aligned} j^\mu(x) &= c \sum_a \int ds \delta(x - x_a(s)) \dot{x}^\mu \\ &= c \sum_a \int ds \delta(\mathbf{x} - \mathbf{x}_a(s)) \delta(x^0 - x_a^0(s)) \dot{x}^\mu \\ &= c \sum_a \delta(\mathbf{x} - \mathbf{x}_a(s)) \frac{\dot{x}^\mu}{|\dot{x}^0|} \\ &= \underbrace{\sum_a \delta(\mathbf{x} - \mathbf{x}_a(s)) \frac{dx^\mu}{dt}}_{\rho(\mathbf{x})} \\ &= (c\rho, \mathbf{j}) = (c\rho, \rho\mathbf{v}) = \rho \frac{ds}{dt} \dot{x}^\mu \end{aligned}$$

- *Current conservation:*

$$\begin{aligned} \partial_\mu j^\mu &= \partial_t \rho + \nabla \cdot \mathbf{j} \\ &= \sum_a [-\mathbf{v}_a(t) \nabla \delta(\mathbf{x} - \mathbf{x}_a(t)) + \nabla \delta(\mathbf{x} - \mathbf{x}_a(t)) \mathbf{v}_a(t)] = 0 \end{aligned}$$

- *Gauge invariance:* $A_\mu \rightarrow A_\mu + \partial_\mu \alpha$, $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu \rightarrow \partial_\mu A_\nu + \partial_\mu \partial_\nu \alpha - \partial_\nu A_\mu - \partial_\nu \partial_\mu \alpha = F_{\mu\nu}$

$$\begin{aligned} S &= -c \sum_n m_n \int ds_n - \frac{e}{c^2} \int d^4x j^\mu(x) A_\mu(x) - \frac{1}{16\pi c} \int d^4x F_{\mu\nu}(x) F^{\mu\nu}(x) \\ &\rightarrow -c \sum_n m_n \int ds_n - \frac{e}{c^2} \int d^4x j^\mu(x) [A_\mu(x) + \partial_\mu \alpha(x)] - \frac{1}{16\pi c} \int d^4x F_{\mu\nu}(x) F^{\mu\nu}(x) \\ &= -c \sum_n m_n \int ds_n - \frac{e}{c^2} \int d^4x [j^\mu(x) A_\mu(x) - \partial_\mu j^\mu(x) \alpha(x)] - \frac{1}{16\pi c} \int d^4x F_{\mu\nu}(x) F^{\mu\nu}(x) = S \end{aligned}$$

2. Maxwell's equation:

$$\begin{aligned} S_A &= -\frac{e}{c^2} \int d^4x j^\mu A_\mu - \frac{1}{16\pi c} \int d^4x (\partial_\mu A_\nu - \partial_\nu A_\mu) (\partial^\mu A^\nu - \partial^\nu A^\mu) \\ c \frac{\delta}{\delta A_\nu} : \quad \frac{e}{c} j^\nu &= \frac{1}{4\pi} \partial_\mu (\partial^\mu A^\nu - \partial^\nu A^\mu) \\ &= \frac{1}{4\pi} \partial_\mu F^{\mu\nu} \end{aligned}$$

3. **Mechanical E.O.M.:** $x(s) \rightarrow x(\tau)$ to avoid $\dot{x}^2(s) = 1$

$$S_{ch} = - \sum_n \int d\tau \left[m_n c \sqrt{\dot{x}^\mu(\tau) g_{\mu\nu} \dot{x}^\nu(\tau)} + \frac{e}{c} \dot{x}_n^\mu(\tau) A_\mu(x_n(\tau)) \right]$$

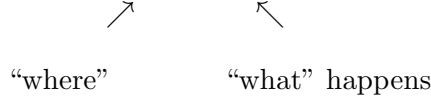
$$\begin{aligned} \frac{\delta}{\delta x^\mu} : \quad 0 &= -\frac{e}{c} \dot{x}_n^\nu(\tau) \partial_\mu A_\nu(x_n(\tau)) - \frac{d}{d\tau} \left[-mc \frac{\dot{x}_n^\mu(\tau)}{\sqrt{\dot{x}^\mu(\tau) g_{\mu\nu} \dot{x}^\nu(\tau)}} - \frac{e}{c} A_\mu(x_n(\tau)) \right] \\ &= mc \frac{\ddot{x}_n^\mu(\tau)}{\sqrt{\dot{x}^2(\tau)}} - \frac{e}{c} \dot{x}_n^\nu(\tau) [\partial_\mu A_\nu(x_n(\tau)) - \partial_\nu A_\mu(x_n(\tau))] + mc \frac{\dot{x}_n^\mu(\tau)}{[\dot{x}^2(\tau)]^{3/2}} \ddot{x}^\mu(\tau) \dot{x}_\mu(\tau) \end{aligned}$$

$$\tau \rightarrow s : \quad mc \ddot{x}_n^\mu(s) = \frac{e}{c} F_{\mu\nu} \dot{x}_n^\nu(s)$$

IV. GRAVITY

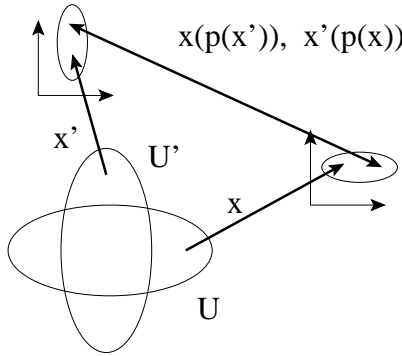
A. Classical field theory on curved space-time

1. Fields over the space-time: $\phi(x) : E \rightarrow I$



2. External space (where):

- meter rods, clocks \implies coordinates
- E.O.M. \implies coordinate singularities in fields
- To unfold the coordinate singularities \implies local coordinate patches
 - (a) Maps: $\forall p \in E \exists$ open set $M_p \subset E, p \in M_p$
 - (b) Coordinates: $\forall M \exists x_M : M \rightarrow V \subset \mathcal{R}^d, x_M^{-1} = p(x) : V \rightarrow M, d = 4$ for gravity



- (c) Coordinate transformations: If $p \in M, M' \ni x(p), x'(p), x'(x) = x'(p(x))$ is infinitely many times differentiable.

3. Internal space (what):

- (a) *Non-gravitational events*: $p \rightarrow \mathcal{R}^{d_{NG}}$
- (b) *Gravitational events*: directions, vectors, tensors, tangent space $p \rightarrow T_p = \mathcal{R}^4$
 $T_p : \{\text{equivalence classes of world lines} | x(s) \sim x'(s) \leftrightarrow x(0) = x'(0) = p, \dot{x}(0) = \dot{x}'(0)\}$
- (c) *External space \longleftrightarrow Internal space*: movement of free point particle from p : $x^\mu(p, u, s)$
 - i. initial conds.: $x^\mu(p, u, 0) = x^\mu(p) \dot{x}^\mu(p, u, 0) = u^\mu$
 - ii. $X^\mu(u) = x^\mu(p, u, 1) : T_p \rightarrow M_p$
 - iii. $\exists W \subset T_p, X|_W^\mu$ is invertible, $\exists U \subset M_p, X|_U^{-1} : U \rightarrow T_p$

4. Standard map:

(a) *Standard coordinates:* $\bar{x}^\mu(p)$

(b) *Standard basis in T_p :*

$$\bar{e}_\mu = \frac{\partial X^{-1}(p(\bar{x}))}{\partial \bar{x}^\mu}, \quad e_0 = \begin{pmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \quad e_1 = \begin{pmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{pmatrix}, \quad \dots, \quad e_{d-1} = \begin{pmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{pmatrix}$$

5. Change of coordinates: $x \rightarrow x' = x'(x)$

• *Change of basis in T_p :*

(a) Covariant basis:

$$e_\mu = \frac{\partial X^{-1}(p(x))}{\partial x^\mu} = \frac{\partial X'^{-1}(p(x'))}{\partial x'^\nu} \frac{\partial x'^\nu}{\partial x^\mu} = e'_\nu \frac{\partial x'^\nu}{\partial x^\mu}$$

(b) Contravariant basis: $e^\mu f_\mu$ remains invariant

$$e^\mu = \frac{\partial x^\mu}{\partial x'^\nu} e'^\nu$$

$$e^\mu f_\mu = \frac{\partial x^\mu}{\partial x'^\nu} e'^\nu f'_\rho \frac{\partial x'^\rho}{\partial x^\mu} = \frac{\partial x'^\rho}{\partial x'^\nu} e'^\nu f'_\rho = e'^\nu f'_\nu$$

(c) Formal analogy, without physical content:

$$\partial_\mu = \partial'_\nu \frac{\partial x'^\nu}{\partial x^\mu}, \quad \delta x^\mu = \frac{\partial x^\mu}{\partial x'^\nu} \delta x'^\nu$$

$$\boxed{u_\mu = u'_\nu \frac{\partial x'^\nu}{\partial x^\mu}, \quad u^\mu = \frac{\partial x^\mu}{\partial x'^\nu} u'^\nu}$$

B. Geometrical structure inferred from observations

1. **Metric structure:** measuring distances and time

$$ds^2(x) = dx^\mu g_{\mu\nu}(x) dx^\nu$$

Equivalence principle: signature $+, -, -, -$

2. **Affine structure:** Locally (equivalence principle) conserved vectors of free particles (velocity, angular momentum)

$$\dot{\gamma}^\mu D_\mu \phi = \dot{\gamma}^\mu (\partial_\mu + \Gamma_\mu) \phi = 0$$

3. **Torsion:** $\mathcal{O}(\hbar)$ spin effect, ignored

C. Gauge group

1. Internal space of gravity:

- *What happens?* ballistic motion, $I = \{\text{particles pass the observer}\}$
- *Gauge theory* with $I_p = T_p$

Gravity: E and I are related

2. Space-time diffeomorphism:

- *Special relativity:*
 - Translation invariance \implies relative coordinates
 - Boost invariance \implies relative velocity
- *General relativity:*
 - Space-time diffeomorphism invariance \implies relative higher order derivatives

3. Space-time diffeomorphism as gauge symmetry:

- *Coordinate tetrad vectors:* $e_\mu(x)$ instead of the coordinates $x^\mu(p)$
 - Holonomic tetrads:

$\{e_\mu(x)\}$ is compatible with a coordinate system $\iff \partial_\mu e_\nu = \partial_\nu e_\mu$

Necessary: $\partial_\mu e_\nu = \partial_\mu \partial_\nu X^{-1}(p(x)) = \partial_\nu \partial_\mu X^{-1}(p(x)) = \partial_\nu e_\mu$

Sufficient: local existence and unicity of integral curves $\partial_\mu X'^{-1}(p(x)) = e_\mu(x)$

- Diffeomorphism preserves holonomy

$$e'_\mu = e_\nu \frac{\partial x^\nu}{\partial x'^\mu} \implies \partial'_\kappa e'_\mu = e_\nu \frac{\partial^2 x^\nu}{\partial x'^\mu \partial x'^\kappa} = \partial'_\mu e'_\kappa$$

- *Coordinate transformation:* $x^\mu \rightarrow x'^\mu(x) \iff e'_\mu = e_\nu \frac{\partial x^\nu}{\partial x'^\mu}$

- *Gauge group:* $G = GL(4)$

- Gauge transformation:

$$e_\mu(x) \rightarrow e'_\mu(x) = \omega_\mu{}^\nu(x) e_\nu(x), \quad \omega_\mu{}^\nu = \frac{\partial x^\nu}{\partial x'^\mu}, \quad \det[\omega] \neq 0$$

- Gauge field: $(A_\mu)^\nu{}_\rho = \Gamma^\nu_{\rho\mu}$ affine connection

- *Internal space:* Tangent space T_x (relating external and internal spaces)

- *Non-gauge field:* metric tensor, $g_{\mu\nu}$

4. Poincaré group as gauge symmetry:

- *Equivalence Principle*: local Lorentz frames Which one to use? A freedom of the Poincaré group
- *Two bases in the internal space*: $\dot{x}^\mu \in T_x^{world}$, $\dot{\xi}^a \in T_p^{Lorentz}$

$$\dot{x}^\mu = \dot{\xi}^a e_a^\mu, \quad \dot{x}^a = \dot{\xi}^\mu e_\mu^a$$

- *Local Lorentz transformations*:

$$e^a(x) \rightarrow e'^a(x) = \omega^a_b(x) e^b(x).$$

- *Local translations*: space-time diffeomorphism

$$x^\mu(x) \rightarrow x'^\mu(x) = x^\mu(x) + \delta x^\mu(x), \quad \xi^a(x) \rightarrow \xi'^a(x) = \xi^a(x) + \delta \xi^a(x), \quad \delta \xi^a(x) = e_\mu^a \delta x^\mu(x).$$

- *Fermions*: need Lorentz basis

D. Gauge theory of diffeomorphism

1. Covariant derivative:

- *Gauge field*: affin connection, $(\Gamma_\rho)^\mu_\nu = \Gamma^\mu_{\nu\rho}$

(a) Covariant field:

$$D_\nu v^\mu = \partial_\nu v^\mu + \Gamma^\mu_{\rho\nu} v^\rho$$

$$(D_\nu v)^\mu = (\partial_\nu v + \Gamma_\nu v)^\mu$$

(b) *Leibnitz's rule*:

$$D(uv) = (Du)v + u(Dv)$$

$$\text{e.g. } D_\mu(fu^\mu) = (D_\mu f)u^\mu + (fD_\mu u^\mu) = \partial_\mu f u^\mu + f D_\mu u^\mu$$

↑

scalar representation of $GL(4)$

(c) *Contravariant field*:

$$D_\mu(u^\nu v_\nu) = (\partial_\mu u + \Gamma_\mu u)^\nu v_\nu + u^\nu (\partial_\mu v - v\Gamma_\mu)_\nu = \partial_\mu(u^\nu v_\nu)$$

$$(D_\nu v)_\mu = (\partial_\nu v - v\Gamma_\nu)_\mu$$

(d) *Mixed tensor field*: acting on each index, eg.

$$D_\nu v_\rho^\mu = \partial_\nu v_\rho^\mu + \Gamma_{\kappa\nu}^\mu v_\rho^\kappa - v_\kappa^\mu \Gamma_{\rho\nu}^\kappa.$$

It is easy to check that such an extension reproduces Leibniz rule,

$$D_\mu(u^\rho v^\sigma) = (D_\mu u^\rho)v^\sigma + u^\rho D_\mu(v^\sigma).$$

• **Gauge transformation:**

(a) $A_\mu \rightarrow A'_\mu = \omega(\partial_\mu + A_\mu)\omega^{-1}$

$$\delta x^\mu \rightarrow \delta x'^\mu = \omega^\mu_\kappa \delta x^\kappa, \quad \omega^\mu_\kappa = \frac{\partial x'^\mu}{\partial x^\kappa}, \quad (\omega^{-1})^\mu_\kappa = \frac{\partial x^\mu}{\partial x'^\kappa}$$

$$\Gamma^\mu_{\nu\rho} \rightarrow \Gamma'^\mu_{\nu\rho} = \frac{\partial x^\sigma}{\partial x'^\rho} \omega^\mu_\kappa (\delta^\kappa_\lambda \partial_\sigma + \Gamma^\kappa_{\lambda\sigma})(\omega^{-1})^\lambda_\nu$$

↗

transformation of a covariant index Γ_ρ : $\partial_\rho \rightarrow \partial'_\rho = \frac{\partial x^\sigma}{\partial x'^\rho} \partial_\sigma$

$$\begin{aligned} \Gamma'^\mu_{\nu\rho} &= \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \delta^\kappa_\lambda \frac{\partial}{\partial x^\sigma} \frac{\partial x^\lambda}{\partial x'^\nu} + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma} \frac{\partial x^\lambda}{\partial x'^\nu} \\ &= \frac{\partial x'^\mu}{\partial x^\kappa} \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial}{\partial x^\sigma} \frac{\partial x^\kappa}{\partial x'^\nu} + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma} \frac{\partial x^\lambda}{\partial x'^\nu} \\ &= \frac{\partial x'^\mu}{\partial x^\kappa} \frac{\partial^2 x^\kappa}{\partial x'^\nu \partial x'^\rho} + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma} \frac{\partial x^\lambda}{\partial x'^\nu}, \end{aligned}$$

(b) $\omega\omega^{-1} = \mathbb{1}$, $\partial_\mu\omega\omega^{-1} + \omega\partial_\mu\omega^{-1} = 0$, $A'_\mu = -\partial_\mu\omega\omega^{-1} + \omega A_\mu\omega^{-1}$

$$\begin{aligned} \Gamma'^\mu_{\nu\rho} &= \frac{\partial x^\sigma}{\partial x'^\rho} \left[-\partial_\sigma\omega^\mu_\kappa \delta^\kappa_\lambda (\omega^{-1})^\lambda_\nu + \omega^\mu_\kappa \Gamma^\kappa_{\lambda\sigma} (\omega^{-1})^\lambda_\nu \right] \\ &= -\frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial}{\partial x^\sigma} \frac{\partial x'^\mu}{\partial x^\kappa} \delta^\kappa_\lambda \frac{\partial x^\lambda}{\partial x'^\nu} + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma} \frac{\partial x^\lambda}{\partial x'^\nu} \\ &= -\frac{\partial x^\kappa}{\partial x'^\nu} \frac{\partial^2 x'^\mu}{\partial x^\kappa \partial x^\sigma} \frac{\partial x^\sigma}{\partial x'^\rho} + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma} \frac{\partial x^\lambda}{\partial x'^\nu} \\ &= -\frac{\partial^2 x'^\mu}{\partial x'^\nu \partial x'^\rho} + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma} \frac{\partial x^\lambda}{\partial x'^\nu} \end{aligned}$$

(c) Symmetric part: inhomogeneous transformation rules, not a tensor

(d) Antisymmetric part: homogeneous transformation rules, torsion tensor,

$$S^\rho_{\nu\mu} = \frac{1}{2}(\Gamma^\rho_{\mu\nu} - \Gamma^\rho_{\nu\mu})$$

• **Harmonic gauge:** (harmonic coordinate system)

$$\Gamma^\rho = g^{\mu\nu} \Gamma^\rho_{\mu\nu} = 0$$

(a) *Origin*:

$$\square x^\mu = g^{\rho\nu} D_\rho D_\nu x^\mu = g^{\nu\rho} D_\rho \partial_\nu x^\mu = g^{\nu\rho} (\partial_\rho \partial_\nu x^\mu - \Gamma^\kappa_{\nu\rho} \partial_\kappa x^\mu) = -g^{\nu\rho} \Gamma^\mu_{\nu\rho} = -\Gamma^\mu$$

↑

x^μ is a scalar field ($\notin T_p$)

(b) *Gauge transformation:*

$$\begin{aligned}
\Gamma^\mu \rightarrow g^{\nu\rho} \Gamma^\mu_{\nu\rho} &= g^{\tau\sigma} \frac{\partial x'^\nu}{\partial x^\tau} \frac{\partial x'^\rho}{\partial x^\sigma} \left(-\frac{\partial^2 x'^\mu}{\partial x'^\nu \partial x'^\rho} + \frac{\partial x^{\sigma'}}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma'} \frac{\partial x^\lambda}{\partial x'^\nu} \right) \\
&= -g^{\tau\sigma} \frac{\partial^2 x'^\mu}{\partial x^\tau \partial x^\sigma} + g^{\tau\sigma} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\tau\sigma} \\
&= -g^{\tau\sigma} \frac{\partial^2 x'^\mu}{\partial x^\tau \partial x^\sigma} + \frac{\partial x'^\mu}{\partial x'^\kappa} \Gamma^\kappa \\
\Rightarrow g^{\tau\sigma} \frac{\partial^2 x'^\mu}{\partial x^\tau \partial x^\sigma} &= \frac{\partial x'^\mu}{\partial x'^\kappa} \Gamma^\kappa
\end{aligned}$$

(c) *Always can be reached:* by solving this equation for $x'^\mu(x)$ for given Γ^κ

• **Equivalence Principle:**

(a) *Gauge theory:* at x_0

$$\begin{aligned}
A_\mu^\omega(x) &= \omega(x)(\partial_\mu + A_\mu(x))\omega^{-1}(x), \quad \omega(x) = e^{(x^\mu - x_0^\mu)A_\mu(x_0)} \\
&= [\mathbb{1} + (x^\mu - x_0^\mu)A_\mu(x_0)](\partial_\mu + A_\mu(x))[\mathbb{1} - (x^\mu - x_0^\mu)A_\mu(x_0)] + \mathcal{O}((x - x_0)^2) \\
&= \mathcal{O}(x - x_0)
\end{aligned}$$

(b) *Gravity:* $g_{\mu\nu}(x_0) = \eta_{\mu\nu}$ (global rotations and rescaling \Rightarrow Minkowski metric)

new coordinates: $x \rightarrow x'$

$$\begin{aligned}
x^\mu - x_0^\mu &= x'^\mu - x_0'^\mu - \frac{1}{2} \Gamma^\mu_{\nu\rho}(x_0)(x'^\nu - x_0'^\nu)(x'^\rho - x_0'^\rho) \\
\frac{\partial x^\kappa}{\partial x'^\mu} &= \delta_\mu^\kappa - \frac{1}{2} \Gamma^\kappa_{\mu\rho}(x_0)(x'^\rho - x_0'^\rho) - \frac{1}{2} \Gamma^\kappa_{\nu\mu}(x_0)(x'^\nu - x_0'^\nu) \\
\frac{\partial x^\kappa}{\partial x'^\mu} \Big|_{x'=x_0'} &= \delta_\mu^\kappa, \quad \frac{\partial^2 x^\kappa}{\partial x'^\nu \partial x'^\rho} \Big|_{x'=x_0'} = -\Gamma^\kappa_{\nu\rho}(x_0) \\
\Gamma'^\mu_{\nu\rho}(x') &= \frac{\partial x'^\mu}{\partial x^\kappa} \frac{\partial^2 x^\kappa}{\partial x'^\nu \partial x'^\rho} + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma} \frac{\partial x^\lambda}{\partial x'^\nu} \\
&= -\frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\nu\rho}(x_0) + \frac{\partial x^\sigma}{\partial x'^\rho} \frac{\partial x'^\mu}{\partial x^\kappa} \Gamma^\kappa_{\lambda\sigma}(x) \frac{\partial x^\lambda}{\partial x'^\nu} \\
\Gamma'^\mu_{\nu\rho}(x_0') &= -\Gamma^\mu_{\nu\rho}(x_0) + \Gamma^\mu_{\nu\rho}(x_0) = 0
\end{aligned}$$

2. **Field strength tensor:**

• *Gauge theory:*

$$F_{\mu\nu} = [D_\mu, D_\nu] = [\partial_\mu + \Gamma_\mu, \partial_\nu + \Gamma_\nu] = \partial_\mu \Gamma_\nu - \partial_\nu \Gamma_\mu + [\Gamma_\mu, \Gamma_\nu] = -F_{\nu\mu}$$

• *Curvature tensor:*

$$R^\mu_{\nu\rho\sigma} = (F_{\rho\sigma})^\mu_{\nu} = \partial_\rho \Gamma^\mu_{\nu\sigma} - \partial_\sigma \Gamma^\mu_{\nu\rho} + \Gamma^\mu_{\kappa\rho} \Gamma^\kappa_{\nu\sigma} - \Gamma^\mu_{\kappa\sigma} \Gamma^\kappa_{\nu\rho}$$

- *Useful identity for symmetrical connection:*

$$R^\rho{}_{\kappa\mu\nu} + R^\rho{}_{\mu\nu\kappa} + R^\rho{}_{\nu\kappa\mu} = 0.$$

- *Bianchi identity:*

- Commutators:

$$0 = [A, [B, C]] + [B, [C, A]] + [C, [A, B]],$$

- Covariant derivative:

$$\begin{aligned} 0 &= [D_\mu, [D_\nu, D_\rho]] + [D_\nu, [D_\rho, D_\mu]] + [D_\rho, [D_\mu, D_\nu]] \\ &= [D_\mu, F_{\nu\rho}] + [D_\nu, F_{\rho\mu}] + [D_\rho, F_{\mu\nu}] \\ &= D_\mu F_{\nu\rho} + D_\nu F_{\rho\mu} + D_\rho F_{\mu\nu}, \end{aligned}$$

- Curvature:

$$0 = D_\mu R^\sigma{}_{\kappa\nu\rho} + D_\nu R^\sigma{}_{\kappa\rho\mu} + D_\rho R^\sigma{}_{\kappa\mu\nu},$$

- *Ricci tensor:*

- Gauge theory: internal space external space directions are independent

$$L_A = -\frac{1}{2g^2} \text{tr} F_{\mu\nu} F^{\mu\nu} = -\frac{1}{2g^2} F^a{}_{b\mu\nu} F^b{}_{a}{}^{\mu\nu}$$

- Gravity: internal space external space directions are related, simpler contraction possibility

$$\begin{aligned} R^\mu{}_{\nu\rho\sigma} &= (F_{\rho\sigma})^\mu{}_\nu = \partial_\rho \Gamma^\mu{}_{\nu\sigma} - \partial_\sigma \Gamma^\mu{}_{\nu\rho} + \Gamma^\mu{}_{\kappa\rho} \Gamma^\kappa{}_{\nu\sigma} - \Gamma^\mu{}_{\kappa\sigma} \Gamma^\kappa{}_{\nu\rho} \\ R_{\nu\sigma} &= R^\rho{}_{\nu\rho\sigma} \\ &= \partial_\rho \Gamma^\rho{}_{\nu\sigma} - \partial_\sigma \Gamma^\rho{}_{\nu\rho} + \Gamma^\rho{}_{\kappa\rho} \Gamma^\kappa{}_{\nu\sigma} - \Gamma^\rho{}_{\kappa\sigma} \Gamma^\kappa{}_{\nu\rho} \\ &= -R^\rho{}_{\nu\sigma\rho} \end{aligned}$$

- An alternative:

$$\begin{aligned} R'_{\rho\sigma} &= R^\mu{}_{\mu\rho\sigma} \\ &= \partial_\rho \Gamma^\mu{}_{\mu\sigma} - \partial_\sigma \Gamma^\mu{}_{\mu\rho} + \Gamma^\mu{}_{\kappa\rho} \Gamma^\kappa{}_{\mu\sigma} - \Gamma^\mu{}_{\kappa\sigma} \Gamma^\kappa{}_{\mu\rho} \\ &= \partial_\rho \Gamma^\mu{}_{\mu\sigma} - \partial_\sigma \Gamma^\mu{}_{\mu\rho} \\ &= -R'_{\sigma\rho} \end{aligned}$$

- *Lagrangian for gravity: $R = g^{\mu\nu} R_{\mu\nu}$, $R' = g^{\mu\nu} R'_{\mu\nu} = 0$ (only if $T = 0$)*

E. Metric admissibility

1. Geometric relation between the affine and the metric structure

$$T = 0 \implies \{\overset{\rho}{\mu\nu}\} = \frac{1}{2}(\Gamma_{\nu\mu}^{\rho} + \Gamma_{\mu\nu}^{\rho}) = \Gamma_{\mu\nu}^{\rho} \text{ (Christoffel symbol)}$$

2. Parallel transport of two vector fields: $u^{\mu}(x), v^{\mu}(x)$ along $\gamma(s)$

- *Parallel transport:*

$$\dot{\gamma}(s)D_{\mu}u = \dot{\gamma}(s)D_{\mu}v = 0.$$

- *Scalar product preserved:*

$$\dot{\gamma}(s)D_{\mu}u^{\nu}g_{\nu\rho}v^{\rho} = u^{\nu}v^{\rho}\dot{\gamma}(s)D_{\mu}g_{\nu\rho} = 0$$

3. Metric admissibility:

$$Dg = 0$$

4. Solution for the Christoffel symbols: $\Gamma_{\rho\mu\nu} = g_{\rho\kappa}\Gamma_{\mu\nu}^{\kappa}$

$$D_{\rho}g_{\mu\nu} = \partial_{\rho}g_{\mu\nu} - g_{\kappa\nu}\Gamma_{\mu\rho}^{\kappa} - g_{\mu\kappa}\Gamma_{\nu\rho}^{\kappa}$$

$$D_{\mu}g_{\nu\rho} = \partial_{\mu}g_{\nu\rho} - g_{\kappa\rho}\Gamma_{\nu\mu}^{\kappa} - g_{\nu\kappa}\Gamma_{\rho\mu}^{\kappa}$$

$$D_{\nu}g_{\rho\mu} = \partial_{\nu}g_{\rho\mu} - g_{\kappa\mu}\Gamma_{\rho\nu}^{\kappa} - g_{\rho\kappa}\Gamma_{\mu\nu}^{\kappa}$$

$$0 = D_{\mu}g_{\nu\rho} + D_{\nu}g_{\rho\mu} - D_{\rho}g_{\mu\nu} = \partial_{\mu}g_{\nu\rho} + \partial_{\nu}g_{\rho\mu} - \partial_{\rho}g_{\mu\nu} - \underbrace{g_{\kappa\rho}\Gamma_{\nu\mu}^{\kappa} - g_{\rho\kappa}\Gamma_{\mu\nu}^{\kappa}}_{-\Gamma_{\rho\mu\nu} - \Gamma_{\rho\nu\mu}}$$

$$\Gamma_{\rho\mu\nu} + \Gamma_{\rho\nu\mu} = \partial_{\mu}g_{\nu\rho} + \partial_{\nu}g_{\rho\mu} - \partial_{\rho}g_{\mu\nu}$$

$$\{\overset{\rho}{\mu\nu}\} = \frac{1}{2}g^{\rho\sigma}(\partial_{\mu}g_{\nu\sigma} + \partial_{\nu}g_{\sigma\mu} - \partial_{\sigma}g_{\mu\nu})$$

5. Example: $S_2, x^{\mu} = (\theta, \phi)$

- *Invariant length:*

$$ds^2 = r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

- *Metric tensor:*

$$g_{\mu\nu} = r^2 \begin{pmatrix} 1 & 0 \\ 0 & \sin^2\theta \end{pmatrix}, \quad g^{\mu\nu} = \frac{1}{r^2} \begin{pmatrix} 1 & 0 \\ 0 & \frac{1}{\sin^2\theta} \end{pmatrix}.$$

- *Christoffel symbol:*

$$\left\{ \begin{matrix} \theta \\ \phi\phi \end{matrix} \right\} = -\sin\theta \cos\theta, \quad \left\{ \begin{matrix} \phi \\ \theta\phi \end{matrix} \right\} = \left\{ \begin{matrix} \phi \\ \phi\theta \end{matrix} \right\} = -\cot\theta$$

- *Curvature:*

$$\begin{aligned} R_{\phi\theta\theta\phi}^{\theta} &= \partial_{\theta} \left\{ \begin{matrix} \theta \\ \phi\phi \end{matrix} \right\} - \partial_{\phi} \left\{ \begin{matrix} \theta \\ \theta\phi \end{matrix} \right\} + \left\{ \begin{matrix} \theta \\ \theta\mu \end{matrix} \right\} \left\{ \begin{matrix} \mu \\ \phi\phi \end{matrix} \right\} - \left\{ \begin{matrix} \theta \\ \phi\mu \end{matrix} \right\} \left\{ \begin{matrix} \mu \\ \theta\phi \end{matrix} \right\} \\ &= -\partial_{\theta} \sin\theta \cos\theta + \sin\theta \cos\theta \cot\theta = \sin^2\theta \\ R_{\theta\theta\phi}^{\phi} &= g^{\phi\phi} R_{\phi\theta\theta\phi} = -g^{\phi\phi} R_{\theta\phi\theta\phi} = -g^{\phi\phi} g_{\theta\theta} R_{\phi\theta\phi}^{\theta} = -1 \end{aligned}$$

- *Ricci tensor:*

$$R = \begin{pmatrix} 1 & 0 \\ 0 & \sin^2\theta \end{pmatrix}$$

- *Scalar curvature:* $R = \frac{2}{r^2}$

6. Metric admissible curvature tensor:

$$R_{\rho\kappa\mu\nu} = -R_{\kappa\rho\mu\nu} = R_{\mu\nu\rho\kappa}$$

and the number of independent components is $256 \rightarrow 20$. We note that the curvature is vanishing for flat space only.

7. Double contracted Bianchi identity:

$$\begin{aligned} 0 &= D_{\mu} R^{\sigma}_{\kappa\nu\rho} + D_{\nu} R^{\sigma}_{\kappa\rho\mu} + D_{\rho} R^{\sigma}_{\kappa\mu\nu} & \sigma \leftrightarrow \mu \\ 0 &= D_{\mu} R_{\kappa\rho} + D_{\nu} R^{\nu}_{\kappa\rho\mu} - D_{\rho} R_{\kappa\mu} & \kappa \leftrightarrow \mu \\ 0 &= 2D_{\mu} R^{\mu}_{\rho} - D_{\rho} R \end{aligned}$$

8. Einstein tensor:

$$\begin{aligned} G_{\mu\nu} &= R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R \\ D_{\mu} G^{\mu}_{\nu} &= 0 \end{aligned}$$

F. Technical details

1. Gauge invariance of the action:

$$\begin{aligned} S &= \int d^4x L \\ d^4x &\rightarrow d^4x' \left| \det \frac{\partial x}{\partial x'} \right| \neq d^4x' \end{aligned}$$

2. **Invariant integral:** $g = \det g_{\mu\nu}$

$$g_{\mu\nu} = \frac{\partial x'^{\rho}}{\partial x^{\mu}} \frac{\partial x'^{\sigma}}{\partial x^{\nu}} g'_{\rho\sigma}$$

$$g = g' \left(\det \frac{\partial x'}{\partial x} \right)^2$$

$$d_{\text{inv}}x = dx \sqrt{-g} \rightarrow dx' \left| \det \frac{\partial x}{\partial x'} \right| \sqrt{-g'} \left| \det \frac{\partial x'}{\partial x} \right| = dx' \sqrt{-g'}$$

3. **Minor matrix:**

$$(M_A)_{j,k} = (-1)^{j+k} d_{k,j},$$

↗

determinant of the matrix, obtained by omitting the j -th row and the k -th column of A .

- *Determinant of A :*

$$\det[A] = \sum_j A_{k,j} (-1)^{j+k} d_{k,j} \quad \leftarrow \quad \text{expansion along a row}$$

$$= \sum_k A_{k,j} (-1)^{j+k} d_{k,j} \quad \leftarrow \quad \text{expansion along a column}$$

- *Two identical rows:* $A_{\ell,j} = A_{k,j}$

$$\sum_j A_{k,j} (-1)^{j+k} d_{\ell,j} = \det[A] = 0$$

- *Summary:*

$$A^{-1} = \frac{M_A}{\det[A]}$$

4. **Variation of the metric tensor:**

$$\frac{\partial \det[A]}{\partial A_{k,j}} = (-1)^{j+k} d_{k,j} = (M_A)_{j,k}$$

$$\delta g = \frac{\partial g}{\partial g_{\sigma\mu}} \delta g_{\sigma\mu} = \underbrace{g g^{\sigma\mu}}_{M_g} \delta g_{\mu\sigma}$$

$$\partial_\nu g = g g^{\sigma\mu} \partial_\nu g_{\sigma\mu}$$

5. **Divergence of a vector field:** (no torsion)

$$\Gamma_{\nu\mu}^\mu = \frac{1}{2} g^{\sigma\mu} (\partial_\nu g_{\sigma\mu} + \partial_\mu g_{\nu\sigma} - \partial_\sigma g_{\nu\mu}) = \frac{1}{2} g^{\sigma\mu} \partial_\nu g_{\sigma\mu} = \frac{\partial_\nu g}{2g} = \frac{\partial_\nu \sqrt{-g}}{\sqrt{-g}}$$

$$D_\mu v^\mu = \partial_\mu v^\mu + \Gamma_{\nu\mu}^\mu v^\nu = \partial_\mu v^\mu + \frac{\partial_\mu \sqrt{-g}}{\sqrt{-g}} v^\mu = \frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} v^\mu)$$

$$\int dx \sqrt{-g} D_\mu v^\mu = \int dx \partial_\mu (\sqrt{-g} v^\mu) = \int ds_\mu \sqrt{-g} v^\mu$$

$$D_\mu j^\mu = 0 \quad \implies \quad \partial_\mu (\sqrt{-g} j^\mu) = 0, \quad Q = \int d^3x \sqrt{-g} j^0$$

6. Metric admissibility:

$$D_\mu D^\mu = g^{\mu\nu} D_\mu D_\nu = D_\mu g^{\mu\nu} D_\nu = D_\mu D_\nu g^{\mu\nu}$$

$$D_\mu D^\mu \phi = D_\mu \partial^\mu \phi = \frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} \partial^\mu \phi)$$

G. Dynamics

1. Einstein-Hilbert action:

$$S_E = -\frac{1}{16\pi G} \int dx \sqrt{-g} (R + 2\Lambda) = -\frac{1}{16\pi G} \int dx \sqrt{-g} (g^{\mu\nu} R_{\mu\nu} + 2\Lambda)$$

2. Independent fields:

(a) *Affine connection:* tensor



$$\Gamma_{\mu\nu}^\rho = \{\}_{\mu\nu}^\rho + C_{\mu\nu}^\rho$$

$$\tilde{D}_\mu v^\nu = \partial_\mu v^\nu + \{\}_{\rho\mu}^\nu v^\rho$$

(b) *Metric tensor:* $g^{\mu\nu}$

$$\delta_\rho^\mu = g^{\mu\nu} g_{\nu\rho}$$

$$0 = \delta g^{\mu\nu} g_{\nu\rho} + g^{\mu\nu} \delta g_{\nu\rho}$$

$$\delta g_{\mu\nu} = -g_{\mu\rho} g_{\nu\sigma} \delta g^{\rho\sigma}$$

thus the metric can not be used in this calculation to change the position of the indices. Instead, we keep as the independent tensor field for the metric.

3. Variation of the field strength tensor: $C \rightarrow C + \delta C$

(a) *Gauge theory:*

$$F_{\mu\nu} = [D_\mu, D_\nu] = [\tilde{D}_\mu + C_\mu, \tilde{D}_\nu + C_\nu]$$

$$\delta F_{\mu\nu} = [D_\mu + \delta C_\mu, D_\nu + \delta C_\nu] - [D_\mu, D_\nu]$$

$$= (D_\mu + \delta C_\mu)(D_\nu + \delta C_\nu) - (D_\nu + \delta C_\nu)(D_\mu + \delta C_\mu) - D_\mu D_\nu + D_\nu D_\mu$$

$$= D_\mu \delta C_\nu + \delta C_\mu D_\nu - D_\nu \delta C_\mu - \delta C_\nu D_\mu + \mathcal{O}(\delta C^2)$$

$$= (D_\mu \delta C_\nu) - (D_\nu \delta C_\mu) + \mathcal{O}(\delta C^2)$$

(b) *Gravity:*

$$\delta R^\mu{}_{\nu\rho\sigma} = D_\rho \delta C^\mu{}_{\nu\sigma} - D_\sigma \delta C^\mu{}_{\nu\rho}$$

$$\delta R_{\nu\sigma} = D_\rho \delta C^\rho{}_{\nu\sigma} - D_\sigma \delta C^\rho{}_{\nu\rho}$$

(c) *Covariance:*

$$g^{\nu\sigma} \delta R_{\nu\sigma} = K \delta C + \partial \delta C = K_\kappa{}^{\nu\rho} \delta C^\kappa{}_{\nu\rho} + \tilde{D}_\rho v^\rho$$

$$\begin{array}{ccc} \nearrow & \uparrow & \nwarrow \\ \text{scalar} & \text{tensor} & \text{vector} \end{array}$$

(d) *Non-singular K:* $K(C)$ is linear in C and $K = 0 \implies C = 0$

4. Variation of the integrand:

$$\begin{aligned} \delta\sqrt{-g} &= -\frac{g}{2\sqrt{-g}} g^{\mu\nu} \delta g_{\mu\nu} = -\frac{1}{2}\sqrt{-g} g^{\mu\nu} g_{\mu\rho} g_{\nu\sigma} \delta g^{\rho\sigma} = -\frac{1}{2}\sqrt{-g} g_{\nu\sigma} \delta g^{\nu\sigma} \\ \delta[\sqrt{-g}(R_{\mu\nu}g^{\mu\nu} + 2\Lambda)] &= \delta\sqrt{-g}(R_{\mu\nu}g^{\mu\nu} + 2\Lambda) + \sqrt{-g}\delta R_{\mu\nu}g^{\mu\nu} + \sqrt{-g}R_{\mu\nu}\delta g^{\mu\nu} \\ &= -\frac{1}{2}\sqrt{-g}g_{\nu\sigma}\delta g^{\nu\sigma}(R + 2\Lambda) + \sqrt{-g}\tilde{D}_\rho v^\rho + \sqrt{-g}\delta C^\kappa{}_{\nu\rho}K_\kappa{}^{\nu\rho} + \sqrt{-g}R_{\mu\nu}\delta g^{\mu\nu} \\ &= \sqrt{-g}\left(R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R - \Lambda g_{\mu\nu}\right)\delta g^{\mu\nu} + \sqrt{-g}\delta C^\kappa{}_{\nu\rho}K_\kappa{}^{\nu\rho} + \sqrt{-g}\tilde{D}_\rho v^\rho \end{aligned}$$

$$\begin{array}{ccc} \uparrow & \nearrow & \uparrow \\ \text{Einstein equation} & \text{metric admissibility} & \text{surface term, ignored} \end{array}$$



$$\boxed{G_{\mu\nu} - \Lambda g_{\mu\nu} = 0}$$

V. COUPLING TO MATTER

A. Point particle in an external gravitational field

1. **Equivalence Principle:** no gravitational field at a selected space-time point

$$\frac{d\dot{\xi}^a(s)}{ds} = 0$$

- *Filling up the space-time with the solutions:* $u^\mu(x) = \dot{x}^\mu$

$$\boxed{u^\nu D_\nu u^\mu = \dot{u}^\mu + u^\rho \Gamma^\mu{}_{\rho\nu} u^\nu = 0}$$

- *Non-gravitational force:*

$$\begin{aligned}\dot{x}^\mu + u^\rho \Gamma^\mu_{\rho\nu} u^\nu &= \frac{F^\mu}{mc} \\ mc\dot{x}^\mu &= F^\mu + F_{gr}^\mu \\ F_{gr}^\mu &= -m u^\mu \Gamma^\mu_{\rho\nu} u^\nu\end{aligned}$$

Lorentz force of electrodynamics:

$$\begin{aligned}mc\ddot{x}^\mu &= F^\mu + F_{ed}^\mu \\ F_{ed}^\mu &= \frac{e}{c} F^\mu_{\nu} u^\nu, \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu\end{aligned}$$

2. Spin precession:

- *Rest frame:* $S^\mu = (0, \mathbf{S})$, $S_\mu \dot{x}^\mu = 0$
- *E.O.M.:*

$$0 = \frac{dS^\mu}{ds} \rightarrow \dot{S}^\mu + \Gamma^\mu_{\rho\nu} S^\rho \dot{x}^\nu$$

- *Auxiliary condition:*

$$S_\mu \dot{x}^\mu = 0$$

- *External force without torque:*

$$\begin{aligned}\frac{d\mathbf{S}}{dt} &= 0 \quad \leftarrow \text{co-moving frame: } \dot{S} = a\dot{x} \\ 0 &= a\dot{x}_\mu \dot{x}^\mu + S_\mu \ddot{x}^\mu \quad \implies \quad a = -S_\mu \ddot{x}^\mu = -S_\mu \frac{F^\mu}{mc}\end{aligned}$$

- *Fermi-Walker transport:*

$$\boxed{\dot{S}^\mu = -S_\nu \frac{F^\nu}{mc} \dot{x}^\mu}$$

3. Variational equation of motion:

- *Action:* $\dot{x}^\mu(\tau) = \frac{dx^\mu(\tau)}{d\tau}$ ($\tau \neq s$ to leave the variation of all components of x^μ independent)

$$S = -mc \int \sqrt{\dot{x}^\mu g_{\mu\nu}(x) \dot{x}^\nu} d\tau$$

- *Euler-Lagrange equation:*

$$\begin{aligned}\frac{\partial L}{\partial x^\rho} &= -mc \frac{\dot{x}^\mu \partial_\rho g_{\mu\nu} \dot{x}^\nu}{2\sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu}}, \\ \frac{\partial L}{\partial \frac{dx^\rho}{d\tau}} &= -mc \frac{g_{\rho\nu} \dot{x}^\nu}{\sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu}} \\ 0 &= \frac{\partial L}{\partial x^\rho} - \frac{d}{d\tau} \frac{\partial L}{\partial \dot{x}^\rho} \\ &= -\frac{\dot{x}^\mu \partial_\rho g_{\mu\nu} \dot{x}^\nu}{2\sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu}} + \frac{d}{d\tau} \frac{g_{\rho\nu} \dot{x}^\nu}{\sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu}} \\ &= \frac{1}{\sqrt{\dot{x}^\mu g_{\mu\nu} \dot{x}^\nu}} \left[-\frac{1}{2} \dot{x}^\mu \partial_\rho g_{\mu\nu} \dot{x}^\nu + \dot{x}^\kappa \partial_\kappa g_{\rho\nu} \dot{x}^\nu + g_{\rho\nu} \ddot{x}^\nu + g_{\rho\nu} \dot{x}^\nu \frac{d}{d\tau} \frac{1}{\sqrt{\dot{x}^\mu g_{\mu\lambda} \dot{x}^\lambda}} \right]\end{aligned}$$

↑

symmetrize in κ and ν the factor $\partial_\kappa g_{\rho\nu}$

$$\begin{aligned}0 &= -\frac{1}{2} \dot{x}^\mu \partial_\rho g_{\mu\nu} \dot{x}^\nu + \frac{1}{2} \dot{x}^\kappa (\partial_\kappa g_{\rho\nu} + \partial_\nu g_{\rho\kappa}) \dot{x}^\nu + g_{\rho\nu} \ddot{x}^\nu + g_{\rho\nu} \frac{dx^\nu}{d\tau} \frac{d}{d\tau} \frac{1}{\sqrt{\dot{x}^\mu g_{\mu\lambda} \dot{x}^\lambda}} \\ &= g_{\rho\sigma} (\ddot{x}^\sigma + \Gamma_{\nu\kappa}^\sigma \dot{x}^\nu \dot{x}^\kappa + \dot{x}^\sigma f), \quad f(\tau) = \frac{1}{\sqrt{\dot{x}^\mu g_{\mu\lambda} \dot{x}^\lambda}}\end{aligned}$$

↗

Lagrange multiplier for the constraint $\dot{x}^2 = 1$

- *Geodesics, $\tau = s$:*

$$u^\nu D_\nu u^\mu = \dot{u}^\mu + u^\rho \Gamma_{\rho\nu}^\mu u^\nu = 0$$

4. Geodesic deviation:

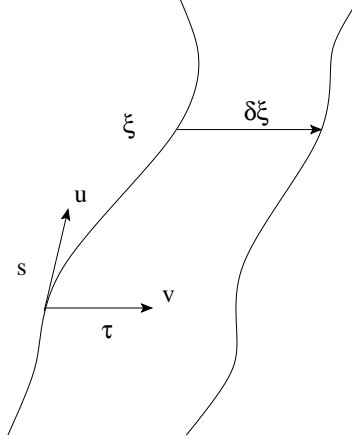
- *Newton equation:*

$$\begin{aligned}m\ddot{\xi}^j &= -\nabla^j U \\ \xi(t) &\rightarrow \xi(t) + \delta\xi(t) \\ m\delta\ddot{\xi}^j &= -\delta\xi^k \nabla^k \nabla^j U\end{aligned}$$

- *Geodesics:*

- Fill up the space-time with geodesics $\xi(s)$, $u(x) = \frac{d\xi(x)}{ds} = \dot{\xi}(x)$, $\frac{d\phi}{ds} = u^\nu D_\nu \phi = \dot{\phi}$
- The space-time strip, bounded by $\xi(s)$ and $\xi(s) + \delta\xi(s)$: $\xi^\mu(s, \tau)$
- Holonomic coordinate axis vectors: $u = \partial_s \xi(s, \tau)$, $v = \partial_\tau \xi(s, \tau)$

$$\begin{aligned}\partial_s v &= \partial_\tau u \\ u^\nu D_\nu v^\mu &= v^\nu D_\nu u^\mu\end{aligned}$$



– Deviation equation for $\delta\xi = \epsilon\partial_\tau\xi = \epsilon v$:

$$\begin{aligned}
\ddot{v} &= u^\mu D_\mu (u^\nu D_\nu v) \\
&= u^\mu D_\mu (v^\nu D_\nu u) \quad \leftarrow \text{holonomy} \\
&= u^\mu (D_\mu v^\nu) D_\nu u + u^\mu v^\nu D_\mu D_\nu u \quad \leftarrow \text{Leibnitz rule} \\
&= v^\mu (D_\mu u^\nu) D_\nu u + u^\mu v^\nu [D_\mu, D_\nu] u + u^\mu v^\nu D_\nu D_\mu u \quad \leftarrow \text{holonomy} + \text{alg. id.} \\
&= v^\mu (D_\mu u^\nu) D_\nu u + u^\mu v^\nu [D_\mu, D_\nu] u + v^\nu D_\nu (u^\mu D_\mu u) - v^\nu (D_\nu u^\mu) D_\mu u \quad \leftarrow \text{Leibnitz rule} \\
&= u^\mu v^\nu [D_\mu, D_\nu] u + v^\nu D_\nu (u^\mu D_\mu u) \quad \leftarrow \text{alg. cancellation} \\
\ddot{v}^\rho &= R^\rho_{\kappa\mu\nu} u^\kappa u^\mu v^\nu \quad \leftarrow \quad u^\mu D_\mu u = \ddot{\xi} = 0
\end{aligned}$$

• *Electrodynamics:*

$$\begin{aligned}
mc\ddot{x}_n^\mu(s) &= \frac{e}{c} F_{\mu\nu} \dot{x}_n^\nu(s) \\
mc(\ddot{x}^\mu + \delta\ddot{x}^\mu) &= \frac{e}{c} F^\mu_\nu(x + \delta x)(\dot{x}^\nu + \delta\dot{x}^\nu) \\
mc\delta\ddot{x}^\mu &= \frac{e}{c} \delta x^\rho \partial_\rho F^\mu_\nu \dot{x}^\nu + \frac{e}{c} F^\mu_\nu \delta\dot{x}^\nu
\end{aligned}$$

5. **Newtonian limit:** slow test particle, $\frac{dx^\mu}{ds} \approx (1, 0, 0, 0)$, and weak, static gravitational field

$$\begin{aligned}
g_{\mu\nu} &= \eta_{\mu\nu} + \gamma_{\mu\nu}, \quad g^{\mu\nu} = \eta^{\mu\nu} - \gamma^{\mu\nu} \\
\ddot{x}^\mu \approx -\Gamma_{00}^\mu &= \frac{1}{2} \frac{\partial \gamma_{00}}{\partial x_\mu} \quad \leftarrow \quad \Gamma_{\mu\nu}^\rho = \frac{1}{2} g^{\rho\sigma} (\partial_\mu g_{\nu\sigma} + \partial_\nu g_{\sigma\mu} - \partial_\sigma g_{\mu\nu}) \\
\ddot{\mathbf{x}} &= -\nabla\phi
\end{aligned}$$

$$\boxed{\phi = \frac{\gamma_{00}}{2}}$$